

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2015Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hicks, Jr., S. Maurice	2. Court or Organization U.S. District Co., Western La.	3. Date of Report 10/31/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Courthouse 300 Pannin St., Suite 5101 Shreveport, LA 71101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Lecturer/Adjunct Faculty Member	Centenary College of Louisiana
2.	Board of Directors Member	Children and Arthritis, Inc. (501(c)(3) organization)
3.	Advisory Board Member	Global Power Air Museum of Barksdale Air Force Base (501(c)(3) organization)
4.	Board of Trustees	Paul M. Hebert Law Center, Louisiana State University
5.	Director of Accommodations	Committee on Bar Admissions, Louisiana Supreme Court

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Centenary College of Louisiana - Teaching	\$2,500
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Paralegal services - self-employed
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. College, University or Law School	04/17/2015 - 04/17/2015	Lafayette, LA	Activity of professional association of civic organization	Transportation, meals, lodging
2. Federal Judicial Center	05/02/2015 - 05/06/2015	New Orleans, LA	Circuit judicial conference	Transportation, meals, lodging
3. Bar Group, Bar Organization, or Bar Association	05/06/2015 - 05/08/2015	New Orleans, LA	Activity of professional association of civic organization	Transportation, meals, lodging
4. Administrative Office	09/09/2015 - 09/10/2015	Washington, DC	Meeting sponsored by the AO, FJC, USSC or JPMDL	Transportation, meals, lodging

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5.	Court	09/25/2015 - 09/26/2015	Lafayette, LA	Court governance or administrative/managerial meetings	Transportation, meals, lodging
6.	Federal Judicial Center	10/04/2015 - 10/06/2015	Nashville, TN	FJC educational seminar or program	Transportation, meals, lodging
7.	Bar Group, Bar Organization, or Bar Association	10/22/2015 - 10/23/2015	St. Francisville, LA	Activity of professional association or civic organization	Transportation, meals, lodging
8.	Administrative Office	11/16/2015 - 11/17/2015	Grapevine, TX	Meeting sponsored by the AO, FJC, USSC or JPMDL	Transportation, meals, lodging
9.	Court	12/10/2015 - 12/11/2015	New Orleans, LA	Court governance or administrative/managerial meetings	Transportation, meals, lodging
10.					
11.					
12.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Midland Mortgage	Mortgage - Rental Property #1, Ruston, LA	L
2.	Capital One Bank	Consumer Line of Credit	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Capital One Bank Accounts	A	Interest	M	T					
2. State Farm Life Insurance Co. Universal Life	B	Int./Div.	K	T					
3. Northwestern Mutual Life Insurance Co. Whole Life	B	Int./Div.	J	T					
4. Timber Land, Lincoln Parish, Louisiana - 1/1/96, \$30,000	A	Rent	K	R					
5. Rental Property #1, Ruston, LA - 07/03/01, \$74,000	A	Rent	L	R					
6. IRA # 1 Raymond James Bank Deposit Program	A	Interest	K	T					
7. Barksdale Federal Credit Union		None			Closed	12/31/15	J		
8. Red River Syndicate LP	A	Int./Div.	L	U					
9. Janney, Montgomery Scott Brokerage Account - Cash	A	Interest	J	T					
10. IRA #1 Globalnet		None			Sold	06/19/15	J	A	
11. Giant Studios		None	J	T					
12. IRA #2 Raymond James Bank Deposit Program	A	Interest	J	T					
13. Raymond James Bank Deposit Program-T	A	Int./Div.	J	T					
14. Scottrade Cash Acct.	A	Interest			Closed	12/31/15	K		
15. IRA #2 Encana	A	Dividend			Sold	12/07/15	J	A	
16. IRA #2- Genesis Energy LP	B	Distribution	K	T	Buy (add'l)	02/09/15	J		
17.					Sold (part)	11/30/15	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. IRA #1- GNMA Series 2009-87	A	Interest	J	T					
19. IRA #3 Raymond James Bank Deposit Program	A	Interest	J	T					
20. JP Morgan -Cash Acct.		None	J	T					
21. Raymond James Bank Deposit Program-S		None	J	T					
22. IRA #2-Interdigital Inc.	A	Dividend			Sold	12/15/15	J	A	
23. IRA #2-Ishares Comex Gold		None			Sold	07/28/15	J	A	
24. Linn Energy LLC - Janney	A	Distribution			Sold	12/14/15	J	A	
25. Chemtrade Logis - Scottrade (X)	A	Dividend			Sold	08/31/15	J	A	
26. IRA #1-GNMA Series 2010-162	A	Interest	J	T					
27. Raymond James S- Capital Southwest Corporation	A	Dividend			Sold	08/18/15	J	A	
28. IRA #2-Apple Incorporated	A	Dividend	K	T	Buy (add'l)	02/09/15	J		
29. IRA #2-Chevron Corporation	A	Dividend			Sold	07/28/15	J	A	
30. IRA #2-Conocophillips	A	Dividend			Sold	07/28/15	J	A	
31. IRA #2-Proto Labs Incorporated		None	J	T					
32. IRA #2-Regeneron Pharmaceuticals		None	J	T					
33. IRA #2-3-D Systems Corporation		None			Sold	11/30/15	J	A	
34. IRA #2-Stratasys Limited Shs		None			Sold	11/30/15	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. IRA #2-Cole Real Estate Invt		None			Sold	01/01/15	J	A	
36. IRA #2-Cyrusone Incorporated	A	Dividend	J	T	Buy (add'l)	03/12/15	J		
37. IRA #2-Energy Transfer Partners	A	Distribution	J	T					
38. IRA #2-Martin Midstream Partners LP	B	Distribution			Sold	11/30/15	J	A	
39. IRA #2-W P Carey Inc.	B	Dividend	K	T					
40. IRA #2-Villere Balanced Fund Investor Class	A	Dividend			Sold	11/25/15	J	A	
41. IRA #2 - Fed Ex	A	Dividend			Sold	09/23/15	J	A	
42. IRA #2- Grifols	A	Dividend	J	T					
43. IRA #2- Hydrogenics		None	J	T	Buy (add'l)	02/09/15	J		
44. IRA #2- Energy Transfer Equity	A	Distribution	J	T					
45. Pacira Pharmaceuticals- Raymond James T		None			Sold	05/05/15	J	A	
46. Gilead Sciences - Janney	A	Dividend	J	T					
47. Enlink Midstream - Janney	A	Distribution	J	T					
48. IberiaBank		None	K	T					
49. IRA #2- Verint Systems Inc		None	J	T	Buy	03/05/15	J		
50. IRA #2 - Harman Int Industries	A	Dividend			Buy	03/05/15	J		
51.					Sold	07/28/15	J	A	

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52. IRA #2- Valero Energy Corp	A	Dividend	J	T	Buy	08/17/15	J		
53. IRA #2- T. Rowe Price Blue Chip		None	J	T	Buy	11/25/15	J		
54. IRA #2- Vanguard Wellesley Inc Fund	A	Dividend	K	T	Buy	11/25/15	K		
55. IRA #2 - Dodge & Cox	A	Dividend	J	T	Buy	11/25/15	J		
56. IRA #2 - Amazon		None	K	T	Buy	11/30/15	K		
57. IRA #2 - Alphabet Inc		None	K	T	Buy	11/30/15	J		
58. IRA #2 - Delaware Smal Cap		None	J	T	Buy	11/30/15	J		
59. IRA #2- Fidelity Small Cap		None	J	T	Buy	11/30/15	J		
60. IRA #2 - Am Cap Fund Class F2		None	J	T	Buy	11/30/15	J		
61. IRA #2 - Fidelity New Millenium	A	Dividend	J	T	Buy	11/30/15	J		
62. IRA #3- Martin Midstream Partners	A	Distribution			Buy	02/09/15	J		
63.					Sold	11/30/15	J	A	
64. Raymond James S- Citizens Natl Bancshares		None	J	T	Buy	08/21/15	K		
65. Azure Midstream Partners - Janney		None	J	T	Buy	12/14/15	J		
66. General Electric Co - Janney		None	J	T	Buy	12/14/15	J		
67. Raymond James T- Citizens Natl Bancshares		None	J	T	Buy	09/11/15	J		
68.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 2.) Description of the asset has been changed to clarify the type of policy held. Filer has no control over the assets.
- 3.) Description of the asset has been changed to clarify the type of policy held. Filer has no control over the assets.
- 5.) Rental Property #1 Ruston, LA - original purchase price \$74,000. Improvements to property since date of purchase total \$16,194.
- 25.) Chemtrade Logis- Scottrade was incorrectly marked sold in 2014. This should have been a partial sale in 2014. Chemtrade Logis- Scottrade sold in 2015.
- 35.) IRA #2 - Cole Real Estate Invts should have been marked sold in 2014. Marked sold as of 01/01/2015.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ S. Maurice Hicks, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544