

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McConnell Jr, John J.	2. Court or Organization District of Rhode Island	3. Date of Report 01/09/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States District Court District of Rhode Island One Exchange Terrace Providence, RI 02903		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Officer and Board Member	Crossroads RI
2.	Member	Marthas Vineyard Colonial Inn LLC
3.	Board Member	Institute for the Study & Practice of NonViolence
4.	Board Member	Trinity Repertory Company
5.	Custodian	Alliance Capital 529 College Fund #2
6.	Trustee	Family Trust #1
7.	Trustee	Family Trust #2, closed May 2017
8.	Board Member	Downtown Providence Parks Conservancy
9.	Chair, Selection Committee	Hassenfeld Family Foundation, RI Public Interest Service Fellowship
10.	Board Member	Women's Center of Rhode Island
11.	Board Member	Federal Judges' Association

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐

NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1. 1/30/2003	Ness Motley, P.A. (n/k/a MRRM, P.A.) Employment and Compensation Agreement (see Section VIII for further details)
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2. 5/13/2011	Assignment of Certain Rights in MRRM, P.A. (see Section VIII for further details)
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3. 5/13/2011	Assignment of Membership Interests in Motely Rice LLC and Mutual Release (see Section VIII for further details)
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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/31/2017	Deferred Compensation, MRRM PA	\$2,463,696.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Brokerage Account #1 (H)									
2. --Wells Fargo Money Fund	A	Interest	M	T					
3. --Allergan(Fka Actavis)name change 6-15-15	A	Dividend			Buy (add'l)	01/05/17	J		
4.					Buy (add'l)	03/01/17	J		
5.					Sold (part)	10/24/17	L		
6.					Sold	10/24/17	K		
7. --Affiliated Managers Group	A	Dividend	M	T	Buy (add'l)	02/21/17	J		
8. --Apple Inc	B	Dividend	M	T					
9. --Applied Materials Inc	A	Dividend	M	T	Buy (add'l)	06/19/17	L		
10. --CBOE Holdings Inc	B	Dividend	M	T	Buy (add'l)	01/05/17	J		
11.					Buy (add'l)	01/25/17	J		
12. --Celgene Corp		None	L	T	Buy (add'l)	01/05/17	J		
13.					Buy (add'l)	02/21/17	J		
14.					Sold (part)	11/22/17	L		
15. --Charles Schwab Corp	A	Dividend	M	T	Buy (add'l)	04/27/17	K		
16.					Buy (add'l)	06/19/17	K		
17. --CME Group	C	Dividend	M	T	Buy (add'l)	01/05/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	01/25/17	J		
19. --Danaher Corp	A	Dividend	M	T	Buy (add'l)	01/31/17	K		
20.					Buy (add'l)	02/21/17	J		
21. --EFT Managers TR (formerly PureFunds ISE Cyber)	A	Dividend	K	T	Buy (add'l)	01/05/17	J		
22. --Express Scripts Hldg Co					Sold	03/15/17	K	C	
23. --Fedex Corp	A	Dividend	M	T	Buy (add'l)	01/05/17	K		
24.					Buy (add'l)	02/21/17	J		
25. --Fireeye Inc		None	J	T					
26. --First Trust NYSE Arca Biotechnology Index Fund		None	K	T	Buy	01/05/17	K		
27. --Global X	A	Dividend	K	T					
28. --Intel Corp	B	Dividend	L	T					
29. --IQVIA Hldgs Inc		None	M	T	Buy	06/22/17	L		
30.					Buy (add'l)	09/18/17	K		
31. --Ishares China	B	Dividend	L	T					
32. --Ishares NASDAQ	A	Dividend	K	T	Buy	01/05/17	K		
33. --Ishares TRMCI Emerging Mkts Index Fd	B	Dividend	L	T					
34. --Ishares Tr Russell 2000 Index Fd	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. --Ishares Transportation Average ETF	B	Dividend	M	T	Buy (add'l)	03/01/17	K		
36.					Buy (add'l)	04/26/17	J		
37. --Ishares US Broker Dealers	A	Dividend	K	T	Buy	01/05/17	K		
38. --Johnson & Johnson	B	Dividend	L	T					
39. --Lam Research Corp		None	M	T	Buy	09/13/17	K		
40.					Buy (add'l)	10/24/17	K		
41.					Buy (add'l)	11/22/17	K		
42. --Lattice Semiconductor Corp		None	K	T					
43. --Morgan Stanley	A	Dividend	L	T					
44. --NXP Semiconductors					Sold (part)	01/18/17	J	A	
45.					Sold	05/05/17	J	D	
46. --Powershares Golden Dragon China	B	Dividend	M	T	Buy (add'l)	04/26/17	K		
47. --Qualcomm Inc	D	Dividend	M	T	Sold (part)	01/18/17	K	D	
48. --SPDR Gold Trust		None	K	T					
49. --SPDR S&P Biotech ET	A	Dividend	N	T					
50. --SPDR S&P Midcap 400 Trust Series N	C	Dividend	N	T					
51. --SPDR S&P 500 Trust	D	Dividend	N	T					

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52. --SPDR Ser Tr S&P 600 Small Cap	D	Dividend	M	T					
53. --SPDR S&P Semiconductor ETF	A	Dividend	L	T	Buy (add'l)	04/26/17	K		
54. --Stryker Corp	B	Dividend	M	T					
55. --Thermo Fisher Scientific Inc	A	Dividend	M	T	Buy (add'l)	01/05/17	J		
56.					Buy (add'l)	02/21/17	J		
57. --United Natural Foods Inc		None	K	T	Sold (part)	10/24/17	J		
58. --Vanguard Mid Cap ETF	A	Dividend	K	T					
59. --Vanguard S&P 500	C	Dividend	M	T					
60. --Whole Foods Market	A	Dividend			Sold (part)	06/19/17	K	D	
61.					Sold	06/27/17	K	D	
62. --Wisdomtree Investments	B	Dividend	L	T	Buy (add'l)	04/11/17	J		
63.					Buy (add'l)	06/27/17	J		
64. --Xilinx Inc	D	Dividend	N	T					
65.									
66. Family Trust #1 (H)									
67. --Wells Fargo Money Fund	A	Interest	M	T	Distributed (part)	05/22/17	M		
68. --Affiliated Managers Group	A	Dividend	M	T	Buy (add'l)	02/21/17	J		

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69.					Distributed (part)	05/22/17	L		
70. --Allergan(Fka Actavis)name change 6-15-15	A	Dividend			Buy (add'l)	01/05/17	J		
71.					Distributed (part)	05/22/17	L		
72.					Sold	10/24/17	L		
73. --Apple Inc	B	Dividend	M	T	Distributed (part)	05/22/17	K		
74. --Applied Materials Inc	A	Dividend	M	T	Distributed (part)	05/22/17	K		
75.					Buy (add'l)	06/19/17	L		
76. --CBOE Holdings Inc	B	Dividend	M	T	Buy (add'l)	01/05/17	J		
77.					Buy (add'l)	01/24/17	J		
78.					Distributed (part)	05/22/17	L		
79. --Celgene Corp		None	L	T	Buy (add'l)	01/05/17	J		
80.					Buy (add'l)	02/21/17	J		
81.					Distributed (part)	05/22/17	L		
82.					Sold (part)	11/22/17	L	C	
83. --Charles Schwab Corp	A	Dividend	M	T	Buy	04/27/17	K		
84.					Buy (add'l)	06/19/17	K		
85. --CME Group	C	Dividend	M	T	Buy (add'l)	01/05/17	J		

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86.					Buy (add'l)	01/24/17	J		
87.					Distributed (part)	05/22/17	K		
88. --Danaher Corp	A	Dividend	M	T	Buy (add'l)	01/31/17	J		
89.					Buy (add'l)	01/05/17	J		
90.					Distributed (part)	05/22/17	M		
91. --EFT Managers TR (formerly PureFunds ISE Cyber)	A	Dividend	L	T	Buy	01/05/17	J		
92.					Distributed (part)	05/22/17	K		
93. --Express Scripts Hldg Co					Sold	03/15/17	K	C	
94. --Fedex Corp	A	Dividend	M	T	Buy (add'l)	01/05/17	K		
95.					Buy (add'l)	02/21/17	J		
96.					Distributed (part)	05/22/17	K		
97. --First Trust NYSE Arca Biotechnology Index Fund		None	K	T	Buy	01/05/17	K		
98. --GlobalX	A	Dividend	K	T	Distributed (part)	05/22/17	K		
99. --Intel Corp	B	Dividend	L	T	Distributed (part)	05/22/17	K		
100. --IQVIA Hldgs l(nc		None	M	T	Buy	06/22/17	L		
101.					Buy (add'l)	09/18/17	K		
102. --Ishares China	B	Dividend	L	T	Distributed (part)	05/22/17	K		

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103. --Ishares NASDAQ	A	Dividend	K	T	Buy	01/05/17	K		
104. --ISHRS Russell 2000 Index	C	Dividend	N	T	Distributed (part)	05/22/17	L		
105. --Ishares TR MSCI Emergin Mkts Index Fd	A	Dividend	K	T	Distributed (part)	05/22/17	K		
106. --Ishares Transportation Average ETF	B	Dividend	M	T	Buy (add'l)	03/01/17	K		
107.					Buy (add'l)	04/26/17	J		
108.					Distributed (part)	05/22/17	L		
109. --Ishares US Broker Dealers	A	Dividend	K	T	Buy	01/05/17	K		
110. --Johnson & Johnson	B	Dividend	L	T	Distributed (part)	05/22/17	K		
111. --Lam Research Corp		None	M	T	Buy	09/13/17	K		
112.					Buy (add'l)	10/24/17	K		
113.					Buy (add'l)	11/22/17	K		
114. --Lattice Semiconductor Corp		None	K	T	Distributed (part)	05/22/17	K		
115. --Morgan Stanley	A	Dividend	L	T	Distributed (part)	05/22/17	K		
116. --NXP Semiconductors		None			Sold (part)	01/18/17	J	B	
117.					Sold	05/05/17	K	D	
118. --Powershares Golden Dragon China	B	Dividend	M	T	Buy (add'l)	04/26/17	K		
119.					Distributed (part)	05/22/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --Qualcomm Inc	D	Dividend	M	T	Sold (part)	01/18/17	K	E	
121.					Distributed (part)	05/22/17	M		
122. --SPDR Gold Trust		Dividend	K	T	Distributed (part)	05/22/17	J		
123. --SPDR S&P 500 Trust	C	Dividend	N	T	Distributed (part)	05/22/17	M		
124. --SPDR S&P Biotech	A	Dividend	N	T	Distributed (part)	05/22/17	L		
125. --SPDR S&P Midcap 400	B	Dividend	M	T	Distributed (part)	05/22/17	L		
126. --SPDR Ser Tr S&P 600 Small Cap	D	Dividend	M	T	Distributed (part)	05/22/17	L		
127. --SPDR S&P Semiconductor ETF	A	Dividend	L	T	Buy (add'l)	04/26/17	K		
128.					Distributed (part)	05/22/17	K		
129. --Stryker	B	Dividend	M	T	Distributed (part)	05/22/17	L		
130. --Thermo Fisher Scientific Inc	A	Dividend	M	T	Buy (add'l)	01/05/17	J		
131.					Buy (add'l)	02/21/17	J		
132.					Distributed (part)	05/22/17	L		
133. --United Natural Foods Inc		None	K	T	Distributed (part)	05/22/17	K		
134. --Vanguard Mid Cap ETF	A	Dividend	K	T	Distributed (part)	05/22/17	K		
135. --Vanguard S&P 500 ETF	C	Dividend	M	T	Distributed (part)	05/22/17	L		
136. --Whole Foods Market	A	Dividend			Distributed (part)	05/22/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	06/19/17	K	D	
138.					Sold	06/27/17	K	D	
139. --Wisdomtree Investments	B	Dividend	L	T	Buy (add'l)	04/11/17	J		
140.					Distributed (part)	05/22/17	K		
141.					Buy (add'l)	06/27/17	J		
142. --Xilinx Inc	D	Dividend	N	T	Distributed (part)	05/22/17	L		
143.									
144. Family Trust #2 (H)									
145. --Wells Fargo Money Fund	A	Interest			Distributed	05/05/17	N		
146. --Allergan(FkaActavis) Name change 6-15-15	A	Dividend			Buy (add'l)	03/01/17	K		
147.					Distributed	05/05/17	M		
148. --Affiliated Managers Group	A	Dividend			Buy (add'l)	02/21/17	K		
149.					Buy (add'l)	03/01/17	K		
150.					Distributed	05/05/17	M		
151. --Apple Inc	A	Dividend			Distributed	05/05/17	L		
152. --Applied Materials Inc	A	Dividend			Distributed	05/05/17	K		
153. --CBOE Holdings Inc	A	Dividend			Distributed	05/05/17	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --Celgene Corp	A	Dividend			Distributed	05/05/17	M		
155. --CME Group	D	Dividend			Distributed	05/05/17	L		
156. --Danaher Corp	A	Dividend			Buy (add'l)	01/31/17	K		
157.					Distributed	05/05/17	L		
158. --Dell Technologies Inc					Sold	02/21/17	K	D	
159. --EFT Managers TR (formerly PureFunds ISE Cyber)	A	Dividend			Buy (add'l)	02/21/17	J		
160.					Distributed	05/05/17	K		
161. --Express Scripts Hldg Co					Sold (part)	03/01/17	L	E	
162.					Sold	03/01/17	K	D	
163. --Fedex Corp	A	Dividend			Buy (add'l)	02/21/17	J		
164.					Buy (add'l)	03/01/17	K		
165.					Distributed	05/05/17	M		
166. --Global X	A	Dividend			Distributed	05/05/17	K		
167. --Intel Corp	A	Dividend			Distributed	05/05/17	L		
168. --Ishares China		None			Distributed	05/05/17	L		
169. --ISHRS Russell 2000 Index	A	Dividend			Distributed	05/05/17	M		
170. --Ishares TRMSCI Emerging Mkts Index Fd	A	Dividend			Distributed	05/05/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A --\$1,000 or less F --\$50,001 - \$100,000	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000	C --\$2,501 - \$5,000 H1 --\$1,000,001 - \$5,000,000	D --\$5,001 - \$15,000 H2 --More than \$5,000,000	E --\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J --\$15,000 or less N --\$250,001 - \$500,000 P3 --\$25,000,001 - \$50,000,000	K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000	L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000 P4 --More than \$5,000,000	M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q --Appraisal U --Book Value	R --Cost (Real Estate Only) V --Other	S --Assessment W --Estimated	T --Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. ---iShares Transportation Average ETF	A	Dividend			Buy (add'l)	03/01/17	K		
172.					Distributed	05/05/17	M		
173. --Johnson & Johnson	A	Dividend			Sold (part)	03/01/17	K	D	
174.					Distributed	05/05/17	L		
175. --Lattice Semiconductor Corp		None			Distributed	05/05/17	L		
176. --Morgan Stanley	A	Dividend			Distributed	05/05/17	L		
177. --NXP Semiconductors		None			Sold (part)	01/18/17	J	C	
178.					Sold	05/05/17	L	E	
179. --Powershares Golden Dragon China		None			Distributed	05/05/17	L		
180. --Qualcomm Inc	B	Dividend			Sold (part)	01/18/17	L	E	
181.					Distributed	05/05/17	N		
182. --SPDR Gold Trust		None			Distributed	05/05/17	K		
183. --SPDR S&P Biotech	A	Dividend			Distributed	05/05/17	M		
184. --SPDR S&P Midcap 400	B	Dividend			Distributed	05/05/17	M		
185. --SPDR S&P 500 Trust ETF	C	Dividend			Distributed	05/05/17	M		
186. --SPDR Ser Tr S&P 600 Small Cap ETF	A	Dividend			Distributed	05/05/17	M		
187. --SPDR® S&P Semiconductor ETF	A	Dividend			Distributed	05/05/17	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. --Stryker	B	Dividend			Distributed	05/05/17	M		
189. --Thermo Fisher Scientific Inc	A	Dividend			Buy (add'l)	03/01/17	K		
190.					Distributed	05/05/17	M		
191. --United Natural Foods Inc		None			Distributed	05/05/17	K		
192. --Vanguard Mid Cap ETF	A	Dividend			Distributed	05/05/17	K		
193. --Vanguard S&P 500 ETF	B	Dividend			Distributed	05/05/17	M		
194. --Whole Foods Market	A	Dividend			Distributed	05/05/17	K		
195. --Wisdomtree Investments	A	Dividend			Distributed	05/05/17	L		
196. --Xilinx Inc.	B	Dividend			Distributed	05/05/17	M		
197.									
198. IRA #1 (H)									
199. --Wells Fargo Cash	A	Interest	J	T					
200. --Allergan (Fka Actavis) name change 6/15/15	A	Dividend			Buy (add'l)	03/01/17	J		
201.					Sold	10/24/17	J		
202. --Affiliated Managers Group	A	Dividend	J	T	Buy (add'l)	03/01/17	J		
203. --Apple Inc	A	Dividend	J	T					
204. --Applied Materials Inc	A	Dividend	J	T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. --CBOE Holdings Inc	A	Dividend	J	T	Buy (add'l)	01/25/17	J		
206. --Celgene Corp		None	J	T	Buy (add'l)	02/21/17	J		
207. --CME Group	A	Dividend	J	T	Buy (add'l)	01/25/17	J		
208. --Danaher Corp	A	Dividend	J	T	Buy (add'l)	01/31/17	J		
209. --Dell Technologies Inc		None			Sold	03/01/17	J	A	
210. --ETFS Platinum Trust Shs Ben Int ETF		None			Sold	03/01/17	J		
211. --Express Scripts Hldg Co		None			Sold	03/15/17	J	A	
212. --Fedex Corp	A	Dividend	J	T	Buy (add'l)	03/01/17	J		
213. --Fireeye Inc		None			Sold	10/24/17	J		
214. --Global X Funds ET	A	Dividend	J	T					
215. --Intel Corp	A	Dividend	J	T					
216. --IQVIA Hldgs Inc		None	J	T	Buy	06/21/17	J		
217.					Buy (add'l)	09/18/17	J		
218. --Ishares Russell Microcap Index Fund	A	Dividend	J	T					
219. --Ishares TR MSCI Emerging Mkts Index Fd	A	Dividend	J	T					
220. --Ishares NASDAQ Biotech	A	Dividend	J	T					
221. --iShares Transportation Average ETF	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222. --Ishares US Broker Dealers	A	Dividend	J	T					
223. --Johnson & Johnson	A	Dividend	J	T					
224. --Lam Research Corp		None	J	T	Buy	09/15/17	J		
225.					Buy (add'l)	10/24/17	J		
226. --Lattice Semiconductor Corp		None			Sold	10/24/17	J		
227. --Morgan Stanley	A	Dividend	J	T					
228. --NXP Semiconductore		None			Sold (part)	01/18/17	J	A	
229.					Sold	05/05/17	J	A	
230. --Potash Corp of Saskatchewan Inc	A	Dividend			Sold	08/17/17	J		
231. --Powershares Golden Dragon China	A	Dividend	J	T					
232. --Qualcomm Inc	A	Dividend	J	T					
233. --Guggenheim S&P 500 Equal Wgthed Index Fd fka Rydex	A	Dividend	J	T					
234. --SPDR Gold Trust		None			Sold	03/01/17	J		
235. --SPDR S&P Biotech	A	Dividend	J	T					
236. --SPDR S&P China		None			Sold	03/01/17	J		
237. --SPDR Ser Tr S&P 600 Small Cap	A	Dividend	J	T					
238. --Stryker	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. --Thermo Fisher Scientific Inc	A	Dividend	J	T	Buy (add'l)	10/24/17	J		
240. --United Natural Foods		None			Sold	03/01/17	J		
241. --Vanguard Mid Cap	A	Dividend	J	T					
242. --Vanguard MSCI ETF Emerging	A	Dividend	J	T					
243. --Vanguard Russell 2000 Growth	A	Dividend	J	T					
244. --Vanguard Russell 2000 Value	A	Dividend	J	T					
245. --Vanguard S&P 500	A	Dividend	J	T					
246. --Walmart	A	Dividend	J	T					
247. --Whole Foods Market	A	Dividend			Sold (part)	06/19/17	J	A	
248.					Sold	08/17/17	J	A	
249. --Wisdomtree Investments	A	Dividend	J	T	Buy (add'l)	04/11/17	J		
250.									
251. IRA #2 (H)									
252. --Wells Fargo Money Fund	A	Interest	J	T					
253. --Allergan (Fka Actavis) nmae change 6/15/15	A	Dividend			Buy (add'l)	03/01/17	J		
254.					Sold	10/24/17	J		
255. --Affiliated Managers Group	A	Dividend	J	T	Buy (add'l)	03/01/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. --Apple Inc	A	Dividend	J	T					
257. --Applied Materials	A	Dividend	J	T					
258. --CBOE Holdings Inc	A	Dividend	J	T	Buy (add'l)	01/25/17	J		
259. --Celgene Corp		None	J	T	Buy (add'l)	02/21/17	J		
260. --CME Group	A	Dividend	J	T	Buy (add'l)	01/25/17	J		
261. --DanaHER Corp	A	Dividend	J	T	Buy	01/31/17	J		
262. --Dell Technologies Inc		None			Sold	03/01/17	J	A	
263. --Express Scripts Hldg Co		None			Sold	03/15/17	J	A	
264. --ETFS Platinum Trust Shs Ben Int ETF		None			Sold	03/01/17	J		
265. --Fedex Corp	A	Dividend	J	T	Buy (add'l)	03/01/17	J		
266. --Fireeye Inc		None			Sold	10/24/17	J		
267. --Global X	A	Dividend	J	T					
268. --Intel Corp	A	Dividend	J	T					
269. --IQVIA Hldgs Inc		None	J	T	Buy	06/22/17	J		
270.					Buy (add'l)	09/18/17	J		
271. --Ishares NASDAQ	A	Dividend	J	T					
272. --Ishares Russell Microcap Index Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. --Ishares TR MSCI Emerging Mkts Index Fd	A	Dividend	J	T					
274. --Ishares Transportation Average ETF	A	Dividend	J	T					
275. --Ishares US Brokers Dealers	A	Dividend	J	T					
276. --Johnson & Johnson	A	Dividend	J	T					
277. --Lam Research Corp		None	J	T	Buy	09/15/17	J		
278.					Buy (add'l)	10/24/17	J		
279. --Lattice Semiconductors		None			Sold	10/24/17	J		
280. --Morgan Stanley	A	Dividend	J	T					
281. --NXP Semiconductors		None			Sold (part)	01/18/17	J	A	
282.					Sold	05/05/17	J	A	
283. --Potash Corp of Saskatchewan Inc	A	Dividend			Sold	08/17/17	J		
284. --Powershares Golden Dragon China	A	Dividend	J	T					
285. --Qualcomm Inc	A	Dividend	J	T					
286. --Guggenheim S&P 500 Equal Wgtd Index Fd fka Rydex	A	Dividend	J	T					
287. --SPDR S&P Biotech	A	Dividend	J	T					
288. --SPDR S&P China		None			Sold	03/01/17	J		
289. --SPDR Gold Trust		None			Sold	03/01/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. --SPDR Ser Tr S&P 600 Small Cap	A	Dividend	J	T					
291. --Stryker Corp	A	Dividend	J	T					
292. --Thermo Fisher Scientific	A	Dividend	J	T	Buy (add'l)	10/24/17	J		
293. --United Natural Foods		None			Sold	03/01/17	J		
294. --Vanguard Mid Cap	A	Dividend	J	T					
295. --Vanguard MSCI EAFE	A	Dividend	J	T					
296. --Vanguard Russell 2000 Growth	A	Dividend	J	T					
297. --Vanguard Russell 2000 Value	A	Dividend	J	T					
298. --Vanguard S&P 500	A	Dividend	J	T					
299. --Walmart	A	Dividend	J	T					
300. --Whole Foods Market	A	Dividend			Sold (part)	06/19/17	J	A	
301.					Sold	08/17/17	J	A	
302. --Wisdomtree Investments	A	Dividend	J	T	Buy (add'l)	04/11/17	J		
303.									
304. IRA #3 (H)									
305. --Wells Fargo Money Fund	A	Interest	M	T					
306. --Allergan(Fka Actavis)name change 6-15-15		None			Buy (add'l)	03/01/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Sold	10/24/17	K		
308. --Affiliated Managers Group	A	Dividend	L	T	Buy (add'l)	03/01/17	J		
309. --Apple Inc	B	Dividend	M	T					
310. --Applied Materials Inc	A	Dividend	L	T					
311. --CBOE Holdings Inc	A	Dividend	L	T	Buy (add'l)	01/25/17	K		
312. --Celgene Corp		None	K	T	Buy (add'l)	02/21/17	J		
313. --CME Group	C	Dividend	L	T					
314. --Danaher Corp	A	Dividend	K	T	Buy (add'l)	01/31/17	J		
315.					Sold (part)	10/24/17	J	B	
316. --Dell Technologies Inc		None			Sold	03/01/17	J	B	
317. --EFT Managers Tr		None	J	T	Buy	03/01/17	J		
318. --EFTS Platinum Trust Shs Ben Int EFT		None			Sold	03/01/17	K		
319. --Express Scripts Hldg Co		None			Sold (part)	03/01/17	J	B	
320.					Sold	03/15/17	K	B	
321. --Fedex Corp	A	Dividend	K	T	Buy (add'l)	03/01/17	J		
322. --Fireeye Inc		None			Sold	10/24/17	J		
323. --Global X	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. --Intel Corp	A	Dividend	K	T					
325. --IQVIA Hldgs Inc		None	K	T	Buy	06/22/17	K		
326.					Buy (add'l)	09/18/17	J		
327. --Ishares TRMSCI Emerging Mkts Index Fd	B	Dividend	L	T					
328. --iShares Transportation Average ETF	A	Dividend	K	T	Buy (add'l)	03/01/17	J		
329. --Johnson & Johnson	A	Dividend	K	T					
330. --Lam Research Corp		None	K	T	Buy	09/15/17	J		
331.					Buy (add'l)	10/24/17	K		
332. --Lattice Semiconductor Corp		None			Sold	10/24/17	K		
333. --Morgan Stanley	A	Dividend	L	T					
334. --NXP Semiconductors		None			Sold (part)	01/18/17	J	B	
335.					Sold	05/05/17	K	D	
336. --Potash Corp of Saskatchewan Inc	A	Dividend			Sold	08/17/17	J		
337. --Powershares Golden Dragon China	A	Dividend	K	T					
338. --Guggenheim S&P 500 Equal Wghtd Index Fd(Formerly Rydex)	B	Dividend	M	T					
339. --Qualcomm Inc	B	Dividend	K	T	Sold (part)	01/18/17	J	A	
340. --SPDR Gold Trust		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. --SPDR S&P Biotech ET	A	Dividend	L	T					
342. --SPDR S&P China		None			Sold	03/01/17	K		
343. --Stryker Corp	A	Dividend	L	T					
344. --Thermo Fisher Scientific	A	Dividend	K	T	Buy (add'l)	03/01/17	J		
345.					Buy (add'l)	10/24/17	J		
346. --United Natural Foods Inc		None			Sold	10/24/17	J		
347. --Vanguard Mid Cap	B	Dividend	M	T					
348. --Vanguard MSCIEAFE	B	Dividend	K	T					
349. --Vanguard Russell 2000 Growth	A	Dividend	M	T					
350. --Vanguard Russel 2000 Value	B	Dividend	M	T					
351. --Vanguard S&P 500	B	Dividend	M	T					
352. --Walmart	A	Dividend	K	T	Sold (part)	03/01/17	J		
353. --Wisdomtree Investments	A	Dividend	K	T	Buy	04/11/17	K		
354. --Whole Foods Market	A	Dividend			Sold (part)	06/19/17	J	A	
355.					Sold	08/01/17	J	A	
356. --Xilinx Inc	A	Dividend	K	T					
357.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. Bank RI Accounts #1	A	Interest	J	T					
359. Bank RI Accounts #2	A	Interest	J	T					
360. Bank RI Accounts #3	A	Interest	K	T					
361. Navigant Credit Union	A	Interest	J	T					
362. USAA Accounts #1	A	Interest	P1	T	Open	02/02/17	M		
363.									
364. Alliance Capital 529 College Fund #2 (H)									
365. --CBF Portfolio 1996-98 ALT RA	A	Int./Div.	K	T					
366.									
367. Catalist LLC	A	Interest	K	U					
368. DSF Capital Partners III LP	G	Distribution	J	U					
369. DSF Capital Partners IV LP	G	Distribution	N	U					
370.									
371. MetLife Universal Life		None	N	T					
372. Sun Life Universal Life #1		None	O	T					
373. Sun Life Universal Life #2		None	O	T					
374. Sun Life Universal Life #3		None	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.									
376. U.S. Savings Bonds	A	Interest	J	T					
377.									
378. Martha's Vineyard Colonial Inn LLC		None	P1	U					
379.									
380. Brokerage # 2 (H)									
381. --Ameritrade Insured Deposit Account	A	Interest	J	T	Open	04/26/17	M		
382. --Amazon.com Inc		None	J	T	Buy	05/22/17	J		
383.					Buy (add'l)	06/05/17	J		
384. --Annaly Capital Management Inc	A	Dividend	J	T	Buy	05/22/17	J		
385.					Buy (add'l)	06/05/17	J		
386.					Buy (add'l)	06/06/17	J		
387. --Apple Inc	A	Dividend	J	T	Buy	05/22/17	J		
388.					Buy (add'l)	05/25/17	J		
389.					Buy (add'l)	06/05/17	J		
390.					Buy (add'l)	06/06/17	J		
391.					Sold (part)	09/08/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

McConnell Jr, John J.

Date of Report

01/09/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. --AT&T Corp NFSC	A	Dividend	J	T	Buy	05/22/17	J		
393.					Buy (add'l)	06/05/17	J		
394. --Cardinal Health Inc	A	Dividend	J	T	Buy	05/22/17	J		
395.					Buy (add'l)	06/06/17	J		
396. --Chevron Corp	A	Dividend			Buy	05/22/17	J		
397.					Buy (add'l)	05/25/17	J		
398.					Buy (add'l)	06/05/17	J		
399.					Sold (part)	09/08/17	J	A	
400.					Sold	09/21/17	J	A	
401. --Coca Cola	A	Dividend	J	T	Buy	05/22/17	J		
402.					Buy (add'l)	06/05/17	J		
403.					Buy (add'l)	06/06/17	J		
404. --Exelon Corp	A	Dividend	J	T	Buy	05/22/17	J		
405.					Buy (add'l)	06/05/17	J		
406. --Exxon Mobil	A	Dividend	J	T	Buy	05/19/17	J		
407.					Buy (add'l)	05/25/17	J		
408.					Buy (add'l)	06/05/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Buy (add'l)	06/06/17	J		
410. --Ford Motor Co	A	Dividend	J	T	Buy	05/22/17	J		
411.					Buy (add'l)	05/25/17	J		
412.					Buy (add'l)	05/25/17	J		
413. --General Electric	A	Dividend	K	T	Buy	05/19/17	J		
414.					Buy (add'l)	05/25/17	J		
415.					Buy (add'l)	05/25/17	J		
416.					Buy (add'l)	08/25/17	J		
417.					Buy (add'l)	09/07/17	J		
418.					Buy (add'l)	10/11/17	J		
419.					Buy (add'l)	10/23/17	J		
420.					Buy (add'l)	10/27/17	J		
421. --General Mills Inc	A	Dividend	K	T	Buy	05/22/17	J		
422.					Buy (add'l)	05/25/17	J		
423.					Buy (add'l)	06/06/17	J		
424. --Intel Corp	A	Dividend	J	T	Buy	06/06/17	J		
425. --iShares Core S&P 500 ETF	A	Dividend	K	T	Buy	05/22/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

426.					Buy (add'l)	05/25/17	J		
427.					Buy (add'l)	05/25/17	J		
428.					Buy (add'l)	06/06/17	J		
429. --JPMorgan Chase & Co	A	Dividend	K	T	Buy	05/19/17	J		
430.					Buy (add'l)	05/22/17	J		
431.					Buy (add'l)	06/05/17	J		
432.					Buy (add'l)	06/05/17	J		
433.					Sold (part)	10/05/17	J	A	
434. --Kinder Morgan Inc	A	Dividend	J	T	Buy	05/22/17	J		
435. --Microsoft Corp	A	Dividend	J	T	Buy	06/06/17	J		
436. --New York Community Bancorp Inc	A	Dividend	J	T	Buy	05/22/17	J		
437.					Buy (add'l)	05/25/17	J		
438.					Buy (add'l)	06/05/17	J		
439. --Pfizer Inc	A	Dividend	J	T	Buy	05/19/17	J		
440.					Buy (add'l)	05/25/17	J		
441.					Buy (add'l)	06/05/17	J		
442.					Buy (add'l)	06/06/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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443. --Procter&Gamble	A	Dividend	J	T	Buy	06/06/17	J		
444. --Symantec Corp	A	Dividend	J	T	Buy	06/06/17	J		
445. --Verizon	A	Dividend	J	T	Buy	05/22/17	J		
446.					Buy (add'l)	06/05/17	J		
447. --Waste Management Inc	A	Dividend	J	T	Buy	05/22/17	J		
448.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Name of Person Reporting

McConnell Jr, John J.

Date of Report

01/09/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II Agreements

A) Ness Motley, P.A. Employment and Compensation Agreement, dated January 30, 2003 by and between Ness Motley, P.A. (f/k/a Ness, Motley, Loadholt, Richardson & Poole, Professional Association) and its attorney signatories, setting forth the disbursement of net income received by the firm as compensation for services previously rendered.

B) Assignment of Certain Rights in MRRM, P.A., dated May 13, 2011 by and between MRRM, PA and John J. McConnell, Jr. setting forth the assignment of and payment for Other Rights not covered under the January 30, 2003 agreement above.

C) Assignment of Membership Interests in Motley Rice LLC and Mutual Release, dated May 13, 2011 by and between John J. McConnell, Jr and Motley Rice LLC setting forth the assignment of and payment for membership interests and mutual release of certain liabilities upon resignation of employment by John J. McConnell, Jr.

Part I & Part VII

Family Trust #2 was distributed into Family Trust #1 in May 2017. Family Trust #2 is now no longer reportable.
Family Trust #1 made partial distributions into Brokerage #1 in May 2017.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John J. McConnell Jr**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544