AO 10
Rev. 1/2014

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2013

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Murphy, Diana E.	U.S.Court of Appeals (8th Cir)	05/30/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2013 **to** 12/31/2013

7. Chambers or Office Address

U.S. Courthouse
300 S. Fourth Street, 11E
Minneapolis, MN 55415

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Life Trustee	University of Minnesota Foundation
2.	Trustee	University of St. Thomas
3.	Director	Hill Museum and Manuscript Library
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Murphy, Diana E.	05/30/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Murphy, Diana E.	05/30/2014

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Wells Fargo NTL Tax Free MM Fund, Mpls, MN	A	Interest	M	T					
2. Wells Fargo Ntl Tax Free MM Fund, Mpls, MN	A	Interest	M	T					
3. Checking Acct, Wells Fargo Bk, Mpls, MN	A	Interest	J	T					
4. Trust, Wells Fargo Bk (mun bds & cash eq)	G	Interest	P2	T					
5. IRA Robt W Baird & Co., Milw, WI (USBonds)	D	Interest	M	T					
6. Bond - Minnetonka, MN	C	Interest	L	T					
7. Bond - Maple Grove, MN	A	Interest	L	T					
8. Bond - Olmsted County	C	Interest	L	T					
9. Bond - Richfield, MN	C	Interest	L	T					
10. Bond - Richfield, MN	C	Interest	L	T					
11. Bond - Champlin, MN	C	Interest	L	T					
12. Bond - Chaska, MN	C	Interest	L	T					
13. Bond - Lake Elmo, MN	C	Interest	L	T					
14. Bond - Minnetonka, MN	C	Interest	L	T					
15. Bond - Northfield, MN	C	Interest	L	T					
16. Bond - Saint Anthony, MN	C	Interest	L	T					
17. Bond - Shakopee, MN	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Murphy, Diana E.	05/30/2014

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Bond - Washington Cty, MN	C	Interest	L	T					
19. Bond - West St. Paul, MN		None			Redeemed	02/13/13	L	A	
20. Bond - Bloomington, MN	C	Interest	L	T					
21. Bond - Edina, MN	C	Interest	L	T					
22. Bond - Golden Valley, MN	C	Interest	L	T					
23. Bond - Anoka, MN	C	Interest	L	T					
24. Bond - Bloomington, MN	C	Interest	L	T					
25. Bond - Dayton, MN	C	Interest	L	T					
26. Bond - Golden Valley, MN	C	Interest	L	T					
27. Bond - Golden Valley, MN	C	Interest	L	T					
28. Bond - Golden Valley, MN	C	Interest	L	T					
29. Bond - Bloomington, MN	B	Interest	L	T					
30. Bond - Spring Lake Park	C	Interest	L	T					
31. Bond - Three Rivers Park, MN		None			Redeemed	02/13/13	L	A	
32. Bond - Alexandria, MN	C	Interest	L	T					
33. Bond - Bloomington, MN	C	Interest	L	T					
34. Bond - Brooklyn Ctr, MN	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. Bond - Crystal, MN	C	Interest	L	T					
36. Bond - Eden Prairie, MN	C	Interest	L	T					
37. Bond - Sauk Centre, MN	C	Interest	L	T					
38. Bond - Staples, MN	C	Interest	L	T					
39. Bond - Edina, MN	C	Interest	L	T					
40. Bond - Fridley, MN	C	Interest	L	T					
41. Bond - Minneapolis, MN	C	Interest	L	T					
42. Bond - Mound, MN	C	Interest	L	T					
43. Bond - North St. Paul, MN	C	Interest	L	T					
44. Bond - North St. Paul, MN	C	Interest	L	T					
45. Bond - Rochester, MN	C	Interest	L	T					
46. Bond - Waconia, MN	C	Interest	L	T					
47. Bond - Anoka MN	C	Interest	L	T					
48. Bond - St. Paul MN	C	Interest	L	T					
49. Bond - Minnesota State	C	Interest	L	T					
50. Bond - Mound MN	C	Interest	M	T					
51. Bond - St. Paul MN	C	Interest	L	T					

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. Bond - St. Paul MN	C	Interest	L	T					
53. Bond - Alaska	D	Interest	M	T					
54. Bond - Missouri State	C	Interest	L	T					
55. Bond - Omaha	C	Interest	L	T					
56. Bond - Minnesota	C	Interest	L	T					
57. Bond - So. MN Municipal (Y)									
58. Bond - Centennial Sch		None			Redeemed	02/13/13	L	A	
59. Bond - Brooklyn Park	C	Interest	L	T					
60. Bond - Rosemount	C	Interest	L	T					
61. Bond - Andover		None			Redeemed	08/01/13	L	A	
62. Bond - Moundsview	C	Interest	L	T					
63. Bond - Minnesota	C	Interest	L	T					
64. Bond -Three Rivers Park		None			Redeemed	05/01/13	L	A	
65. Bond - Eden Prairie	C	Interest	L	T					
66. Bond - Prior Lake		None			Redeemed	02/01/13	L	A	
67. Bond -St. Cloud	C	Interest	L	T					
68. Bond - St. Michael	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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69. Bond Minnesota	C	Interest	L	T					
70. Bond - Spring Lake Park	C	Interest	L	T					
71. Bond - Canadian Govt		None			Sold	09/10/13	N		
72. Bond - Canadian Govt		None			Sold	09/10/13	N		
73. Bond - Canadian Govt		None			Sold	09/06/13	L		
74. Bond - Canadian Govt		None			Sold	09/10/13	N		
75. Bond - Hennepin County	C	Interest	M	T					
76. Bond - Hopkins, MN	B	Interest	L	T					
77. Bond - Minneapolis, MN	B	Interest	L	T					
78. Bond - Minnesota State	D	Interest	M	T					
79. Bond - Moundsview, MN	D	Interest	M	T					
80. Bond - Omaha, NE	D	Interest	M	T					
81. Bond - Orono, MN	C	Interest	L	T					
82. Bond - Texas State	D	Interest	M	T					
83. Bond - West Fargo, ND	D	Interest	M	T					
84. Bond - Alexandria, MN	A	Interest	L	T					
85. Bond - Canadian Govt		None			Sold	08/12/13	N		

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Murphy, Diana E.	05/30/2014

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86. Bond - Canadian Govt		None			Sold	08/12/13	N		
87. Bond - Canadian Govt		None			Sold	08/19/13	O		
88. Bond - Canadian Govt		None			Sold	09/20/13	O		
89. Bond - Canadian Govt		None			Sold	09/03/13	O		
90. Bond - Canadian Govt		None			Sold	09/04/13	P1		
91. Bond - Hennepin County, MN	D	Interest	L	T					
92. Bond - Hennepin County, MN	B	Interest	L	T					
93. Bond - Hennepin County, MN	D	Interest	M	T					
94. Bond - Hopkins, MN	C	Interest	L	T					
95. Bond - Moundsview, MN	B	Interest	L	T					
96. Bond - Omaha, NE	C	Interest	M	T					
97. Bond - Orono, MN	C	Interest	L	T					
98. Bond - Ramsey County, MN	B	Interest	L	T					
99. Bond - Ramsey County, MN	C	Interest	L	T					
100. Bond - St. Paul, MN	C	Interest	L	T					
101. Bond - Washington County, MN	C	Interest	L	T					
102. Bond - Washington County, MN	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Bond - West Fargo, ND	D	Interest	M	T					
104. U. S. Treasury Note	E	Interest	P1	T					
105. U.S. Treasury Bill		None	O	T					
106. U.S. Treasury Bill		None	N	T	Buy	07/22/13	N		
107. U.S. Treasury Note		None	P1	T	Buy	09/06/13	P1		
108. U.S. Treasury Note		None	P1	T	Buy	11/20/13	P1		
109. U.S. Treasury Bill		None	O	T	Buy	07/22/13	O		
110. U.S. Treasury Bill		None	O	T	Buy	08/05/13	O		
111. U.S. Treasury Note		None	P1	T	Buy	10/04/13	P1		
112. U.S. Treasury Note		None	P1	T	Buy	08/19/13	P1		

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Murphy, Diana E.	05/30/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Row 57 -Bond - So. MN Municipal bond - no longer held; disposition unrecorded.

Name of Person Reporting	Date of Report
Murphy, Diana E.	05/30/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Diana E. Murphy

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544