

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ROSENSTENGEL, NANCY J.	2. Court or Organization UNITED STATES DISTRICT COURT-EAST ST LOUIS	3. Date of Report 04/29/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) FEDERAL JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address UNITED STATES DISTRICT COURT MELVIN PRICE FEDERAL BUILDING AND COURTHOUSE 750 MISSOURI AVENUE, ROOM 104 EAST ST. LOUIS, ILLINOIS 62201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2014

BONIFIELD AND ROSENSTENGEL, INC. ATTORNEY AT LAW - SALARY

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	FCB BANK	MORTGAGE ON LAW FIRM BUILDING-SPOUSE	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. REGIONS BANK	A	Interest	L	T					
2. BONIFIELD AND ROSENSTENGEL STOCK	A	Dividend	N	W					
3. HUNTLEIGH IRA AND JOINT ACCT S	C	Int./Div.	L	T					
4. - SPDR GOLD TRUST	A	Dividend			Sold	10/02/14	J	A	
5. - FIRST TRUST IND/PROD DURABLE ALP FUND	A	Dividend	J	T					
6. - POWERSHARES SENIOR LOAN PORTFOLIO	A	Dividend			Sold	08/22/14	J	A	
7. - FIRST TRUST FINANCIAL ALPHA DEX FUND	A	Dividend	J	T					
8. ISHARES S&P 500 GROWTH INDEX FUND	A	Dividend	J	T					
9. - SPDR BARCLAYS SHORT TERM HIGH YIELD BOND	A	Dividend			Sold	10/02/14	J	A	
10. - ISHARES CORE S&P MIDCAP	A	Dividend			Sold	10/22/14	J	A	
11. - PROSHARE TRUST SHORT 20+ YR TREASURY	A	Dividend			Sold	10/02/14	J	A	
12. - FIRST TRUST SMALL CAP CORE ALPHADEX FUND	A	Dividend			Sold	07/25/14	J	A	
13. - POWERSHARES QQQ TR SERIES 1	A	Dividend	J	T					
14. - FIRST TRUST STOXX EUROPEAN SLECT DIV	A	Dividend	J	T	Buy	07/29/14	J		
15. - POWERSHARES KBW PREMIUM YIELD EQUITY	A	Dividend			Buy	08/22/14	J		
16.					Sold	10/02/14	J	A	
17. - FRANKLIN CUSTODIAN FDS INCOME SER ADV	A	Dividend	K	T	Buy	10/07/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. FRANKLIN INCOME FUND A ACCT #2	A	Dividend	J	T					
19. BRIGHT STAR EQUITYCL H ACCT #1 BASED 10-11	A	Dividend	J	T					
20. BRIGHT STAR EQUITYCL H ACCT #2 BASED 12-14	A	Dividend	J	T					
21. BRIGHT STAR EQUITYCL H ACCT #3 BASED 15-17	A	Dividend	J	T					
22. UMB ACCT #1 COCA COLA STOCK	A	Dividend	J	T					
23. UMB ACCT #2 COCA COLA STOCK	A	Dividend	J	T					
24. UMB ACCT #3 COCA COLA STOCK	A	Dividend	J	T					
25. EDWARD JONES ACCT #1 PUTNUM MULTI-CAP GROWTH FUND	A	Dividend	J	T					
26. EDWARD JONES ACCT #2 PUTNUM MULTI-CAP GROWTH FUND	A	Dividend	J	T					
27. EDWARD JONES ACCT #3 PUTNUM MULTI-CAP GROWTH FUND	A	Dividend	J	T					
28. BRIGHT START ADVISOR EQUITY H	A	Dividend	K	T					
29. NML LIFE INSURANCE- WHOLE LIFE	C	Dividend	M	T					
30. COUNTRY COMPANIES WHOLE LIFE INSURANCE CV	B	Dividend	K	T					
31. HARTFORD LIFE INSURANCE POLICY -CASH VALUE (X)	B	Dividend	K	T					
32. JJB PROFIT SHARING PLAN	E	Int./Div.	P1	T					
33. - US TREASURY BONDS 7.25% 08/15/22	B	Interest			Sold	08/13/14	K	A	
34. - WISDOMTREE US DIV GROWTH FUND	C	Dividend	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - PROSHARES TRUST SHORT 20+ TREASURY	A	Dividend			Sold	10/02/14	L	A	
36. - SPDR GOLD TRUST	A	Dividend			Sold	10/02/14	K	A	
37. - SPDR BARCLAYS SHORT TERM HIGH YIELD BOND	C	Interest			Sold	10/02/14	L	A	
38. - SELECT SECTOR SPDR F HEALTH CARE	B	Dividend	M	T					
39. - POWERSHARES SENIOR LOAN PORTFOLIO	D	Dividend			Sold	07/29/14	M	A	
40. - MARKET VECTOR TRUST BUSINESS DEVELOPMENT CO	D	Dividend			Sold	10/02/14	M	A	
41. - FIRST TRUST FUND IV SENIOR LOAN FUND	C	Interest			Sold	10/02/14	M	A	
42. - FIRST TRUST SMALL CAP CORE ALPHADEXM FUND	A	Dividend			Sold	07/25/14	M	B	
43. - FIRST TRUST INDUSTRIAL/ PRODUCER DURABLES ALPHADEX FUND	B	Dividend	M	T					
44. - FIRST TRUST VI MULTI-ASSET DIVERSIFIED INCOME INDEX FD	D	Dividend			Sold	01/03/14	M	A	
45. - JP MORGAN 100% US TREASURY SECURITIES MONEY MARKET	A	Interest	L	T					
46.					Sold (part)	12/30/14	K	A	
47. - FRANKLIN CUSTODIAN FDS INCOME SER ADV CL AD	D	Dividend	M	T	Buy	10/07/14	O		
48. - CAMBRIA SHAREHOLDER ETF YIELD ETF	C	Dividend	M	T	Buy	01/03/14	M		
49.					Sold (part)	10/02/14	M	C	
50. - FIRST TRUST STOXX ETF EUROPEAN SELECT DIV	B	Dividend	M	T	Buy	07/31/14	M		

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51. - POWERSHARES KBW PREMIUM YIELD EQUITY	B	Dividend			Buy	08/13/14	M		
52.					Sold	10/02/14	M	A	
53. - POWERSHARE FD TD TR II CEF INCOME	B	Dividend			Buy	08/04/14	M		
54.					Sold	10/02/14	M	A	
55. OFFICE PROPERTY, BELLEVILLE, IL, ACQUIRED 12/31/2012 \$150,000 PRICE	D	Rent	M	R					
56.									
57.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

LINES 32 THRU 54 JJB PROFIT SHARING PLAN, IS FORMALLY KNOW AS THE JERALD J BONIFIELD PROFIT SHARING PLAN. THE REPORTING PERSON'S SPOUSE IS A PARTICIPANT AND OWNS COLLECTIVELY 24% OF THE ASSETS OF THE PROFIT SHARING PLAN SHOWN ON PART VII, LINES 32 THRU 54. . THE PLAN IS MANAGED BY A PROFESSIONAL INVESTMENT MANAGER AND THE REPORTING PERSON HAS NO KNOWLEDGE OF THE PLAN TRANSACTIONS. ALL PERSONAL REPORTING ITEMS ARE REFLECTED ON LINES 1 THROUGH 32 ON THE SAME REPORT PAGE OF PART VII.

LINE. 55, COULUMN A, REFLECTS INVESTMENT IN OFFICE BUILDING, COST BASIS \$150,000; LOCATED BELLEVILLE, IL; ACQUIRED 12/31/2012.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ NANCY J. ROSENSTENGEL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544