

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Godbey, David C.	2. Court or Organization Northern District of Texas	3. Date of Report 08/13/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U. S. District Judge 1100 Commerce Street Room 1504 Dallas Tx 75242		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Guardian	Guardianship [REDACTED], terminated by the court on 9/11/12
2. Agent	Agent, See Part VII Lines 13-30
3. Executor	Executorship, see Part VII Lines 31-56
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Self employed, attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1. JPMorgan Chase Bank N A

Line of credit

K

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Merrill Lynch - Retirement	D	Dividend	O	T					
2. -Vanguard Institutional Index Fund					Buy (add'l)	06/30/12	K		
3. -Vanguard Short Term Investment Grade Fund ADM Class					Buy (add'l)	06/30/12	J		
4. UBS SPDR S&P MidCap 400 ETF TR	A	Dividend			Sold	12/28/12	K	D	
5. UBS SPDR S&P 500 ETF TR	A	Dividend			Sold	12/28/12	K	D	
6. UBS Unts Equity Inc Fund S&P 500 Trust 2	B	Dividend			Sold	12/28/12	K	C	
7. UBS Units Equity Inc Fund S&P MidCap 400 Index SR	C	Dividend			Sold	12/28/12	K	C	
8. UBS Bank USA Dep Acct	A	Dividend	M	T	Buy (add'l)	12/28/12	M		
9. Capital One Bank N.A. Account(Y)									
10. JPMorgan Chase Bank Account(sX)	A	Interest	L	T					
11. Vanguard Wellington Fund Admiral	D	Dividend	M	T	Sold (part)	12/28/12	L	D	
12. Trust B(Y)									
13. Sch Adv Cash Reserve SWZXX	A	Dividend	K	T					
14. DFA One Yr Fixed Inc Portfolio	A	Dividend	L	T					
15. DFA Two Yr Global Fixed Inc Portfolio	B	Dividend	L	T					
16. DFA Emerging Mkts Sm Cap Portfolio	A	Dividend	K	T					
17. DFA Emerging Mkt Value Portfolio	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. DFA Intl Sm Cap Value Prtfolio	A	Dividend	K	T					
19. DFA Intl Value Portfolio	A	Dividend	K	T					
20. DFA Real Estate Sec Portfolio	B	Dividend	K	T					
21. DFA US Lg Cap Value Portfolio	A	Dividend	K	T					
22. DFA US LG Co Portfolio	A	Dividend	K	T					
23. DFA US Targeted Value Portfolio	B	Dividend	K	T					
24. Powershares Common Steok	A	Dividend	K	T					
25. SCHW Intl Eq ETF	C	Dividend	L	T					
26. SCHW US Brd Mkt ETF	B	Dividend	L	T					
27. SPDR Barclays Cap Intl T Bond	B	Dividend	L	T					
28. Vanguard Bond Index Fund	C	Dividend	L	T					
29. Vanguard Energy ETF	B	Dividend	L	T					
30. Vanguard REIT	C	Dividend	L	T					
31. SPDR TR Unit Sr l(X)	B	Dividend	M	T	Buy	11/16/12	M		
32. SPDR S&PMidcap 400ETF Tr U(X)	A	Dividend	M	T	Buy	11/16/12	M		
33. Vanguard ScottsdaleFDS Int-Term Corp(X)	D	Dividend	O	T	Buy	11/16/12	O		
34. Vanguard Tax-Managed Fnd Eur Pac ETF(X)	B	Dividend	M	T	Buy	11/16/12	M		

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2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
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35. Scottrade Brokerage account(sX)	A	Interest	K	T					
36. Alamos Gold common stock(X)		None			Sold	11/14/12	K		
37. Alexco Resource common stock(X)		None			Sold	11/14/12	K		
38. Allied Nevada Gold Corp common stock(X)		None			Sold	11/14/12	N		
39. Aurico Gold Inc common stock(X)		None			Sold	11/14/12	J		
40. Aurizon Mines Ltd common stock(X)		None			Sold	11/14/12	K		
41. Capstone Mining common stock(X)		None			Sold	11/14/12	K		
42. Goldcorp Inc New common stock(X)		None			Sold	11/14/12	M		
43. Luna Gold Corp ORD common stock(X)		None			Sold	11/14/12	K		
44. McEwen Mng Inc common stock(X)		None			Sold	11/14/12	K		
45. Pan American Silver Corp common stock(X)		None			Sold	11/14/12	J		
46. Seabridge Gold Inc common stock(X)		None			Sold	11/14/12	L		
47. Tanzanian Royalty Explor common stock(X)		None			Sold	11/14/12	K		
48. Tata Motors Ltd ADR common stock(X)		None			Sold	11/14/12	M		
49. Zaza Energy Corp common stock(X)		None			Sold	11/14/12	K		
50. Coastal Energy Company common stock(X)		None			Sold	11/14/12	M		
51. Walmart De Mexico Series V(X)		None			Sold	11/14/12	M		

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2. Value Codes (See Columns C1 and D3)	J -\$15,000 or less N -\$250,001 - \$500,000 P3 -\$25,000,001 - \$50,000,000	K -\$15,001 - \$50,000 O -\$500,001 - \$1,000,000	L -\$50,001 - \$100,000 P1 -\$1,000,001 - \$5,000,000 P4 -More than \$50,000,000	M -\$100,001 - \$250,000 P2 -\$5,000,001 - \$25,000,000	
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52. Gold & silver coins DOD value 6/18/13(X)		None	O	Q					
53. Riley James Matagorda Prospect LP DOD value 6/18/13(X)		None	K	Q					
54. Fergus Oil & Gas LLC DOD value 6/18/13(X)		None	J	Q					
55. Harbor Resources LLC DOD value 6/18/13(X)		None	J	Q					
56. Harbor Resources LLC DOD value 6/18/13(X)		None	J	Q					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 12 Trust assets were distributed as "Final".

Part VII, Lines 13 through 30 Respondent was agent for [REDACTED] investment accounts during 2012.

Part VII, Lines 31 through 56 Respondent was appointed as Executor of a [REDACTED] estate.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David C. Godbey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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