

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Oberto, Sheila K.	2. Court or Organization U.S. District Court - Eastern District of California	3. Date of Report 7/16/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 2500 Tulare Street Suite 1501 Fresno, CA 93721		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. _____
2. _____
3. _____
4. _____
5. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____
2. _____
3. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo	Personal Loan	N
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property in LA, CA	E	Rent			Sold	07/15/17	P1	G	
2. Rental Property #1 in Ventura, CA	F	Rent	P1	W					
3. Rental Property #2 in Ventura, CA (Y)									
4. Rental Property in Santa Monica, CA	C	Rent	O	W	Buy	08/12/17	O		
5. Chase Bank Accounts	A	Interest	N	T					
6. Wells Fargo Accounts (Y)									
7. WestAmerica Bank Accounts	A	Interest	J	T					
8. Citibank Account (Y)									
9. Bank of America Accounts	A	Interest	N	T					
10. UBS Money Market Account	A	Int./Div.	N	T					
11. California Bank and Trust (Y)									
12. PACE Multi Advisor									
13. -- Davis Real Estate Fund (REIT)	B	Dividend	K	T					
14. --Virtus Multi-Sector (Short Term Bond)	B	Dividend	M	T					
15. --Nuveen Tradewinds (Large Cap Int'l)	B	Dividend	K	T					
16. --TCW Galileo Div Focused (Large Cap Value)	B	Dividend	K	T					
17. --JP Morgan (Mid Cap Value)	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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18. --American Funds (Large Cap Growth)	C	Dividend	K	T					
19. --RS Partners (Small Cap Core)	A	Dividend	J	T					
20. --Columbia CA Tax Exempt (Investment Grade Muni)	A	Dividend	L	T					
21. --Invesco Small Cap Value (Short Cap Value)	E	Dividend	L	T					
22. --Pimco Real Return (Long Term Investment Grade)	A	Dividend	J	T					
23. --Pimco Total Return (Intermediate Investment Grade Bond)	A	Dividend	J	T					
24. --Blackrock Equity (Large Cap Value)	C	Dividend	L	T					
25. --Blackrock Global (Balanced)	B	Dividend	M	T					
26. --Blackrock Muni (Investment Grade Muni)	A	Dividend	J	T					
27. --Glodman Sachs (Mid Cap Value)	E	Dividend	M	T					
28. --Nuveen CA Muni Bond (Investment Grade Bond)	A	Dividend	L	T					
29. Brokerage Account #2									
30. -- Bank of America Stock	B	Dividend	K	T					
31. -- Invesco S & P 500 (MSDW)	B	Dividend	J	T					
32. -- Nuveen NWQ Multi Cap	A	Dividend	J	T					
33. Alphabet Inc CL A Stock	A	Dividend	K	T					
34. Alibaba Stock	A	Dividend	J	T	Buy	11/29/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Amazon.com Inc Stock	A	Dividend	K	T					
36. Apple Inc. Stock	A	Dividend	J	T					
37. Bristol Meyers Stock	A	Dividend	J	T	Buy	06/29/17	J		
38. BP Stock	A	Dividend	J	T	Buy	03/22/17	J		
39. Colgate Palmolive Stock	A	Dividend	J	T	Buy	05/18/17	J		
40. Comcast Corp New CL A Stock	A	Dividend	J	T					
41. Costco Wholesale Corp Stock	A	Dividend	J	T					
42. CVS Health Stock	A	Dividend	J	T	Buy	03/22/17	J		
43. Danaher Corp. Stock	A	Dividend	J	T	Buy	03/22/17	J		
44. Dow Chemical Stock	A	Dividend	J	T					
45. Eaton Corp. Stock	A	Dividend	J	T	Buy	03/22/17	J		
46. Exxon Mobil Corp Stock	A	Dividend	J	T					
47. Facebook Inc. CL A Stock	A	Dividend	J	T					
48. Fedex Corp Stock	A	Dividend	J	T					
49. Genl Eleetric Co Stock	A	Dividend	J	T					
50. Honeywell Intl Inc Stock	A	Dividend	J	T					
51. Ingersoll-Rand PLC	A	Dividend	J	T	Buy	03/22/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Johnson & Johnson	A	Dividend	J	T	Buy	02/15/17	J		
53. Koninklijke Philips NV SPON ADR Stock	A	Dividend	J	T					
54. Lockheed Martin Corp. Stock	A	Dividend	J	T	Buy	03/22/17	J		
55. Mattel Inc. Stock	A	Dividend	J	T	Buy	10/15/17	J		
56. Microsoft Corp Stock	A	Dividend	J	T					
57. Nike Inc. CL B Stock	A	Dividend	J	T					
58. Northrop Grumman Corp Stock	A	Dividend	J	T					
59. Nvidia Corp Stock	A	Dividend	J	T	Buy	11/29/17	J		
60. Oracle Corp. Stock	A	Dividend	J	T	Buy	08/22/17	J		
61. Pfizer Inc Stock	A	Dividend	J	T					
62. Raytheon Co. Stock	A	Dividend	J	T	Buy	11/29/17	J		
63. Schlumberger Ltd. Stock	A	Dividend	J	T	Buy	03/22/17	J		
64. Siemens Stock	A	Dividend	J	T	Buy	03/22/17	J		
65. Take-Two Interactive Stock	A	Dividend	J	T	Buy	05/18/17	J		
66. Thermo Fisher Stock	A	Dividend	J	T	Buy	05/18/17	J		
67. Unilever Stock	A	Dividend	J	T	Buy	05/18/17	J		
68. United Health Group Stock	A	Dividend	J	T	Buy	05/18/17	J		

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69. Visa Inc. Stock	A	Dividend	J	T	Buy	05/18/17	J		
70. Voda Fone Stock	A	Dividend	J	T	Buy	05/18/17	J		
71. Walt Disney Co. Stock	A	Dividend	J	T	Buy	05/18/17	J		
72. 3M Co. Stock	A	Dividend	J	T	Buy	05/18/17	J		
73. United Technologies Corp Stock	A	Dividend	J	T					
74. Phoenix Home Life: Whole Life Policy (Y)									
75. Legg Mason Global Asset Management-- Clearbridge Int'l Value Fund CL A	A	Dividend	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Sheila K. Oberto**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544