

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FLEISSIG, AUDREY G.	2. Court or Organization EASTERN DISTRICT OF MISSOURI	3. Date of Report 04/20/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - ACTIVE STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 111 S. 10TH STREET: 12S ST. LOUIS, MISSOURI 63102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. CO-TRUSTEE (NO FEE)	LIVING TRUST CREATED UNDER AGREEMENT 8/31/84
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	MISSOURI MACHINERY & ENGINEERING COMPANY (SALARY)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. INFINITY PROJECT/ REGENTS OF THE UNIVERSITY OF MINNESOTA	OCTOBER 13-15, 2011	MINNEAPOLIS, MN	SPEAKER ON PANEL PROGRAM	TRAVEL, MEALS, AND HOTEL
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	UMB BANK	MORTGAGE ON COMMERCIAL REAL ESTATE	N
2.	ST. LOUIS DEVELOPMENT CORP	MORTGAGE ON COMMERCIAL REAL ESTATE	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1	E	Int./Div.	M	T					
2. - BERKSHIRE HATHAWAY									
3. - HARBOR FD INTL FD									
4. - ROYCE PREMIER INVESTMENT CL									
5. - PIMCO FUNDS COMMODITY/REAL RET									
6. - PIMCO TOTAL RET FD INSTL					Buy (add'l)	04/18/11	J		
7. -INSURED DEPOSIT ACCOUNT									
8. IRA #2	B	Int./Div.	L	T					
9. - IRA - HARBOR FD INTL FD									
10. - IRA - COHEN & STREETS REALTY SHS									
11. - IRA - INSURED DEPOSIT ACCOUNT									
12. BROKERAGE ACCOUNT #1									
13. - VANGUARD TAX EXEMPT FUNDS INTER	B	Int./Div.	K	T					
14. - VANGUARD TAX EXEMPT SHORT	A	Int./Div.	K	T	Sold (part)	01/03/11	K	A	
15. - ROYCE PREMIER INVESTMENT	A	Int./Div.	J	T					
16. - ISHARES MIDCAP	B	Int./Div.	L	T					
17. - AMERICAN FUNDS - CAPITAL INCOME BUILDER		None			Closed	01/01/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. MISSOURI MACHINERY & ENGINEERING 50% PRIVATELY HELD	F	Int./Div.	O	U					
19. BKF LLC 50% PRIVATELY HELD RE, ST. LOUIS, MO	D	Rent	J	U					
20. US SAVINGS BONDS		None	K	T					
21. RE - CONDO, ST. LOUIS, MO	D	Rent	M	W					
22. COMMERCE GROWTH FUND 401(K)	D	Dividend	M	T					
23. BANK OF AMERICA	A	Interest	K	T					
24. BENEFICIARY OF TRUST OF ESTATE	D	Int./Div.	N	W					
25. - TD MONEY MARKET PORTFOLIO INVESTOR CLASS									
26. - AMERICAN FUNDS CAPITAL WORLD BOND FUND - SBI CLASS A									
27. - INTERMEDIATE BOND FUND OF AMERICA SBI CLASS A									
28. - AMERICAN FUNDS NEW PERSPECTIVE FUND INC CL A					Sold (part)	02/18/11	J		
29. - HARBOR FD BD FD INSTL									
30. - HARBOR FD CAP APPRECIATION									
31. - HARBOR FD INTL FD									
32. - MSIF FDS INC US REAL ESTATE FD CL A									
33. - ROWE T PRICE EQUITY INCOME F SH BEN INT									
34. - ROYCE FD PREMIER INVESTMENT CL									

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35. - ISHARES RUSSELL 10000 INDEX FUND									
36.									
37.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ AUDREY G. FLEISSIG

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544