

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Proud, Clifford J.	2. Court or Organization Southern District of Illinois	3. Date of Report 04/19/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-Time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States Courthouse 750 Missouri Avenue, Suite 303 East St. Louis, IL 62201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Investment Co. of America Fund	A	Dividend	K	T	Buy (add'l)	10/23/12	J		
2. Centennial Tax Exempt Trust	A	Interest	J	T					
3. Small Cap World Fund	A	Dividend	K	T	Buy (add'l)	10/23/12	J		
4. Tax Exempt Bond Fund of America	A	Interest	M	T					
5. Merck Common	A	Dividend	K	T					
6. Scott Credit Union/Share Acct/Scott A.F.B. IL	A	Interest	J	T					
7. Europacific Growth Fund	A	Dividend	J	T	Buy (add'l)	10/23/12	J		
8. Growth Fund of America	A	Dividend	K	T					
9. Capital Income Bldr Fund	A	Dividend	J	T					
10. Medco Health Solutions	A	Dividend	J	T					
11. Bond Fund of America Inc (Line 23 same)	D	Interest	M	T	Sold (part)	10/23/12	K	B	
12. Washington Mutual Investors Fund	A	Interest	K	T					
13. AT & T Corp	A	Dividend	J	T					
14. Ameren Corp	A	Dividend			Sold	10/2/12	J	A	
15. Emerson Electric Corp	A	Dividend	K	T					
16. I Shares EAFE Index	A	Dividend	K	T	Buy (add'l)	10/23/12	J		
17. I Shares Barclays Tips Bond Fund	A	Interest	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18. Calamos Global Dynamic Income Fund	A	Interest	K	T					
19. Transamerica Income SHS	C	Dividend	L	T					
20. Commerce Bancshares Inc	A	Dividend	J	T	Sold (part)	12/1/12	J	A	
21. Lord Abbet Hi Yld Muni-A	A	Interest	K	T					
22. Bank of America PFD DEP SHS	A	Interest	K	T					
23. Monsanto Corp	A	Dividend	J	T					
24. Morgan Stanley Medium Term Notes	A	Interest	J	T					
25. Teekay Offshore Partners	A	Dividend	J	T					
26. Alpine Global Partners	A	Dividend	J	T					
27. BP PLC	A	Dividend	J	T					
28. Intel Corp	A	Dividend	J	T					
29. Cohen & Steers Quality Income Realty Fund	A	Dividend	K	T					
30. S&P Deposit Receipts	A	Dividend	L	T					
31. Exxon Mobil Corp	A	Dividend	K	T					
32. I Shares EMKT Index	A	Dividend	K	T					
33. Duke Energy	A	Dividend	J	T	Sold (part)	7/3/12	J	A	
34. New World Fund	A	Dividend	J	T	Buy	10/23/12	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Archer Daniels Midland	A	Dividend	J	T	Buy	1/3/12	J		
36. Chimera Investment Corp	A	Dividend	J	T	Buy	1/3/12	J		
37. Concept Therapeuticx Inc	A	Dividend	J	T	Buy	11/5/12	J		
38. Delek Logistics Partners LP	A	Dividend	J	T	Buy	12/20/12	J		
39. Freeport McMoran Copper	A	Dividend	J	T	Buy	1/3/12	J		
40. General Dynamics Corp	A	Dividend	J	T	Buy	1/3/12	J		
41. Heinz Corp	A	Dividend	J	T	Buy	1/19/12	J		
42. Mondelez Intl Inc	A	Dividend	J	T	Buy	10/23/12	J		
43. Novartis AG	A	Dividend	J	T	Buy	12/8/12	J		
44. Redwood Trust Inc	A	Dividend	J	T	Buy	11/5/12	J		
45. Target Corp	A	Dividend	J	T	Buy	1/19/12	J		
46. Total SA Spons ADR	A	Dividend	J	T	Buy	10/23/12	J		
47.									
48.									
49.									
50.									
51.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Clifford J. Proud**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544