

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Clark, Ron	2. Court or Organization District Court, E.D. Texas	3. Date of Report 7/16/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 300 Willow #104 Beaumont, TX 77701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Owner 529 Acct 1	529 Acct 1
2.	Owner 529 Acct 2	529 Acct 2
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1997	State of Texas Retirement Plan, no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Self employed movie, music & video producer.
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Sedona Conference	February 27-28, 2017	Houston, TX	Panel member on Multidistrict litigation and pseudo-MDLs.	Milage from/to Beaumont; melas, parking, 1 night at hotel.
2. New York Intellectual Property Law Assoc.	March 31 - April 1, 2017	New York, NY	Speak at meeting, attend annual Judiciary Dinner.	Air fare, 2 nights at hotel, meals, airport parking, Uber fare in NY.
3. E.D. Texas Bar Association	May 8, 2017	Grapevine, TX	Attend Bench/Bar Dinner held as part of 5th Circuit annual coference.	Dinner
4. Nancy Atlas IP Inn of Court	April 18-19, 2017	Houston, TX	Attend and speak at IP Inn of Court Meeting and presentation.	Milage from/to Beaumont, dinner & breakfast, 1 night at hotel.

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5.	E.D. Texas Bar Association	October 4-6, 2017	Plano, Texas	Attend meeting, speak on panels at Annual Bench Bar Meeting Bench-Bar meeting.	Meals, 3 nights at hotel.
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	District and Magistrate Judges of the Eastern District of Texas & E.D. Texas Bar Association	Presentation pistol - Completing term as Chief Judge & taking Senior status.	\$2,950.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. USAA Mutual Fund USEXX	A	Interest	J	T					
2. USAA Mutual Fund USSPX	A	Dividend	K	T					
3. Vanguard VMMXX Money Market	A	Dividend	K	T					
4. Vanguard Windsor II Fund	E	Dividend	N	T					
5. Vanguard Mutual Funds IRA 1 (H)									
6. -Health Care Fund	D	Dividend	M	T					
7. -Windsor II Fund	E	Dividend	N	T					
8. -Explorer Fund	A	Dividend	J	T					
9. -500 Index Fund	B	Dividend	M	T					
10. Vanguard Mutual fund IRA 2 - Mid-cap Index Fund	A	Dividend	K	T					
11. Vanguard Mutual fund IRA 3 - 500 Index Fund	A	Dividend	K	T					
12. Vanguard Mutual Fund IRA 4 - 500 Index Fund	B	Dividend	L	T					
13. American Mut. Fund IRA New Perspective Fund	E	Dividend	N	T					
14. Vanguard Variable Annuity Small Co. Growth		None	L	T					
15. XOM com. stock	D	Dividend	M	T					
16. U.S. Savings Bond		None			Redeemed	08/23/17	J	D	
17. Compass Bank Accounts	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. 529 Acct 1 - American Funds (H)									
19. -New Perspective Fund	A	Dividend	J	T					
20. -New World Fund	A	Dividend	J	T					
21. -Capital Wrld Grwth & Income	A	Dividend	J	T					
22. 529 Acct 2 American Funds (H)									
23. -New Perspective Fund	A	Dividend	J	T					
24. -New World Fund	A	Dividend	J	T					
25. -Capital Wrld Grwth & Income	A	Dividend	J	T					
26. AMGN com. stk	D	Dividend	N	T					
27. AT&T com, stk	B	Dividend	K	T					
28. ADP, com. stk	C	Dividend	M	T					
29. CDK Global Inc. com.stk	A	Dividend	K	T					
30. CVX com. stk	A	Dividend	K	T					
31. KO com. stk	B	Dividend	K	T					
32. ETN com. stk	C	Dividend	M	T					
33. EMR com. stk	C	Dividend	L	T					
34. HD com. stk	C	Dividend	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. LLY com. stk	B	Dividend	K	T					
36. MCD com. stk	B	Dividend	L	T					
37. PEP com. stk	C	Dividend	L	T					
38. PFE com. stk	B	Dividend	K	T					
39. SLB com. stk	B	Dividend	K	T					
40. American Mutual Fund, New Perspective Fund	E	Distribution	N	T					
41. American Mutual Fund Small Cap World	C	Distribution	L	T					
42. Dreyfus S&P 500 Index Fund X	D	Dividend	M	T					
43. Nuveen Arizona Prem. Inc. Muni. Bnd. Fund	A	Dividend	K	T					
44. Dreyfus AMT-Free Mun. Bnd Fund	C	Dividend	M	T					
45. Dreyfus Mun. Bnd. Fund	B	Dividend	L	T					
46. McCallister Academic VLG bonds	A	Interest	K	T					
47. Pima Cty AZ bond	A	Interest	J	T					
48. RMA Govt. Mon. Mkt. Fund	A	Interest			Closed	12/29/17	J		
49. UBS, Bank USA, Deposit Acct.	A	Interest	M	T					
50. Beneficiary IRA (H)									
51. -AT&T com. stk	B	Dividend	K	T					

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52. CVX com. stk	D	Dividend	N	T					
53. -XOM com.stk	E	Dividend	N	T					
54. -WRE com. stk	B	Dividend	K	T					
55. -WRI com. stka	A	Dividend	J	T					
56. -Oppenheimer Gbl Strategic Income fund	A	Dividend	J	T					
57. -Nuveen S&P 500 Dyn. Overwrite formerly Nuveen P&G	A	Dividend	K	T					
58. -Eaton Vance En. Equity Inc Fund	A	Dividend	J	T					
59. -John Hancock Inc Sec. Fund	A	Dividend	J	T					
60. -Lord AbbotBond Deb. Fund	B	Dividend	K	T					
61. -Franklin H.I. Fund Class A	A	Dividend	J	T					
62. -Franklin US Govt Sec. A	A	Dividend	J	T					
63. - Bank USA, Deposit Account	A	Interest	J	T	Distributed (part)	09/28/17	K		
64. Vanguard Health Care VHTA	A	Dividend	J	T					
65. Schwab One cash account	A	Interest	J	T					
66. Schwab Money Market account	A	Interest	J	T					
67. Wells Fargo Bank		None	K	T					
68. Royalty Interest, Hardin County, Texas (X)	A	Royalty	J	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Ron Clark**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544