

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dabrowski, Albert S.	2. Court or Organization United States Bankruptcy Court	3. Date of Report 06/01/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address United States Courthouse 450 Main Street (7th Floor) Hartford, Connecticut 06103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Dabrowski, Albert S.

Date of Report

06/01/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Dabrowski, Albert S.

Date of Report

06/01/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	State of Connecticut	State Funded Sewer Project (connected 2011)/re Old Lyme Property (see Part VII, p.3) (20 yr pay period)	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Dabrowski, Albert S.

Date of Report

06/01/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America - Checking, Savings	A	Interest	J	T					
2. TD BankNorth - Checking, Savings	A	Interest	K	T					
3. Real Property, Old Lyme, Connecticut		None	O	W					
4. Time Share, Cancun, Mexico		None	K	W					
5. T Rowe Price Blue Chip Growth Mutual Fund	A	Dividend	K	T					
6. T Rowe Price Media & Telecom. Mutual Fund	A	Dividend	K	T					
7. T Rowe Price Capital Appreciation Mutual Fund	A	Dividend	J	T					
8. Janus Twenty Mutual Fund	A	Dividend	L	T					
9. Janus Enterprise Mutual Fund	A	Dividend	L	T					
10. Janus Global Select Mutual Fund f/k/a Orion Fund	A	Dividend	J	T					
11. Janus Growth & Income Mutual Fund	A	Dividend	J	T					
12. American Mutual Fund -- SmallCapWorld (SCW)	A	Dividend			Open	02/18/11	K		Inherited IRA
13.					Distributed (part)	02/18/11	J		To TD BankNorth Account
14.					Redeemed (part)	04/05/11	J		Exchange Redemption GVT
15.					Closed	11/03/11	K	A	By Rebalance Exchange Rede
16. American Mutual Fund -- Euro Pacific (EP)	A	Dividend			Open	02/10/11	K		Inherited IRA
17.					Distributed (part)	02/18/11	J		To TD NorthBank Account

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Dabrowski, Albert S.

Date of Report

06/01/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Closed	04/05/11	K	A	By Exchange Redemption
19. American Mutual Fund -- Cap Income (CAI)	A	Dividend	J	T	Open	02/10/11	K		Inherited IRA
20.					Distributed (part)	02/18/11	J		To TD BankNorth Account
21.					Redeemed (part)	04/05/11	J		Exchange Redemption GVT
22.					Redeemed (part)	11/03/11	J		Rebalance Exchange Redempt
23. American Mutual Fund --US Govt SEC (GVT)	B	Interest	M	T	Open	02/10/11	K		Inherited IRA
24.					Distributed (part)	02/18/11	J		To TD North Bank Account
25.					Buy (add'l)	04/05/11	J		Exchange Redempt from CAI
26.					Buy (add'l)	04/05/11	K		Exchange Redempt from EP
27.					Buy (add'l)	04/05/11	J		Exchange Redempt from SCW
28.					Buy (add'l)	11/03/11	K		Rebalance Exch SCW & CAI
29. Stifel Nicolaus & Co.- Money Market Account	A	Interest			Closed	05/05/11	K	A	
30. Les Femmes Fiscal Investment Club	A	Dividend	J	T					See Note, Part VIII
31. Berkshire Hathaway Common Stock B	A	Dividend	J	T					
32. TJ Maxx Common Stock	A	Dividend	J	T					
33. Ford Motor Company Common Stock	A	Dividend	J	T					
34. Metropolitan Life Common Stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Dabrowski, Albert S.

Date of Report

06/01/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note --Re: Part VII, paragraphs 30-33, inclusive (Les Femmes Fiscal)

Stocks reported therein held [REDACTED] through [REDACTED] participation in investment club -- Les Femmes Fiscal --collectively reported and valued (Re "Income during reporting period" & "Gross value at end of reporting period"), as reported at Part VII, paragraph 30, as well as individually reported and valued.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Dabrowski, Albert S.

Date of Report

06/01/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Albert S. Dabrowski**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544