

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Riley, William J.	2. Court or Organization Eighth Circuit Court of Appeals	3. Date of Report 5/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Roman Hruska U.S. Courthouse 111 South 18th Plaza, #4303 Omaha, NE 68102-1322		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member & Manager	Riley Quest, L.L.C.
2. Manager	Riley Munn Farm Partnership
3. Adjunct Professor	University of Nebraska, College of Law
4. Delegate to House of Delegates	Nebraska State Bar Association since 1998 to October 2013
5. Treasurer, Nebraska Chapter	American Board of Trial Advocates
6. Counselor/Judicial Member	Robert M. Spire Inns of Court
7. Trustee, Board of Trustees	MidAmerica Council, Boy Scouts of America

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 04/21/13	University of Nebraska-Lincoln, College of Law, Adjunct Faculty, Spring 2014
2. 04/01/75	Profit Sharing and 401(k) Retirement Plan, Fitzgerald, Schorr, Barmettler & Brennan, P.C., L.L.O., former law firm, no control after termination 8/15/2001
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Spring 2013	University of Nebraska, College of Law	\$7,700.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Liberty University School of Law	03/22/13 to 03/24/13	Lynchburg, VA	Moot Court Competition	Airfare, hotel & miscellaneous expenses (luggage fees, mileage, airport parking, meals)
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Reduced Membership	American Board of Trial Advocates	\$450.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. First National Bank of Omaha Accounts	A	Interest	K	T					
2. Mass Mutual Life Insurance - Cash Values		None	L	T					
3. Riley Quest, L.L.C.	A	Dividend	O	W					
4. Marasico Growth Fund	A	Dividend	J	T					
5. IRA #1	A	Dividend	M	T					
6. -Janus Growth & Income									
7. -Weitz Value Fund									
8. -Marsico Focus Fund									
9. IRA - #2	A	Dividend	L	T					
10. -American Century Income & Growth Fund									
11. -Marsico Growth Fund									See Section VIII
12. -American Century Equity Income									
13. RBC Dain Rauscher 403(b) Account	D	Div. & Int.	L	T					
14. RBC Bank Deposit Program	A	Interest	J	T					
15. -Davis New York Venture Fund	B	Dividend	J	T					
16. -Eagle Ser	A	Dividend	J	T					
17. -Growth Fund Amer Inc	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Pioneer Ser TR I	A	Dividend	J	T					
19. -Thornburg Invnt Tr	A	Dividend	J	T					
20. -Wells Fargo Advantage FDS	B	Dividend	J	T					
21. First National Bank of Omaha - Profit Sharing & 401(k) FSB&B	A	Div. & Int.	O	T					
22. -Goldman Sachs -U.S. Government Money Market Fund	A	Int./Div.	L	T	Sold (part)	07/17/13	J	A	
23. -Goldman Sachs-U.S. Government Money Market Fund					Sold (part)	11/14/13	K	A	
24. -Berkshire Hathaway, Inc. Class A Common			O	T					
25. RBC Account X	D	Int./Div.	L	T					
26. -RBC Account			J	T	Sold (part)	02/05/13	K	A	
27. -RBC Account			J	T	Sold (part)	02/25/13	K	A	
28. -RBC Account			J	T	Sold (part)	04/15/13	K	A	
29. -RBC Account			J	T	Sold (part)	06/17/13	K	A	
30. -RBC Account			J	T	Sold (part)	09/12/13	K	A	
31. -Sarpy County Nebraska Recovery Zone X	B	Interest			Sold	02/20/13	K	C	Not held on 12/31/13
32. -Omaha Public Power District Nebraska X	B	Interest			Sold	02/20/13	L	A	Not held on 12/31/13
33. -Prime Money Market X-RBC	A	Interest	K	T					
34. -Ashton Funds Montag & Caldwell Growth X	A	Dividend	K	T					

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35. Davis New York Venture Fund	A	Dividend	K	T					
36. Thornburg Intl Value Fund	A	Dividend	K	T	Sold (part)	01/31/13	K	A	
37. Templeton Foreign FD CL A	A	Dividend	K	T	Sold (part)	01/31/13	K	A	
38. -Public Power Generation Agency Nebraska X	C	Interest			Sold (part)	04/03/13	J	A	Not held on 12/31/13
39. -Public Power Generation Agency Nebraska X					Sold (part)	04/17/13	K	B	
40. -Public Power Generation Agency Nebraska X					Sold	09/09/13	K	A	
41. RBC Wealth Mg	C	Int./Div.	M	T					
42. RBC Bank Deposit	A	Interest	J	T	Buy	01/09/13	L		See Section VIII
43. Columbia Select Large Cap Growth C	A	Dividend	J	T	Buy	03/22/13	J		
44. Davis New York Venture Fund	A	Dividend	J	T	Buy	02/05/13	J		
45. Diamond Hill Funds Small Cap	A	Dividend	J	T	Buy	04/02/13	J		
46. Eagle Sur MidCap Growth FD	A	Dividend	J	T	Buy	04/02/13	J		
47. Thornburg Invt Tr Internat'l Value FD	A	Dividend	K	T	Buy	02/05/13	J		
48. Thornburg Invt Tr Internat'l Value FD	A	Dividend	K	T	Buy	04/12/13	J		
49. Nebr Pub Pwr Dist Rev. Gen Elec & Pub Pwr	A	Interest	K	T	Buy	01/15/13	K		
50. Lincoln Neb Elec Sys Rev Elec & Pub Pwr	A	Interest	K	T	Buy	02/04/13	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 11 - Wrong name of fund, corrected from Marsico Growth "and Income" to Marsico Growth

Line 41 - Bank account to hold funds later used to purchase the funds- bonds in lines 43 to 50

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ William J. Riley**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544