

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Engelhardt, Kurt D.	2. Court or Organization USDC - EDLA	3. Date of Report 05/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. Courthouse 500 Poydras Street, C-367 New Orleans, Louisiana 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee (Uncompensated)	Trust No. 1
2. Member - Board of Directors (Uncompensated)	Cancer Association of Greater New Orleans (CAGNO)
3. Member - Dean's Advisory Planning Board (Uncompensated)	Louisiana State University - College of Humanities & Social Sciences
4. Member - Board of Directors - President 2011-2012 (Uncompensated)	New Orleans Chapter of the Federal Bar Association
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2012

deLaup & Enright, LLC - Legal Secretary

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. New Orleans Chapter of the
Federal Bar Association

3-29-12-3-30-12

Washington, D.C.

Attend National FBA Mid-
Yr. Mtg. As President of
the New Orleans Chapter of
the FBA

Transportation

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. New York Life - LifeStages Variable Annuity		None	M	T					
2. Trust No. 1									
3. - Fidelity Growth & Income (FGRIX)	A	Dividend			Closed	03/01/12	K		See Explanation Part VIII
4. - Fidelity Blue Chip Growth (FBGRX)	A	Dividend			Closed	03/01/12	K		See Explanation Part VIII
5. -Morgan Stanley Smith Barney	A	Dividend	K	T					
6. New York Life Annuity	A	Interest	K	T					
7. New York Life									
8. - Whole Life Policy	A	Dividend	K	T					
9. - Whole Life Policy	A	Dividend			Closed	05/22/12	J		See Explanation Part VIII
10. - Universal Life Policy	A	Interest			Closed	05/22/12	K		See Explanation Part VIII
11. -Secure Term Choice Fixed Annuity		None	K	T					
12. -Whole Life Policy	A	Dividend	K	T					
13. Citigroup (Travelers) employee 401k (prev [REDACTED])		None	K	W					
14. AT&T (stock) [REDACTED]	B	Dividend	K	T					
15. deLaup & Enright, LLC employee 401k [REDACTED]		None	J	W					
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts

Line 3 - Fidelity Growth & Income (FGRIX) under Line 2 header Trust No. 1 - this entity was closed and all assets listed on Line 3 were consolidated with Fidelity Blue Chip Growth (FBGRX) on Line 4 and transferred into a new trust account with a different company named Morgan Stanley Smith Barney, now listed on Line 5.

Line 4 - Fidelity Blue Chip Growth (FBGRX) under Line 2 header Trust No. 1 - this entity was closed out and all assets listed on Line 4 were consolidated with Fidelity Growth & Income (FGRIX) on Line 3 and transferred into a new trust account with a different company named Morgan Stanley Smith Barney, now listed on Line 5.

Line 9 - Whole Life Policy under Line 7 header New York Life - this entity was closed and all assets listed on Line 9 were consolidated with the Universal Life Policy on Line 10 and transferred into a new Secure Term Choice Fixed Annuity with New York Life, now listed on Line 11.

Line 10 - Universal Life Policy under Line 7 header New York Life - this entity was closed out and all assets listed on Line 10 were consolidated with the Whole Life Policy on Line 9 and were transferred into a new Secure Term Choice Fixed Annuity with New York Life, now listed on Line 11.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kurt D. Engelhardt**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544