

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Carnes, Julie E.                                                                                                                     | <b>2. Court or Organization</b><br><br>Northern Division, Georgia                                                                                                                                                                                                                        | <b>3. Date of Report</b><br><br>05/14/2013                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>U.S. District Judge - Active                                                  | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2012<br>to<br>12/31/2012 |
| <b>7. Chambers or Office Address</b><br><br>2167 U.S. Courthouse<br>75 Spring Street, S.W.<br>Atlanta, Georgia 30303                                                                                      |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Carnes, Julie E.

Date of Report

05/14/2013

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME  
(yours, not spouse's)

1.

2.

3.

4.

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2012

lawyer - self-employed; partner in law firm

2. 2012

part-time employee; University System of Georgia

3.

4.

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Carnes, Julie E.

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Associated Credit Union                                                                                                  | A                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. SunTrust Bank                                                                                                            | B                                       | Interest                                      | N                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. SunTrust Bank Trustee                                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. Wells Fargo Bank                                                                                                         | A                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. ING                                                                                                                      | D                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. Municipal Elec Auth GA Due 11/01/2013                                                                                    | D                                       | Interest                                      |                                                 | T                                         | Redeemed                                        | 11/01/12                | M                               | A                              |                                                                   |
| 7. Prudential Life Insurance Company<br>Annuity                                                                             | A                                       | Dividend                                      | O                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. Municap Elec Auth GA Maty: 01/01/2012                                                                                    | A                                       | Interest                                      |                                                 | T                                         | Redeemed                                        | 01/03/12                | M                               | C                              |                                                                   |
| 9. Georgia ST HSG & FIN AU Maty:<br>06/01/2012                                                                              | C                                       | Interest                                      |                                                 | T                                         | Redeemed                                        | 06/01/12                | L                               | C                              |                                                                   |
| 10. Athens GA Hsg Auth Rev UGARFDG East<br>Campus 12/1/24                                                                   | C                                       | Interest                                      | L                                               | T                                         | Buy                                             | 06/29/12                | L                               |                                |                                                                   |
| 11. Clayton Cnty GA Dev Auth Rev Tuff<br>Archives RF 07/01/2025                                                             | C                                       | Interest                                      | M                                               | T                                         | Buy                                             | 05/23/12                | L                               |                                |                                                                   |
| 12. Fayette Co. GA Sch DT Maty: 03/01/2013                                                                                  | B                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. Fulton Cnty GA Dev Auth Rev Catholic B<br>11/15/2023                                                                    | B                                       | Interest                                      | K                                               | T                                         | Buy                                             | 06/06/12                | K                               |                                |                                                                   |
| 14. Gainesville-Hall Cnty GA HSPRV<br>Northeast B RF 02/15/2045                                                             | C                                       | Interest                                      | L                                               | T                                         | Buy                                             | 02/29/12                | L                               |                                |                                                                   |
| 15. Lowndes Cnty GA Pub Facs Maty:<br>06/01/2013                                                                            | B                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. Liberty Co. GA Hosp Auth Maty:<br>08/01/2014                                                                            | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. Gwinnett Co. GA Wtr & Swr Maty:<br>08/01/2015                                                                           | C                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                       |                                                                           |                                                      |                                                          |                                                            |                                                               |
|-----------------------|---------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------|
| 1. Income Gain Codes: | A ~\$1,000 or less<br>(See Columns B1 and D4)                             | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000    | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000                                        |
| 2. Value Codes        | F ~\$50,001 - \$100,000<br>J ~\$15,000 or less<br>(See Columns C1 and D3) | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 | P3 ~\$25,000,001 - \$50,000,000<br>P4 ~More than \$50,000,000 |
| 3. Value Method Codes | Q ~Appraisal<br>(See Column C2)                                           | R ~Cost (Real Estate Only)<br>V ~Other               | S ~Assessment<br>W ~Estimated                            | T ~Cash Market                                             |                                                               |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                                     |   |          |   |   |     |          |   |  |  |
|---------------------------------------------------------------------|---|----------|---|---|-----|----------|---|--|--|
| 18. GA ST Hsg&Fin AU Single Fam Mtg<br>Sr.A,Subsr A-2-AMT due6/1/16 | B | Interest | L | T |     |          |   |  |  |
| 19. Fayette Co. GA Sch DT Maty: 03/01/2017                          | B | Interest | L | T |     |          |   |  |  |
| 20. Cobb Cnty GA Dev Auth Rev Ser A CIFG<br>due 7/15/17             | B | Interest | L | T |     |          |   |  |  |
| 21. Gainesville GA Wtr & Sew Rev Maty:<br>11/15/2017                | B | Interest | L | T |     |          |   |  |  |
| 22. Tampa FLA Health Sys Rev Baycare Health<br>RF 11/15/2023        | B | Interest | L | T | Buy | 06/22/12 | L |  |  |
| 23. Schwab Money Market Fund (a/k/a Schwab<br>Reserves)             | C | Interest | N | T |     |          |   |  |  |
| 24. American Fund (AMCAP Fund)                                      | A | Dividend | J | T |     |          |   |  |  |
| 25. American Fund (Washington Mutual Inv.)                          | A | Dividend | J | T |     |          |   |  |  |
| 26. American Fund (Capital World Bond Fund)                         | A | Dividend | J | T |     |          |   |  |  |
| 27. American Fund (Euro Pacific Growth Fund)                        | A | Dividend | J | T |     |          |   |  |  |
| 28. American Fund (Investment Co. of<br>America)                    | A | Dividend | J | T |     |          |   |  |  |
| 29. American Fund (New Perspective Fund)                            | A | Dividend | J | T |     |          |   |  |  |
| 30. American Fund (New Economy Fund)                                | A | Dividend | J | T |     |          |   |  |  |
| 31. Value Line Premier Growth Fund (VALSX)                          | A | Dividend | K | T |     |          |   |  |  |
| 32. Bestbank                                                        | A | Interest | M | T |     |          |   |  |  |
| 33. Merrill Lynch Bank                                              | A | Interest | L | T |     |          |   |  |  |
| 34. BB&T Bank                                                       | A | Interest | M | T |     |          |   |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                            |   |          |   |   |             |          |   |   |  |
|------------------------------------------------------------|---|----------|---|---|-------------|----------|---|---|--|
| 35. Phoenix AZ Ser A Maty: 7/01/21                         | C | Interest | M | T |             |          |   |   |  |
| 36. Kentucky ST PPTY & BLDGS Nov 01/18                     | D | Interest | M | T |             |          |   |   |  |
| 37. JEA FLA ELEC SYS REV SUB-SER SER B B RF Maty: 10/1/22  | D | Interest | M | T |             |          |   |   |  |
| 38. Pennsylvania ST First Ser A Nov 01/17                  | D | Interest | M | T |             |          |   |   |  |
| 39. Maine Mun Bd Bk Lt Ser C Nov 01/17                     | D | Interest | M | T |             |          |   |   |  |
| 40. Ohio ST Major New ST Infrastructure PJ Rev 1 Jun 15/16 | D | Interest | M | T |             |          |   |   |  |
| 41. ML US Treasury Money Fund                              | A | Interest | J | T |             |          |   |   |  |
| 42. Discover Bank NA                                       | B | Interest |   | T | Redeemed    | 02/27/12 | L | A |  |
| 43. U.S. Trsy Inflation Note APR 15 2012                   | A | Interest |   | T | Redeemed    | 04/16/12 | K | A |  |
| 44. The Endowment Registered Fund LP                       | A | Dividend | O | T |             |          |   |   |  |
| 45. First Eagle Overseas CL 1                              | A | Dividend |   | T | Sold (part) | 05/14/12 | K | B |  |
| 46.                                                        |   |          |   |   | Buy (add'l) | 05/30/12 | J |   |  |
| 47.                                                        |   |          |   |   | Buy (add'l) | 06/12/12 | J |   |  |
| 48.                                                        |   |          |   |   | Buy (add'l) | 09/06/12 | J |   |  |
| 49.                                                        |   |          |   |   | Sold        | 12/03/12 | K | B |  |
| 50. First Eagle Gold CL 1                                  | A | Dividend |   | T | Buy (add'l) | 05/30/12 | J |   |  |
| 51.                                                        |   |          |   |   | Buy (add'l) | 09/06/12 | J |   |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 09/07/12                | L                               | D                              |                                                                   |
| 53. Pimco Unconstrained Bond Fund CL P                                                                                      | B                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 54. Pimco Unconstrained Bond Fund CL C                                                                                      | A                                       | Dividend                                      |                                                 | T                                         | Sold                                            | 05/24/12                | K                               | A                              |                                                                   |
| 55. Blackrock Global Allocation FD Inc INSTL                                                                                | B                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 05/30/12                | J                               |                                |                                                                   |
| 56.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/11/12                | J                               |                                |                                                                   |
| 57.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/06/12                | J                               |                                |                                                                   |
| 58. Washington Cnty Ore. CLEAN WTR SVCS<br>SEW REV A Maty: 10/1/19                                                          | D                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 59. Blackrock GNMA C                                                                                                        | A                                       | Dividend                                      |                                                 | T                                         | Sold                                            | 05/24/12                | K                               | A                              |                                                                   |
| 60. Blackrock Multi Asset Income Portfolio<br>Instl (BIICX)                                                                 | A                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 03/02/12                | K                               |                                |                                                                   |
| 61.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/30/12                | J                               |                                |                                                                   |
| 62.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/11/12                | J                               |                                |                                                                   |
| 63.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/28/12                | J                               |                                |                                                                   |
| 64. Eaton Vance Strategic Income Fund CL C                                                                                  | A                                       | Dividend                                      |                                                 | T                                         | Sold                                            | 05/24/12                | K                               | A                              |                                                                   |
| 65. Lord Abbett Short Duration Income Fund C                                                                                | A                                       | Dividend                                      |                                                 | T                                         | Sold                                            | 05/24/12                | K                               | A                              |                                                                   |
| 66. Alpine Ultra Short Tax Optimized Income<br>Fund                                                                         | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 67. Cohen & Steers Golbal Realty Shares, Inc.<br>CL I                                                                       | A                                       | Dividend                                      |                                                 | T                                         | Sold<br>(part)                                  | 05/14/12                | J                               | A                              |                                                                   |
| 68.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/30/12                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/11/12                | J                               |                                |                                                                   |
| 70.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 12/03/12                | J                               | A                              |                                                                   |
| 71. Goldman Sachs Strategic Income Fund CL I<br>(GSZIX)                                                                     | C                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 09/06/12                | L                               |                                |                                                                   |
| 72. Ishares Barclay 7-10 Yr Treas Index Fund                                                                                | A                                       | Dividend                                      |                                                 | T                                         | Buy                                             | 05/24/12                | L                               |                                |                                                                   |
| 73.                                                                                                                         |                                         |                                               |                                                 | T                                         | Buy<br>(add'l)                                  | 05/30/12                | J                               |                                |                                                                   |
| 74.                                                                                                                         |                                         |                                               |                                                 | T                                         | Buy<br>(add'l)                                  | 06/11/12                | J                               |                                |                                                                   |
| 75.                                                                                                                         |                                         |                                               |                                                 | T                                         | Buy<br>(add'l)                                  | 09/06/12                | J                               |                                |                                                                   |
| 76.                                                                                                                         |                                         |                                               |                                                 | T                                         | Sold                                            | 09/07/12                | M                               | A                              |                                                                   |
| 77. Ishares Trust Dow Jones Select Divid Index<br>FD (DVF)                                                                  | B                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 05/30/12                | K                               |                                |                                                                   |
| 78.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/11/12                | J                               |                                |                                                                   |
| 79.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/06/12                | J                               |                                |                                                                   |
| 80. Pimco All Asset All Authority Fund CL P<br>(PAUPX)                                                                      | D                                       | Dividend                                      | M                                               | T                                         | Buy                                             | 01/06/12                | K                               |                                |                                                                   |
| 81.                                                                                                                         |                                         |                                               |                                                 | T                                         | Buy<br>(add'l)                                  | 05/30/12                | J                               |                                |                                                                   |
| 82.                                                                                                                         |                                         |                                               |                                                 | T                                         | Buy<br>(add'l)                                  | 06/11/12                | J                               |                                |                                                                   |
| 83.                                                                                                                         |                                         |                                               |                                                 | T                                         | Buy<br>(add'l)                                  | 08/28/12                | J                               |                                |                                                                   |
| 84. Pimco Income Fund CL P (PONPX)                                                                                          | C                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 01/05/12                | L                               |                                |                                                                   |
| 85.                                                                                                                         |                                         |                                               |                                                 | T                                         | Buy<br>(add'l)                                  | 02/02/12                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Carnes, Julie E.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86.                                                                                                                         |                                         |                                               |                                                 | T                                         | Buy<br>(add'l)                                  | 05/30/12                | J                               |                                |                                                                   |
| 87.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/12/12                | J                               |                                |                                                                   |
| 88. Thornburg Limited Term Municipal Fund<br>CL I                                                                           | B                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 89. Blackrock Eqty Dividend Fund Instl                                                                                      | C                                       | Dividend                                      | M                                               | T                                         | Buy<br>(add'l)                                  | 05/30/12                | J                               |                                |                                                                   |
| 90.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/11/12                | J                               |                                |                                                                   |
| 91.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/06/12                | J                               |                                |                                                                   |
| 92. Franklin Rising Dividends FD ADV CL                                                                                     | B                                       | Dividend                                      | L                                               | T                                         | Buy<br>(add'l)                                  | 05/30/12                | J                               |                                |                                                                   |
| 93.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/11/12                | J                               |                                |                                                                   |
| 94.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/06/12                | J                               |                                |                                                                   |
| 95. Franklin Income FD ADV Class                                                                                            | C                                       | Dividend                                      | L                                               | T                                         | Buy<br>(add'l)                                  | 05/30/12                | J                               |                                |                                                                   |
| 96. Loomis Sayles Strategic Inc FD CL Y                                                                                     | B                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 05/24/12                | K                               | A                              |                                                                   |
| 97.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/30/12                | J                               |                                |                                                                   |
| 98.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/11/12                | J                               |                                |                                                                   |
| 99.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/28/12                | J                               |                                |                                                                   |
| 100. Pimco Emerging Markets FD CL P (fka<br>Developing Local Markets                                                        | A                                       | Dividend                                      |                                                 | T                                         | Sold                                            | 01/05/12                | K                               | A                              |                                                                   |
| 101. Pimco Total Return Fund CL P                                                                                           | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 05/30/12                | J                               |                                |                                                                   |
| 102.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/11/12                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. Templeton GLBL Bond FD ADV CL                                                                                          | C                                       | Dividend                                      | L                                               | T                                         | Sold<br>(part)                                  | 05/24/12                | L                               | A                              |                                                                   |
| 104.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/30/12                | J                               |                                |                                                                   |
| 105.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/12/12                | J                               |                                |                                                                   |
| 106.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/06/12                | J                               |                                |                                                                   |
| 107. SPDR S&P Dividend ETF (SDY)                                                                                            | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 01/12/12                | J                               |                                |                                                                   |
| 108.                                                                                                                        |                                         |                                               |                                                 | T                                         | Buy<br>(add'l)                                  | 03/26/12                | K                               |                                |                                                                   |
| 109. SCHW INTL EQ ETF (SCHF)                                                                                                | A                                       | Dividend                                      |                                                 | T                                         | Sold                                            | 06/19/12                | K                               | A                              |                                                                   |
| 110. Parnassus Equity Income Fund Investor SH (PRBLX)                                                                       | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 111. Manning & Napier World Oppty A (EXWAX)                                                                                 | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 03/08/12                | K                               |                                |                                                                   |
| 112.                                                                                                                        |                                         |                                               |                                                 | T                                         | Sold<br>(part)                                  | 10/01/12                | L                               | D                              |                                                                   |
| 113. Schwab S&P 500 Index FD (SWPPX)                                                                                        | A                                       | Dividend                                      | L                                               | T                                         | Sold<br>(part)                                  | 06/20/12                | L                               | A                              |                                                                   |
| 114.                                                                                                                        |                                         |                                               |                                                 | T                                         | Sold<br>(part)                                  | 10/01/12                | L                               | D                              |                                                                   |
| 115. Scout Intl FD (UMBWX)                                                                                                  | A                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 116. Vanguard Growth (VUG)                                                                                                  | B                                       | Dividend                                      | M                                               | T                                         | Buy<br>(add'l)                                  | 01/12/12                | J                               |                                |                                                                   |
| 117.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/30/12                | J                               |                                |                                                                   |
| 118.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/11/12                | J                               |                                |                                                                   |
| 119.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/06/12                | K                               |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                  | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
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# FINANCIAL DISCLOSURE REPORT

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| 120. Texas Transn Commn 4% 12 REV DUE 04/01/12                                                                              | A                                       | Interest                                      |                                                 | T                                         | Redeemed                                        | 04/01/12                | K                               | A                              |                                                                   |
| 121. Washington St 5.0% 16 EXIT DB DUE 07/01/16                                                                             | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 122. SCH MUNI Money Fund                                                                                                    | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 123. Chicago ILL Brd Ed 5% 16GO UTX DUE 12/01/16                                                                            | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 124. JEA FLA Wtr & Swr 5% 21 REV DUE 10/01/21                                                                               | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 125. Minnesota PUB FACS 5% 20 REV DUE 03/01/20                                                                              | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 126. Minnesota ST 4% 18GO UTX DUE 08/01/18                                                                                  | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 127. New York NY 5% 15GO UTX DUE 11/01/15                                                                                   | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 128. Ohio State 5% 14GO DUE 11/01/14                                                                                        | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 129. Plano TEX INDPT SCH 5% 13GO UTX DUE 02/15/13                                                                           | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 130. Texas ST 5% 19GO DUE 10/01/19                                                                                          | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 131. AMERICAN BEACON SMALL CAP VAL INV CL (AVPAX)                                                                           | A                                       | Dividend                                      |                                                 | T                                         | Sold                                            | 06/19/12                | K                               | A                              |                                                                   |
| 132. AMERICAN CENTURY MID CAP VALUE FD INV CL (ACMVX)                                                                       | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 133. American Century Inflation ADJ BD INV (ACITX)                                                                          | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 10/01/12                | K                               |                                |                                                                   |
| 134. BARON SMALL CAP FUND (BSCFX)                                                                                           | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 135. BROWN ADVISORY GROWTH EQUITY INST (BIAGX)                                                                              | A                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 136. COLUMBIA CONTRARIAN CORE FD CL Z (SMGSZ)                                                                               | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Carnes, Julie E.

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| 137. CURRENCYSHARES JAPANESE YEN TRUST (FXV)                                                                                | A                                       | Dividend                                      |                                                 | T                                         | Sold                                            | 02/02/12                | J                               | A                              |                                                                   |
| 138. EGSHARES ETF EMERGING MARKETS (ECON)                                                                                   | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 12/06/12                | J                               |                                |                                                                   |
| 139. FEDERATED CLOVER SMALL VALUE FD CL A (VSFX)                                                                            | A                                       | Dividend                                      |                                                 | T                                         | Sold                                            | 06/14/12                | K                               | A                              |                                                                   |
| 140. FEDERATED TOTAL RETURN BOND FD INST CL (FTRBX)                                                                         | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 06/14/12                | K                               |                                |                                                                   |
| 141.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy (add'l)                                     | 10/01/12                | K                               |                                |                                                                   |
| 142. FRANKLIN GROWTH FUND ADV CL (FCGAX)                                                                                    | A                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 143. FRANKLIN RISING DIV FD ADV CL (FRDAX)                                                                                  | A                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 03/26/12                | K                               |                                |                                                                   |
| 144. HARBOR INTERNATIONAL FUND INV CL (HIINX)                                                                               | A                                       | Dividend                                      |                                                 | T                                         | Sold                                            | 01/12/12                | L                               | A                              |                                                                   |
| 145. HARBOR INTERNATIONAL FUND INST CL (HAINX)                                                                              | A                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 01/12/12                | L                               |                                |                                                                   |
| 146.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold (part)                                     | 10/01/12                | L                               | C                              |                                                                   |
| 147. ISHARES DJ SELECT DIV FD SELECT DIVIDEND INDEX FD (DVY)                                                                | A                                       | Dividend                                      | J                                               | T                                         | Buy (add'l)                                     | 05/14/12                | J                               |                                |                                                                   |
| 148.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold (part)                                     | 10/01/12                | K                               | C                              |                                                                   |
| 149. ISHARES ETF AAA-A RATED CORPORATE (QLTA)                                                                               | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 10/03/12                | J                               |                                |                                                                   |
| 150. ISHARES FTSE EPRA NAREIT GLOBAL REAL (IFGL)                                                                            | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 06/06/12                | J                               |                                |                                                                   |
| 151.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy (add'l)                                     | 08/02/12                | J                               |                                |                                                                   |
| 152. ISHARES S&P U S PFD FUND S&P U S PFD STK INDEX FD (PFF)                                                                | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Carnes, Julie E.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 153. ISHARES TR BARCLAYS FUND<br>BARCLAYS AGGREGATE BOND FUND<br>(AGG)                                                      | A                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 154. ISHARES GOLD TRUST (IAU)                                                                                               | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 02/02/12                | J                               | A                              |                                                                   |
| 155. ISHARES MSCI HK IDX FD HONG<br>KONG INDEX FUND (EWH)                                                                   | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 02/02/12                | K                               | B                              |                                                                   |
| 156.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/03/12                | J                               | A                              |                                                                   |
| 157. ISHARES MSCI GRMNY IDX<br>GERMANY INDEX FUND (EWG)                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 10/03/12                | J                               |                                |                                                                   |
| 158. ISHARES TR BARCLAYS 7-10 YR<br>TREAS.BOND FD (IEF)                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 159. ISHARES TR BARCLAYS TIPSBOND<br>FUND (TIP)                                                                             | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 02/02/12                | J                               |                                |                                                                   |
| 160.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/06/12                | J                               | A                              |                                                                   |
| 161.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/03/12                | J                               | B                              |                                                                   |
| 162. ISHARES RUSSELL 1000 VALRUSSELL<br>1000 VALUE INDEX FUND (IWD)                                                         | A                                       | Dividend                                      |                                                 | T                                         | Sold                                            | 10/01/12                | L                               | D                              |                                                                   |
| 163. ISHARES TR RUSSELL 1000 RUSSELL<br>1000 GROWTH INDEX FUND (IWF)                                                        | A                                       | Dividend                                      |                                                 | T                                         | Sold                                            | 10/01/12                | L                               | D                              |                                                                   |
| 164. ISHARES TR RUSSELL 2000 RUSSELL<br>2000 INDEX FUND (IWM)                                                               | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 02/02/12                | J                               |                                |                                                                   |
| 165. JENSEN QUALITY GROWTH FD CL J<br>(JENSX)                                                                               | A                                       | Dividend                                      |                                                 | T                                         | Sold                                            | 10/01/12                | L                               | B                              |                                                                   |
| 166. LKCM SMALL CAP EQUITY FUND<br>INSTITUTIONAL CLASS (LKSCX)                                                              | A                                       | Dividend                                      |                                                 | T                                         | Sold                                            | 06/19/12                | K                               | B                              |                                                                   |
| 167. MARSHALL SMALL CAP GROWTH FD<br>CL Y (MRSCX)                                                                           | A                                       | Dividend                                      |                                                 | T                                         | Sold                                            | 06/19/12                | K                               | A                              |                                                                   |
| 168. METROPOLITAN WEST TOTAL<br>RETURN BOND M (MWTRX)                                                                       | A                                       | Interest                                      | L                                               | T                                         | Buy<br>(add'l)                                  | 10/01/12                | K                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Carnes, Julie E.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 169. OAKMARK INTL FD CLASS I (OAKIX)                                                                                        | A                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 01/12/12                | K                               |                                |                                                                   |
| 170. OPPENHEIMER DEVELOPING MKTS<br>FD CL A (ODMAX)                                                                         | A                                       | Dividend                                      |                                                 | T                                         | Sold                                            | 01/03/12                | K                               | A                              |                                                                   |
| 171. OPPENHEIMER EQUITY INCM FD CL A<br>(QCV3Z)                                                                             | A                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 172. OPPENHEIMER DEVELOPING MKTS<br>FD CL Y (ODVYX)                                                                         | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 01/13/12                | K                               |                                |                                                                   |
| 173. PIMCO EXCH TR FUND ENHANCED<br>SHORT MAT. STRATEGY FD (MINT)                                                           | A                                       | Dividend                                      |                                                 | T                                         | Sold                                            | 02/02/12                | J                               | A                              |                                                                   |
| 174. PIMCO EXCH TRADED FUND 15+ YR<br>US TIPS INDEX FD (LTPZ)                                                               | A                                       | Dividend                                      |                                                 | T                                         | Sold<br>(part)                                  | 02/02/12                | J                               | A                              |                                                                   |
| 175.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/03/12                | J                               | B                              |                                                                   |
| 176. PIMCO FOREIGN BOND FD<br>UNHEDGED CL D (PFBDX)                                                                         | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 03/26/12                |                                 |                                |                                                                   |
| 177. POWERSHS DB COMMDTY INDX<br>(DBC)                                                                                      | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 06/06/12                | J                               |                                |                                                                   |
| 178. POWERSHARES S&P ETF 500 LOW<br>VOLATILITY (SPLV)                                                                       | C                                       | Dividend                                      | M                                               | T                                         | Buy                                             | 02/02/12                | J                               |                                |                                                                   |
| 179.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/14/12                | J                               |                                |                                                                   |
| 180.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/30/12                | J                               |                                |                                                                   |
| 181.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/11/12                | J                               |                                |                                                                   |
| 182.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/14/12                | K                               |                                |                                                                   |
| 183.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/28/12                | J                               |                                |                                                                   |
| 184.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/07/12                | M                               |                                |                                                                   |
| 185. POWERSHARES GLOBAL ETF<br>FUNDAMENTAL HIGH YLD USD (PHB)                                                               | A                                       | Dividend                                      |                                                 | T                                         | Buy                                             | 02/09/12                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Carnes, Julie E.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
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| 186.                                                                                                                        |                                         |                                               |                                                 | T                                         | Sold                                            | 10/03/12                | J                               | A                              |                                                                   |
| 187. POWERSHS EXCH TRAD FD TR<br>EMERGING MKTS SOVEREIGN (PCY)                                                              | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 10/03/12                | J                               |                                |                                                                   |
| 188. POWERSHS QQQ TRUST SER 1 (QQQ)                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 02/02/12                | K                               |                                |                                                                   |
| 189.                                                                                                                        | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 10/03/12                | J                               | A                              |                                                                   |
| 190. RIDGEWORTH HIGH INCOME CL I:<br>(STHTX)                                                                                | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 03/26/12                |                                 |                                |                                                                   |
| 191. SENTINEL SMALL COMPANY I<br>(SIGWX)                                                                                    | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 01/12/12                | K                               |                                |                                                                   |
| 192. SPDR BARCLAYS CAPITAL HIGH<br>YIELD BOND ETF: (JNK)                                                                    | A                                       | Dividend                                      |                                                 | T                                         | Buy                                             | 02/09/12                | J                               |                                |                                                                   |
| 193.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/03/12                | J                               | A                              |                                                                   |
| 194. SPDR BARCLAYS CAP ETF SHORT<br>TERM CORPORATE (SCPB)                                                                   | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 02/02/12                | J                               |                                |                                                                   |
| 195.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/03/12                | J                               |                                |                                                                   |
| 196. S P D R S&P 500 ETF TR EXPIRING<br>01/22/2118 (SPY)                                                                    | A                                       | Dividend                                      |                                                 | T                                         | Buy<br>(add'l)                                  | 02/02/12                | J                               |                                |                                                                   |
| 197.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/11/12                | J                               | A                              |                                                                   |
| 198. SPDR GOLD TRUST SPDR GOLD<br>SHARES (GLD)                                                                              | A                                       | Dividend                                      | L                                               | T                                         | Buy<br>(add'l)                                  | 02/12/12                | J                               |                                |                                                                   |
| 199.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/07/12                | J                               | A                              |                                                                   |
| 200.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/19/12                | K                               |                                |                                                                   |
| 201.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/01/12                | K                               |                                |                                                                   |
| 202. VANGUARD INTL EQTY INDEX FTSE<br>ALL WORLD EX US ETF (VEU)                                                             | A                                       | Dividend                                      |                                                 | T                                         | Sold                                            | 06/14/12                | J                               | A                              |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
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Name of Person Reporting

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| 203. VANGUARD EQUITY INCOME FD<br>INVESTOR SHRS (VEIPX)                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 204. VANGUARD REIT (VNQ)                                                                                                    | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 02/02/12                | J                               | A                              |                                                                   |
| 205.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/06/12                | J                               |                                |                                                                   |
| 206.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/01/12                | J                               |                                |                                                                   |
| 207. VANGUARD SMALL CAP VALUE<br>(VBR)                                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 208. VANGUARD WHITEHALL FUNDS<br>HIGH DIVIDEND YIELD (VYM)                                                                  | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 209. VANGUARD BOND INDEX<br>FUNDTOTAL BOND MARKET ETF<br>(BND)                                                              | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 02/02/12                | J                               |                                |                                                                   |
| 210. VANGUARD DIV APPRCIATION (VIG)                                                                                         | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 211. VANGUARD MSCI EAFE ETF (VEA)                                                                                           | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 212. VANGUARD MSCI EMERGING<br>MARKETS ETF (VWO)                                                                            | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 05/30/12                | J                               |                                |                                                                   |
| 213.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/11/12                | J                               |                                |                                                                   |
| 214.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/06/12                | J                               | A                              |                                                                   |
| 215. VANGUARD TOTAL STOCK MKT (VTI)                                                                                         | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 216. WISDOMTREE ASIA ETF LOCAL DEBT<br>FUND (ALD)                                                                           | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 217. WISDOMTREE DIVIDEND EX<br>FINANCIALS FUND (DTN)                                                                        | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 03/08/12                |                                 |                                |                                                                   |
| 218. GENL ELEC CAP CP 5.1%15<br>INTERNOTE DUE 08/15/15                                                                      | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                           |                                                                                                                   |                                                        |                                                                                          |                                                              |                         |
|-------------------------------------------|-------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:                     | A = \$1,000 or less<br>(See Columns B1 and D4)                                                                    | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3) | F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)  | Q = Appraisal<br>U = Book Value                                                                                   | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Carnes, Julie E.

Date of Report

05/14/2013

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 219. PRINCIPAL LIFE 5.10%14 NOTES DUE 04/15/14                                                                              | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 220. VERIZON GLOBAL 4.9%15 BONDS DUE 09/15/15 CALLABLE                                                                      | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 221. LOEWS CORP 5.25%16 NOTES DUE 03/15/16                                                                                  | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 222. STATE BK INDIA CD DUE 06/22/17                                                                                         | A                                       | Interest                                      | L                                               | T                                         | Buy                                             | 06/19/12                | K                               |                                |                                                                   |
| 223. AMERICAN MUN PWR REV DUE 02/15/23                                                                                      | B                                       | Interest                                      | K                                               | T                                         | Buy                                             | 04/03/12                | K                               |                                |                                                                   |
| 224. CALIFORNIA ST ECONO 3%13EXIT DUE 07/01/13                                                                              | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 225. LOS ANGELES CALIF GO U/LTX DUE 07/01/17                                                                                | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 06/22/12                | K                               |                                |                                                                   |
| 226. DALLAS FORT WORTH REV DUE 11/01/22                                                                                     | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 01/18/12                | K                               |                                |                                                                   |
| 227. NEW YORK N Y CITY 5%17REV DUE 06/15/17                                                                                 | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 228. PENNSYLVANIA ST 5%20GO UTX DUE 07/01/20                                                                                | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 229. SAN FRANCISCO CALIF GO UTX DUE 06/15/18                                                                                | B                                       | Interest                                      | K                                               | T                                         | Buy                                             | 06/22/12                | K                               |                                |                                                                   |
| 230. SAN FRANCISCO CALIF REV DUE 05/01/21                                                                                   | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 06/22/12                | K                               |                                |                                                                   |
| 231. SAN FRANCISCO CALIF GO U/LTX DUE 06/15/24                                                                              | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 06/22/12                | K                               |                                |                                                                   |
| 232. VIRGINIA ST PUB SCH 5%17GO DUE 08/01/17                                                                                | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 233. TALLAHASSEE FLA HLTHFACSREV TALLAHASSEE MEM HLTHCARE 12/1/30                                                           | C                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 234. GEORGIA MUN GAS AUTH GAS REV TOCCOA PROJ DUE 6/01/20                                                                   | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

# FINANCIAL DISCLOSURE REPORT

Page 18 of 21

Name of Person Reporting

Carnes, Julie E.

Date of Report

05/14/2013

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                            |   |          |   |   |                |          |   |   |  |
|------------------------------------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 235. BLACKROCK STRATEGIC INCOME<br>OPPRNTS PTF             | A | Dividend | K | T | Buy<br>(add'l) | 05/30/12 | J |   |  |
| 236.                                                       |   |          |   |   | Buy<br>(add'l) | 06/11/12 | J |   |  |
| 237. DOUBLELINE CORE FIXED INCOME<br>FUND CL I             | B | Dividend | L | T | Buy<br>(add'l) | 05/30/12 | J |   |  |
| 238.                                                       |   |          |   |   | Buy<br>(add'l) | 06/11/12 | J |   |  |
| 239. DOUBLELINE TOTAL RETURN BOND<br>FUND CL I             | C | Dividend | L | T | Buy<br>(add'l) | 05/30/12 | J |   |  |
| 240.                                                       |   |          |   |   | Buy<br>(add'l) | 06/11/12 | J |   |  |
| 241.                                                       |   |          |   |   | Sold<br>(part) | 09/05/12 | J | A |  |
| 242. EATON VANCE AMT FREE<br>MUNICIPAL INCOME CL I (EVMBX) | C | Dividend | L | T |                |          |   |   |  |
| 243. FRANKLIN INCOME FD ADV                                | C | Dividend | K | T | Buy<br>(add'l) | 06/11/12 | J |   |  |
| 244.                                                       |   |          |   |   | Buy<br>(add'l) | 08/28/12 | J |   |  |
| 245. GOLDMAN SACHS SATELLITE<br>STRATEGIES PORT FD I       | D | Dividend | M | T | Buy<br>(add'l) | 05/30/12 | J |   |  |
| 246.                                                       |   |          |   |   | Buy<br>(add'l) | 06/11/12 | J |   |  |
| 247. ISHARES IBOXX \$ INVT GRADE CORP<br>BOND FUND (LQD)   | A | Dividend |   | T | Buy<br>(add'l) | 05/30/12 | J |   |  |
| 248.                                                       |   |          |   |   | Buy<br>(add'l) | 06/11/12 | J |   |  |
| 249.                                                       |   |          |   |   | Sold<br>(part) | 10/03/12 | J | A |  |
| 250.                                                       |   |          |   |   | Sold           | 12/03/12 | J | A |  |
| 251. ISHARES BARCLAYS MBS BON FUND<br>(MBB)                | A | Dividend |   |   | Buy<br>(add'l) | 05/30/12 | J |   |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Carnes, Julie E.

Date of Report

05/14/2013

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                   |   |          |   |   |                |          |   |   |  |
|---------------------------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 252.                                              |   |          |   |   | Sold           | 09/05/12 | J | A |  |
| 253. ISHARES MSCI EAFE INDEX FUND                 | A | Dividend | J | T | Buy<br>(add'l) | 05/30/12 | J |   |  |
| 254.                                              |   |          |   |   | Buy<br>(add'l) | 06/11/12 | J |   |  |
| 255. THORNBURG INVSMT INCOME<br>BUILDER FUND CL I | C | Dividend | L | T | Buy<br>(add'l) | 05/31/12 | J |   |  |
| 256.                                              |   |          |   |   | Buy<br>(add'l) | 06/11/12 | J |   |  |
| 257.                                              |   |          |   |   | Buy<br>(add'l) | 08/28/12 | J |   |  |
| 258. VANGUARD VALUE ETF (VTI)                     | C | Dividend | K | T | Buy<br>(add'l) | 05/30/12 | J |   |  |
| 259.                                              |   |          |   |   | Buy<br>(add'l) | 06/11/12 | J |   |  |
| 260.                                              |   |          |   |   | Buy<br>(add'l) | 09/06/12 | J |   |  |
| 261. YACKTMAN FUND CL SERVICE                     | A | Dividend | K | T | Buy<br>(add'l) | 05/30/12 | J |   |  |
| 262.                                              |   |          |   |   | Buy<br>(add'l) | 06/11/12 | J |   |  |
| 263.                                              |   |          |   |   | Buy<br>(add'l) | 09/06/12 | J |   |  |
| 264. K&S Cash Balance Plan                        | D | Dividend | M | T | Buy            | 01/01/12 |   |   |  |
| 265. Invesco Growth and Income R5 (ACGQX)         | A | Dividend | J | T | Buy            | 11/20/12 |   |   |  |
| 266. JPMorgan Large Cap Growth R6 (JLGMX)         | A | Dividend | J | T | Buy            | 11/20/12 |   |   |  |
| 267. RidgeWorth Intl Equity Index I (SIEIX)       | A | Dividend | J | T | Buy            | 11/20/12 |   |   |  |
| 268. PIMCO Total Return Instl (PTTRX)             | A | Dividend | K | T | Buy            | 11/20/12 |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Carnes, Julie E.

Date of Report

05/14/2013

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Julie E. Carnes**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544