

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rowland, Mary M.	2. Court or Organization U. S. Dist Court, Northern District of Illinois	3. Date of Report 4/30/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 219 S. Dearborn, Room 1332 Dirksen Federal Building Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Mary M. Rowland Revocable Trust (unfunded)
2. Member of Board of Directors	Federal Bar Association, Chicago Chapter
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2013	Hughes Socol Piers Resnick & Dym, Ltd. Salary Savings Plan and Trust (former law firm), no control
2.	(I always recuse myself from cases in which my former firm has an appearance on file).
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014

Writer, self employed

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank	Credit Card	J
2.	State Farm Visa	Credit Card	J
3.	Citibank Visa	Credit Card	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ANNUITY A: Northwestern Mutual Variable Annuity									
2. Domestic Equity/MSA/Capital Guardian	A	Int./Div.			Sold	08/22/14	J	B	
3. Focused Appreciation/MSA/Janus	A	Int./Div.			Sold	08/22/14	J	A	
4. Fidelity VIP Mid Cap	A	Int./Div.			Sold	08/22/14	J	A	
5. Small Cap Value/MSA/T Rowe Price	A	Int./Div.			Sold	08/22/14	J	A	
6. Research International Cor/MSA/MFS	A	Int./Div.			Sold	08/22/14	J	A	
7. International Equity/MWS/Franklin Tmpl	A	Int./Div.	J	T					
8. Short Term Bond/MSA	A	Int./Div.	K	T					
9. Multi Sector Bond/MSA/PIMCO	A	Int./Div.			Sold	08/22/14	K	B	
10. Russell Global Real Estate Securities	A	Int./Div.			Sold	08/22/14	J	A	
11. Index 500 Stock (MSA)	A	Int./Div.	K	T	Buy	08/22/14	K		
12. Mid Cap Value (MSA/Amer Century)	A	Int./Div.	K	T	Buy	08/22/14	K		
13. Index 600 (MSA)	A	Int./Div.	J	T	Buy	08/22/14	J		
14. Russell Core Bond	A	Int./Div.	K	T	Buy	08/22/14	K		
15.									
16. Variable Life Insurance #1: Northwestern Mutual									
17. Index 500 Stock/MSA	B	Int./Div.			Merged (with line 101)	06/06/14	L	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$50,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Variable Life Insurance #2:Northwestern Mutual									
19. Index 500 Stock/MSA	A	Int./Div.			Closed	03/24/14	J	A	
20.									
21. Rollover IRA: American Funds									
22. Capital World Growth & Income Fund	A	Int./Div.			Sold	08/06/14	K	D	
23. American Balanced Fund-A	C	Int./Div.			Sold	08/06/14	L	D	
24. Roth IRA*1: Capital World Fund & Income Fund	A	Dividend			Sold	07/23/14	J	A	
25. Roth IRA #2: American Balanced Fund-A	A	Dividend			Sold	08/22/14	J	B	
26. Illinois Bright Start 529 Plan									
27. Oppenheimer Index Balance Portfolio Fund	B	Int./Div.			Sold	08/26/14	K	B	
28. Oppenheimer Indexed Equity Portfolio	A	Int./Div.			Sold	08/26/14	K	B	
29. Oppenheimer Fixed Income Portfolio	A	Int./Div.			Sold	08/26/14	J	A	
30. Index Age Based 15-17 Portfolio	A	Int./Div.	K	T	Buy	08/26/14	K		
31. Index Age Based 10-11 Portfolio	A	Int./Div.	K	T	Buy	08/26/14	K		
32.									
33. HSPRD 401K:									
34. DFA Emerging Markets	A	Int./Div.			Sold	08/20/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. DFA One Year Fixed Income	A	Int./Div.			Sold	08/20/14	K	B	
36. DFA International Value	A	Int./Div.			Sold	08/20/14	K	C	
37. DFA Large Cap Value	A	Int./Div.			Sold	08/20/14	K	C	
38. DFA Small Cap	A	Int./Div.			Sold	08/20/14	K	C	
39. DFA International Small Cap	A	Int./Div.			Sold	08/20/14	J	B	
40. PIMCO Total Return Instl	B	Int./Div.			Sold	08/20/14	K	B	
41. American Funds-AMCAP R6	A	Int./Div.			Sold	08/20/14	K	C	
42. American Funds-EuroPacific Growth R6	A	Int./Div.			Sold	08/20/14	K	C	
43. Vanguard REIT Index Signal	A	Int./Div.			Sold	08/20/14	J	A	
44. Vanguard 500 Index Signal	A	Int./Div.			Sold	08/20/14	K	C	
45. Franklin Templeton Global	A	Int./Div.			Sold	08/20/14	K	C	
46. Variable Annuity B: Northwestern Mutual									
47. Domestic Equity/MSA/Delaware Management	A	Int./Div.			Sold	08/22/14	J	B	
48. Focused Appreciation/MSA/Janus	A	Int./Div.			Sold	08/22/14	J	B	
49. Fidelity VIP Midcap	A	Int./Div.			Sold	08/22/14	J	B	
50. Small Cap Value/MSA/T Rowe Price	A	Int./Div.			Sold	08/22/14	J	A	
51. Research International Core/MSA/MFS	A	Int./Div.			Sold	08/22/14	J	B	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. International Equity/MSA/Franklin Tmpl	A	Int./Div.	J	T					
53. Short Term Bond/MSA	A	Int./Div.	J	T					
54. Multi Sector Bmod/MSA/PIMCO	A	Int./Div.			Sold	08/22/14	J	B	
55. Russell Global REal Estate Securities	A	Int./Div.			Sold	08/22/14	J	A	
56. Index 500 Stock (MSA)	A	Int./Div.	J	T	Buy	08/22/14	J		
57. Mid Cap Value (MSA/Am Century)	A	Int./Div.	J	T	Buy	08/22/14	J		
58. Index 600 Stock (MSA)	A	Int./Div.	J	T	Buy	08/22/14	J		
59. Russell Core Bond	A	Int./Div.	J	T	Buy	08/22/14	J		
60.									
61. New York Life Annuity	C	Dividend	L	T					
62.									
63. QTI Human Resources 401(k) Plan									
64. American Funds International EuroPacific	B	Int./Div.			Sold	03/31/14	K	C	
65. American Funds Growth Fund of Amer R6	B	Int./Div.			Sold	08/18/14	K	D	
66. Dodge & Cox Balanced	B	Int./Div.			Sold	08/18/14	K	D	
67. American Century Gov Bond	A	Int./Div.			Sold	08/18/14	K	C	
68. Dodge & Cox International	A	Int./Div.			Buy	03/31/14	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Dodge & Cox International	A	Int./Div.			Sold	08/18/14	K	C	
70.									
71. Fidelity Money Market	A	Int./Div.	J	T					
72.									
73. Northwestern Mutual Whole Life	A	Dividend			Closed	03/26/14	J	B	
74.									
75. Fidelity Roth IRA									
76. Fidelity Cash Reserves	A	Int./Div.			Sold	07/23/14	J	A	
77. Fidelity Four in One Index	A	Int./Div.			Sold	08/22/14	K	C	
78.									
79. Fidelity IRA									
80. Spartan 500 Index Fd Investor Class	A	Int./Div.			Sold	08/22/14	J	B	
81. Fidelity Cash Reserves	A	Int./Div.			Sold	07/28/14	J	A	
82.									
83. Roth IRA #1 DFA US LC Value	A	Dividend	J	T	Buy	08/25/14	J		
84.									
85. Rollover IRA #1									

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86. DFA Emerging Mkts	A	Dividend	K	T	Buy	08/28/14	K		
87. iShare Core S&P SC ETF	A	Dividend	K	T	Buy	08/22/14	K		
88. iShare R1000 ETF	A	Dividend	L	T	Buy	08/22/14	L		
89. iShare Morningstar SC Value ETF	A	Dividend	K	T	Buy	08/28/14	K		
90. Oppenheimer Int'l Growth	A	Dividend	L	T	Buy	08/28/14	L		
91. Pimco Total Return	B	Int./Div.	L	T	Buy	08/28/14	L		
92. Vanguard ST Inv Grade Bond Fund	A	Int./Div.	K	T	Buy	09/24/14	K		
93.									
94. Roth IRA #2 DFA US LC Value	A	Dividend	K	T	Buy	08/25/14	K		
95.									
96. Rollover IRA #2									
97. DFA US LC Value	B	Int./Div.	L	T	Buy	08/22/14	L		
98. Pimco Total Return	B	Int./Div.	K	T	Buy	08/25/14	K		
99. SEP IRA Pimco Total Return	A	Int./Div.	J	T	Buy	08/22/14	J		
100.									
101. AmeritasVariable Annuity									
102. DFA VA Int'l Small	A	Int./Div.	J	T	Buy	06/06/14	J		

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103. DFA VA ST Fixed	A	Interest	K	T	Buy	06/06/14	K		
104. DFA VA US Targeted Value	A	Int./Div.	J	T	Buy	06/06/14	J		
105. Pimco Total Return	A	Int./Div.	K	T	Buy	06/06/14	K		
106. Vanguard VIF Diversified Value	A	Int./Div.	J	T	Buy	06/06/14	J		
107. Vanguard VIF Equity Inc	A	Int./Div.	J	T	Buy	06/06/14	J		
108.									
109.									
110.									
111.									
112.									

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Mary M. Rowland Revocable Trust, indicated in Part I, is unfunded and contains no assets.

Part VII old employer 401(k) plans were rolled over into rollover IRAs. No gain/loss tracking done in these plans. American Funds Roth IRA and Traditional IRA accounts were consolidated. No gain/loss tracking was done in these accounts. There is no gain/loss tracking in the IL Bright Start 529 plan. There is also no gain/loss tracking in the variable annuity contracts. Estimated gain codes were entered based on the holdings price at the time of sale/liquidation.

Part VII cash value of NW Variable Life Ins #1 used in 1035 exchange to purchase term insurance.

Part VII cash value of NW Variable Life Ins #2 and NW Whole Life policy used in 1035 exchange to purchase term insurance.

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Name of Person Reporting

Rowland, Mary M.

Date of Report

4/30/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mary M. Rowland**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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