

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HILLMAN, STEPHEN J.	2. Court or Organization Central District of California	3. Date of Report 05/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full-Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Roybal Federal Building 255 East Temple Street, Rm 560 Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board Member	Association of Business Trial Lawyers
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2013	General American Life Insurance Co. - Taxable portion of the cash surrender value of life insurance policy	\$25,937.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2013	[REDACTED] - Salary
2. 2013	Self-Employed Educational Consultant
3. 2013	Required distribution from inherited IRA
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Association of Business Trial Lawyers	April 12 through 14, 2013	Del Mar, CA	Participate in board meeting	Transportation, lodging and food
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. USAA Life Insurance	B	Interest	M	T					
2. Teachers Ins. & Annuity Assoc	C	Dividend	N	T					
3. Aurora Life Ins.	B	Interest	K	T					
4. General American Life Ins.	B	Interest			Closed	10/01/13	L	E	
5. Artisan International Fund	A	Dividend	J	T					
6. Artisan International Fund	A	Dividend	J	T					
7. Oakmark International Small Cap Fund	A	Dividend	J	T					
8. Dodge & Cox International Stock Fund	A	Dividend	J	T					
9. Schwab U S Treasury Money Market Fund		None	J	T					
10. Schwab U S Treasury Money Market Fund		None	J	T					
11. Schwab U S Treasury Money Market Fund		None	J	T					
12. BlackRock Equity Dividend Fund	A	Dividend			Sold	08/05/13	J	A	
13. BlackRock Equity Dividend Fund	A	Dividend			Sold	08/05/13	J	A	
14. UBS E-TRACS Alerian MLP Infrastructure ETN	A	Dividend	J	T	Sold (part)	09/06/13	J	A	
15. Fidelity Contrafund	A	Dividend	J	T					
16. BlackRock Equity Dividend Fund	A	Dividend			Sold	08/19/13	J	A	
17. Morgan Stanley Instit. Mid Cap Growth	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 - \$50,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Oakmark International Small Cap Fund	A	Dividend	J	T					
19. PIMCO Total Return Fund	A	Dividend	J	T	Sold (part)	04/29/13	J	A	
20. Schwab U S Treasury Money Market Fund		None	J	T					
21. UBS E-TRACS Alerian MLP Infrastructure ETN	A	Dividend	J	T					
22. FMI Large Cap	A	Dividend	J	T					
23. Loomis Sayles Small Cap Growth	A	Dividend	J	T					
24. ASTON/River Road Independent Value	A	Dividend	J	T					
25. MainStay Marketfield Fund	A	Dividend	J	T					
26. MainStay Marketfield Fund	A	Dividend	J	T					
27. RiverNorth Core Opportunity Fund		None			Sold	12/06/13	J	A	
28. FMI Large Cap	A	Dividend	J	T					
29. ASTON/River Road Independent Value	A	Dividend	J	T					
30. RiverNorth Core Opportunity Fund		None			Sold	12/06/13	J	A	
31. FMI Large Cap	A	Dividend	J	T	Sold (part)	09/06/13	J	A	
32. ASTON/River Road Independent Value	A	Dividend	J	T					
33. MainStay Marketfield Fund	A	Dividend	J	T					
34. RiverNorth Core Opportunity Fund		None			Sold	12/06/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Wasatch Emerging Markets Small Cap	A	Dividend	J	T					
36. Angel Oak Multi-Strategy Income	A	Dividend	J	T	Buy (add'l)	04/30/13	J		
37. DoubleLine Total Return Bond I	A	Dividend	J	T					
38. Angel Oak Multi-Strategy Income	A	Dividend	J	T	Buy (add'l)	04/30/13	J		
39. DoubleLine Total Return Bond I	A	Dividend	J	T					
40. PIMCO Total Return Fund	A	Dividend	J	T	Sold (part)	04/29/13	J	A	
41. Angel Oak Multi-Strategy Income	A	Dividend	J	T	Buy (add'l)	04/30/13	J		
42. DoubleLine Total Return Bond I	A	Dividend	J	T	Sold (part)	11/20/13	J	A	
43. PIMCO Total Return Fund	A	Dividend			Sold	04/29/13	K	A	
44. RiverPark Short Term High Yield	A	Dividend	J	T	Buy	04/30/13	J		
45. Stone Ridge Reinsurance Risk Premium Fund		None	J	T	Buy	12/09/13	J		
46. RiverPark Short Term High Yield	A	Dividend	J	T	Buy	04/30/13	J		
47. Stone Ridge Reinsurance Risk Premium Fund		None	J	T	Buy	12/09/13	J		
48. RiverPark Short Term High Yield	A	Dividend	J	T	Buy	04/30/13	J		
49. Scout Unconstrained Bond Fund	A	Dividend	J	T	Buy	11/21/13	J		
50. Semper MBS Total Return	A	Dividend	J	T	Buy	11/21/13	J		
51. Stone Ridge Reinsurance Risk Premium Fund		None	J	T	Buy	12/09/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Yacktman Fund	A	Dividend	J	T	Buy	08/20/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ STEPHEN J. HILLMAN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544