

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>NORCLE, CHARLES R.                                                                                                                   | <b>2. Court or Organization</b><br><br>U.S. DISTRICT COURT                                                                                                                                                                                                                               | <b>3. Date of Report</b><br><br>07/11/2017                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>U.S. DISTRICT COURT, ACTIVE                                                   | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2016<br>to<br>12/31/2016 |
| <b>7. Chambers or Office Address</b><br><br>UNITED STATES COURTHOUSE<br>219 SOUTH DEARBORN STREET<br>STE 2346<br>CHICAGO, IL 60604-1844                                                                   |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

|    | <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|----|-----------------|------------------------------------|
| 1. | ADJUNCT FACULTY | NORTHWESTERN SCHOOL OF LAW         |
| 2. | ADJUNCT FACULTY | THE JOHN MARSHALL LAW SCHOOL       |
| 3. |                 |                                    |
| 4. |                 |                                    |
| 5. |                 |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

|    | <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|----|-------------|--------------------------|
| 1. |             |                          |
| 2. |             |                          |
| 3. |             |                          |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|---------------------------------------|----------------------------------------|
| 1. 2016     | NORTHWESTERN UNIVERSITY SCHOOL OF LAW | \$4,000.00                             |
| 2. 2016     | THE JOHN MARSHALL LAW SCHOOL          | \$18,000.00                            |
| 3.          |                                       |                                        |
| 4.          |                                       |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>   |
|-------------|--------------------------|
| 1. 2016     | ELMHURST COLLEGE - WAGES |
| 2.          |                          |
| 3.          |                          |
| 4.          |                          |

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

|    | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. |               |                    |              |
| 2. |               |                    |              |
| 3. |               |                    |              |
| 4. |               |                    |              |
| 5. |               |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u>              | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|----|------------------------------|--------------------|-------------------|
| 1. | CHASE CREDIT CARD            | CONSUMER CREDIT    | J                 |
| 2. | BANK OF AMERICA CREDIT CARD  | CONSUMER CREDIT    | J                 |
| 3. | CTTIBANK CREDIT CARD         | CONSUMER CREDIT    | J                 |
| 4. | AMERICAN EXPRESS CREDIT CARD | CONSUMER CREDIT    | J                 |
| 5. |                              |                    |                   |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "X" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|---------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                           | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. CHINA TECHNOLOGY GLOBAL                                                                                                |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. SUPPORTSAVE SOLUTIONS                                                                                                  |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. DEUTSCHE BANK AG                                                                                                       |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. RESEARCH IN MOTION                                                                                                     |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. GENERAL STEEL HOLDINGS                                                                                                 |                                         | None                                          |                                                 |                                           | Sold<br>(part)                                  | 02/04/16                | K                               |                                |                                                                   |
| 6. GENERAL STEEL HOLDINGS                                                                                                 |                                         | None                                          | L                                               | T                                         | Buy<br>(add'l)                                  | 12/23/16                | J                               |                                |                                                                   |
| 7. JAGUAR ANIMAL HEALTH, INC.                                                                                             |                                         | None                                          |                                                 |                                           | Sold<br>(part)                                  | 06/17/16                | K                               |                                |                                                                   |
| 8. JAGUAR ANIMAL HEALTH, INC.                                                                                             |                                         | None                                          | L                                               | T                                         | Buy<br>(add'l)                                  | 12/20/16                | J                               |                                |                                                                   |
| 9. JAGUAR ANIMAL HEALTH, INC.                                                                                             |                                         | None                                          | J                                               | T                                         | Buy<br>(add'l)                                  | 12/28/16                | J                               |                                |                                                                   |
| 10. SCHWAB ACCOUNT - SPOUSE - PIMCO<br>CORPORATE OPPORTUNITY                                                              | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. SCHWAB ACCOUNT - SPOUSE - AT&T,<br>INC.                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. SCHWAB ACCOUNT - SPOUSE -<br>GENERAL MOTORS CO.                                                                       | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13.                                                                                                                       |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 14.                                                                                                                       |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 15.                                                                                                                       |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 16.                                                                                                                       |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 17.                                                                                                                       |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII LINES 5 AND 6

GENERAL STEEL HOLDINGS, INC.

IN 2016 7,500 SHARES WERE SOLD IN FEBRUARY AND 1,500 SHARES WERE PURCHASED IN DECEMBER.

TOTAL SHARES HELD AT 12/31/2016 WERE 26,700 WITH A MARKET VALUE OF \$75,666.

PART VII LINES 7-9

JAGUAR ANIMAL HEALTH, INC.

IN JUNE, 2016 2,650 SHARES WERE SOLD. AT SEVERAL DATES IN DECEMBER, 2016 2,103 SHARES WERE PURCHASED AND ON 12/28/2016 AN ADDITIONAL 1,497 SHARES WERE PURCHASED WHICH SETTLED ON 1/3/2017. TOTAL SHARES HELD AT 12/31/2016 WERE 18,900 WITH A MARKET VALUE OF \$68,301.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ CHARLES R. NORGLER

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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