

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dubina, Joel F.	2. Court or Organization U.S. Court of Appeals/11th Cir	3. Date of Report 05/12/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Circuit Judge -- Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Post Office Box 867 Montgomery, AL 36101-0867		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	Investments Unlimited, a limited liability corp. ("LLC")
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

Dubina, Joel F.

Date of Report

05/12/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 15

Name of Person Reporting

Dubina, Joel F.

Date of Report

05/12/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

Dubina, Joel F.

Date of Report

05/12/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. [REDACTED]									
2. COMMON STOCKS									
3. Insignia Fini Group Inc.	A	Dividend	J	T					
4. Torchmark Corp	A	Dividend	K	T					
5.									
6. U. S. Shelter Corp. Del	A	Dividend	J	T					
7. Money Market Funds [REDACTED] Stifel, Nicolaus & Company	A	Interest	N	T					
8. 12.5% interest Investments Unlimited, an L.L.C.	G	Rent	P1	W					See Section VIII.
9. 12.5% interest in house, Ada, AL		None	L	W					
10. Alibaba Group Holding LTD Spons ADS		None	J	T	Buy	12/23/14	J		
11. Halliburton Company	A	Dividend	K	T	Buy	11/14/14	K		
12.					Buy	12/01/14	J		
13. Mosaic	A	Dividend	J	T	Buy	11/14/14	J		
14. VF Corp		None	J	T	Buy	12/19/14	J		
15. II. TRUSTS ASSETS #1									
16. Money Market Funds [REDACTED] Sterne, Agee & Leach	A	Interest	L	T					
17. Montgomery AL Tax CPN	B	Interest			Matured	01/02/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

Name of Person Reporting

Dubina, Joel F.

Date of Report

05/12/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. General Electric	B	Dividend	L	T					
19. Torchmark Corp.	B	Dividend	M	T					
20. River Bank and Trust (X)		None	K	T					
21. Huntsville, AL Warrants Series A	B	Interest	L	T					
22. Irondale, AL Wts - Ser. D Ins. XLCA 4%	B	Interest	L	T					
23. Federated Intermediate Mun. Tr Sh Ben. Int.	B	Dividend	L	T					
24. Opp, AL Wts FSA B/E Cpn	B	Interest	L	T					
25. U.S. Treasury Bond 5/375%	C	Interest	L	T					
26. U.S. Treasury Bond 7.25%	C	Interest	L	T					
27. Altria Group Inc.	B	Dividend	K	T					
28. John Hancock Flexible Prem. Life Ins.		None	L	U					
29. Adobe Systems		None	K	T					
30. Freeport McMoran	A	Dividend	J	T					
31. Microsoft Corp.	A	Dividend	J	T					
32. Novartis A G	A	Dividend	J	T					
33. Priceline Com		None	L	T					
34. iShares Trust S&P	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 15

Name of Person Reporting

Dubina, Joel F.

Date of Report

05/12/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Protective Life Corp.	A	Dividend	K	T					
36. Rent-A-Center	A	Dividend	K	T					
37. Toronto Dominion Bank	A	Dividend	K	T					
38. Gartner, Inc.		None	K	T					
39. Google, Inc.		None	K	T					
40. Mosaic Company	A	Dividend	J	T					
41. Apple Computer, Inc.	A	Dividend	K	T					
42. Amazon.Com, Inc.		None	K	T					
43. Emerson Electric Co.	A	Dividend	K	T					
44. iShares DJ Sel	A	Dividend	J	T					
45. Dodge & Cox International Stock Fund	A	Dividend	K	T					
46. Eaton Vance Floating-Rate Fund Class I	A	Dividend	K	T					
47. Google Inc. CL A		None	K	T					
48. International Business Machines Corp.	A	Dividend	K	T					
49. Qualcomm, Inc.	A	Dividend	J	T					
50. SPDR Gold Trust		None	J	T					
51. Vanguard GNMA Fund INV	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 15

Name of Person Reporting

Dubina, Joel F.

Date of Report

05/12/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Bank of America Corp.	A	Dividend	J	T					
53. Biogen IDEC Inc Com		None	J	T					
54. D R Horton Inc Com	A	Dividend	J	T					
55. Ford Motor	A	Dividend	J	T					
56. Suntrust Banks	A	Dividend	J	T					
57. PERSONAL HOLDINGS: [REDACTED]									
58. Money Market Funds [REDACTED] Sifel, Nicolaus & Co.	A	Interest	N	T					
59. Torchmark Corp.	B	Dividend	N	T					
60. 56 TMK, United Inc.	A	Dividend	J	T					
61. 12.5% interest Investments Unlimited, as L.L.C.	G	Rent	P1	W					See Section VIII
62. 12.5% interest in house, Ada, AL		None	L	W					
63. Hancey Mill Road Farm, L.L.C.		None	M	R					See Section VIII
64. General Electric Com.		None			Sold	04/24/14	J	C	
65. Fairhope, AL Utilities	A	Interest	J	T					
66. Tuscaloosa, AL Warrant FSA B/E	A	Interest	K	T					
67. Waddell & Reed	A	Dividend	J	T					
68. Proshares Trust Powershares Ultrashort Real Estate		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 15

Name of Person Reporting

Dubina, Joel F.

Date of Report

05/12/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

69. Birmingham, AL Spl CR FAC Fing Ath Children's Hospital	C	Interest	L	T					See Section VIII
70. Southern Company	B	Interest	K	T					
71. Huntsville AL RFDG & Cap Improvements WTS Ser A	A	Interest	K	T					
72. Univ. AL at B'ham Hosp. Rev RFDG		None	K	T					
73. American Express COMRMT (X)	A	Dividend	J	T					
74. BP PRUDHOE BAY ROYALALMT TRUST (X)	C	Dividend	J	T	Buy (add'l)	01/22/14	J		
75. Express Scripts Inc. RMT (X)		None	J	T					
76. Novartis AG RMT Sponsored ADR (X)	A	Dividend	J	T					
77. Praxair Inc. RMT (X)	A	Dividend	J	T					
78. Priceline com Inc. Nermt (X)		None	J	T					
79. Torchmark Corp RMT (X)	C	Dividend	M	T					
80. River Bank & Trust RMT (X)		None	K	T					
81. Birmingham Baptist AL MD Ctr Spl Cure 6.125 cpn 5.875 (X)	A	Interest	K	T					
82. Birmingham Baptist Med EDP AL Spl Care @96.947 CPN 5.000% (X)	B	Interest	K	T					
83. B'ham AL SPL Childrens Hosp. OID @98.672 6.23 CPN 6.125 (X)	C	Interest	L	T					
84. Clarke & Mobile Cnty AL Gas Dist. 4.375%	B	Interest	K	T					
85. Madison Cnty Brd Ed Cap OID 96.801 4.7% CPN 4.500%	B	Dividend	K	T					See Section VIII

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 15

Name of Person Reporting

Dubina, Joel F.

Date of Report

05/12/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Huntsville AL Hlthcare Auth Rev Ser A 5.25%	B	Interest	K	T					
87. Madison Cnty AL Brd EDP Cap Outlay Cpn 5.000% Due 9/1/36 (X)	B	Interest	K	T					
88. Madison Cnty AL Brd Ed Cap Outlay Rfdg OID @96.801 4.7% (X)		None							See Section VIII
89. Shelby Cnty AL Brd EEDP Cap Outlay SCH OID @97.921 4.43% (X)	B	Interest	K	T					
90. Shelby Cnty AL Brd EEDP RFDG 10 Mill B/E CPN 5.0% due 2/1 (X)	B	Interest	K	T					
91. Tuscaloosa AL Warrant EDP AGM OID @99.03 4.1% (X)	A	Interest	J	T					
92. Tuscaloosa AL WarranEDP OID @96.969 4.56% (X)	A	Interest	K	T					
93. University AL AT EDP Bham Hosp CPN 5.000% due 9/1/36	B	Interest	K	T					
94. Amgen, Inc. (X)	B	Dividend	L	T	Donated	12/05/14	L		See Section VIII
95. Conoco Phillips EDP (X)		None			Sold	01/22/14	K	D	
96. Ford Motor Co. (X)	A	Dividend			Sold	05/06/14	J	B	
97. General Electric ComeDP (X)	A	Dividend	J	T					
98. Goldman Sachs Grap Inc. 5.95%	B	Interest	K	T					
99. Mosaic Company	A	Dividend			Sold (part)	08/01/14	J		
100.					Sold	10/15/14	K		
101. Phillips 66 (X)	A	Dividend	J	T	Buy (add'l)	07/17/14	J		
102. Powershares Global ETF (X)		None			Sold	06/24/13	K		sold in 2013

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 15

Name of Person Reporting

Dubina, Joel F.

Date of Report

05/12/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Reynolds American (X)		None			Sold	2012	J		sold in 2012
104. Torchmark Capital Trust III Tr PFD 7.1% (X)	A	Interest	J	T					
105. B'ham AL SPL CR FAC Childrens HSp. REV B/E OID @99.351 Cusip [REDACTED]		Interest							See Section VIII
106. Cheniere Energy Inc. New CUSIP [REDACTED]		None			Sold (part)	05/30/14	J	D	
107.					Sold	10/02/14	J	E	
108. Corning Inc.	A	Dividend			Sold	05/01/14	J	D	
109. Enterprise Products Partners LTD Partnership CUSIP [REDACTED]	B	Dividend	K	T					
110. Freeport McMoran Copper & Gold CUSIP [REDACTED]	B	Dividend			Sold	11/25/14	K		
111. Fusion 10 Inc. CUSIP [REDACTED]		None			Buy	04/24/14	J		
112.					Sold	06/23/14	J	B	
113. Goldman Sachs Group Inc. Dep SHS Repstg 1/1000th CUSIP [REDACTED]		None	J	T					
114. Halliburton Company	A	Dividend	K	T	Buy (add'l)	10/02/14	K		
115.					Buy (add'l)	10/15/14	J		
116. Homewood AL Edl Bldg Rev Samford Univ. 4.500% CUSIP [REDACTED]	B	Interest	K	T					
117. Huntsville AL RFDG WTS OID @97.541 3.3% CUSIP [REDACTED]	A	Interest	K	T					
118. Huntsville AL RFDG WTS OID @97.262 3.55% CUSIP [REDACTED]	A	Interest	K	T					
119. Intel Corp CUSIP [REDACTED]		None			Sold	08/01/14	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 15

Name of Person Reporting

Dubina, Joel F.

Date of Report

05/12/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. KKR & Company DEL Com Units CUSIP [REDACTED]	B	Dividend	K	T					
121. Kinder Morgan Inc DE CUSIP [REDACTED]	B	Dividend	K	T	Buy (add'l)	02/12/14	J		
122. Microsoft Corp CUSIP [REDACTED]		None			Sold	04/24/14	J	D	
123. NVIDIA Corp CUSIP [REDACTED]	A	Dividend	J	T					
124. Quanta Services CUSIP [REDACTED]		None	J	T	Buy (add'l)	02/12/14	J		
125. University of AL at B'ham Genl Rev A2 OID @98.653 3.1T CUSIP [REDACTED]	A	Interest	K	T					
126. Vanguard Natural RES LLC Rep LTD LIABILITY INT CUSIP [REDACTED]	C	Distribution	K	T	Buy (add'l)	02/12/14	J		
127. Teekey Lng Partners Limited Partnership Unit CUSIP [REDACTED]	B	Dividend	J	T	Buy (add'l)	02/12/14	J		
128. ALIBABA Group Holding LTD Spons Ads		None	K	T	Buy	12/23/14	K		
129. American Express Company	A	Dividend	J	T	Buy	07/17/14	J		
130. Apple Inc	A	Dividend	J	T	Buy	01/28/14	J		
131. Diamond Offshore Drilling Inc.	A	Dividend	K	T	Buy	03/06/14	K		
132.					Buy	12/29/14	K		
133.					Sold (part)	11/25/14	K		
134. Enterprise AL WTS Ser A B/E OID @96.949 4.85% Cusip [REDACTED]	B	Interest	K	T	Buy	08/12/14	K		
135. Escambia CNTY AL Brd Ed. Tax Antic WTS REV B/E cusip [REDACTED]	B	Interest	K	T	Buy	09/11/14	K		
136. Foley AL WTS BE OID @98.446 4.1% CPN 4.000% due 6/1/39 CUSIP [REDACTED]	A	Interest	K	T	Buy	07/17/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

Dubina, Joel F.

Date of Report

05/12/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Freeport McMoran Copper & Gold	B	Dividend	K	T	Buy	05/06/14	K		
138.					Buy	12/29/14	J		
139. Gulfport Energy Corp New		None	K	T	Buy	08/15/14	K		
140. Huntsville AL RFDG LSE CAP IMP WTS SER B CPN 4.000% Due 11/01/33	B	Interest	L	T	Buy	08/19/14	K		
141.					Buy	12/19/14	L		
142. Huntsville AL Hlthcare Auth Rev Ser A B/E OID @96.929 5.25 DTD 6/24/10		None	K	T	Buy	06/17/14	K		
143. SERVISFIRST Bancshares	A	Dividend	J	T	Buy	06/18/14	J		
144. Towerstream Corp		None	J	T	Buy	05/06/14	J		
145.					Buy	05/15/14	J		
146. V F Corp	A	Dividend	J	T	Buy	12/19/14	J		
147. Energy XXI Bermuda LTD USD Unrestricted New	A	Dividend	K	T	Buy	02/12/14	K		
148. CHARITABLE ACCOUNT									
149. Money Market Funds Stifel, Nicolaus & Co.	A	Interest	J	T					
150. Alexander City AL RFDG WTS Ser A OID 98.889 3.16% CPN 3.000 Due 5/1/19	A	Interest	J	T					
151. Elmore Cnty AL Pub E Coop Dist Pub Ed OID @98.506 3.45%	A	Interest	K	T					
152. Foley AL Pub Facs Co Dist Rev CPN 4.000% Due 9/1/19	A	Interest	K	T					
153. Huntsville AL Hlth C Autho Ser B Rev 4.25% CPN 4.000%	A	Interest	K	T					See Section VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 15

Name of Person Reporting

Dubina, Joel F.

Date of Report

05/12/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Phenix City, AL WTS SER A 5.000% Due 01/01/17 Susip: [REDACTED]	A	Interest	J	T					
155. Huntsville AL Hlth C Autho Ser B Rev 4.25% CPN 4.000%									See Section VIII
156. University of AL Ser A Birmingham Hosp. Rev OID @98.827 4.26%	B	Interest	K	T					
157. Opelika AL School Warrants SER A CPN 3.500% Due 10/1/20	A	Interest	K	T					
158. Russellville AL WTRW SWR BD REV OID @98.839 2.5% CPN 2.300%	A	Interest	J	T					
159. Shelby Cnty AL Brd E Unref 10 mill CPN 4.000% due 2/1/18	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

Dubina, Joel F.

Date of Report

05/12/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments & Trusts.

No. 8 & 61. Property located in Montgomery County, AL; (*Walton County, FL), Lowndes County, AL

*In 2014, Investments Unlimited sold the beach house in Walton County, Florida for \$2.8 million. I received 12.5% each of the proceeds.

No. 63. Effective December 31, 2007, I gifted my interest in land in Montgomery County, . I formed an L.L.C. named "Hancey Mill Road Farm, L.L.C." My 50% interest in the land was deeded into Hancey Mill Road Farm, L.L.C.

The total value of this property at the time it gifted was \$790,000 to be equally shared by the 4. I filed a gift tax return showing this transfer on 4/15/08.

No. 69 & 105 Line 105 was inadvertently reported twice. See line 69. Line 105 (B'ham AL SPL CR FAC Childrens HSp. REV B/E OID @99.351 Cusip 090929FU9) will be deleted from next year's report.

No. 85 & 88 Line 88 was inadvertently reported twice. See line 85. Line 88 (Madison County, AL Brd. Ed Cap Outlay Rfdg OID @96.801 4.7% (X)) will be deleted from next year's report.

No. 94. gifted shares of this stock 12/5/14. still owns stock as well.

No. 153 & 155 Line 155 was inadvertently reported twice. See line 153. Line 155 (Huntsville, AL Hlth C Autho Ser B Rev. 4.25% CPN 4.000%) will be deleted from next year's report.

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Dubina, Joel F.

Date of Report

05/12/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joel F. Dubina**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544