

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lungstrum, John W.	2. Court or Organization USDC, District of Kansas	3. Date of Report 04/17/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Senior Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 517 U.S. Courthouse 500 State Avenue Kansas City, KS 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director and officer	Westward Ho, Inc. (corporation which owns residential property for personal use)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.	Duke Law Diversity Leadership Conference (Duke University)	06/21/2018 - 06/22/2018	Denver, Colorado	Legal Education	Travel Expenses
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Bank (money market account)	A	Dividend	L	T					
2. College Station TX School District (Bond)	A	Interest	K	T					
3. MSILF Govt PtfInsi (Mutual Fund)	B	Dividend	L	T	Buy	09/06/18	L		
4. Eaton Vance Tax MGD Growth Fund (Mutual Fund)	A	Dividend	L	T					
5. EV Floating Rate A (Mutual Fund)	B	Interest	K	T					
6. Blackstone REIT	A	Dividend	K	T	Buy	02/01/18	K		
7. EV Kansas Municipals (Mutual fund)	A	Interest	K	T					
8. Tortoise MLP Fund Inc. (Mutual Fund)	B	Dividend	J	T					
9. Louisiana St. Energy & PWR Auth (Bond)	B	Interest			Redeemed	01/02/18	K	A	
10. IRA									
11. -AQR Managed Futures (Mutual Fund)	A	Dividend	K	T	Buy	01/02/18	J		
12.					Sold (part)	02/22/18	J		
13. -AQR Market Neutral Inst (Mutual Fund)	A	Dividend	K	T					
14. -AQR Style Premia Alternative (Mutual Fund)	A	Dividend	J	T	Buy	01/02/18	J		
15. -Blackrock Strategic Inc. OPPI (Mutual Fund)	A	Dividend	K	T	Buy	01/02/18	J		
16.					Sold (part)	02/22/18	J	A	
17. -Cambiar In't Equity (Mutual Fund)	A	Dividend			Sold (part)	02/22/18	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 F = \$50,001 - \$100,000	C = \$2,501 - \$5,000 G = \$100,001 - \$1,000,000	D = \$5,001 - \$15,000 H1 = \$1,000,001 - \$5,000,000	E = \$15,001 - \$50,000 H2 = More than \$5,000,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 N = \$250,001 - \$500,000	L = \$50,001 - \$100,000 O = \$500,001 - \$1,000,000	M = \$100,001 - \$250,000 P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000 P4 = More than \$50,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) U = Book Value	S = Assessment V = Other	T = Cash Market W = Estimated	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold	08/30/18	J	B	
19. -Causeway Intl Value (Mutual Fund)	B	Dividend	L	T	Buy	12/24/18	J		
20. -Columbia Strategic Inc. Inst. (Mutual Fund)	A	Dividend	J	T	Buy	01/08/18	J		
21. -Fidelity ADV FLTG RT (Mutual Fund)	A	Dividend	L	T					
22. -Fidelity ADV Total Bond I	A	Dividend	J	T	Buy	02/22/18	J		
23. -Gabelli Gold I (Mutual Fund)	A	Dividend	J	T	Buy	12/24/18	J		
24. -Healthcare Sel (Mutual Fund)	A	Dividend	J	T	Sold (part)	02/22/18	J	A	
25. -Henderson Intl Opport I (Mutual Fund)	B	Dividend	L	T	Buy	12/21/18	J		
26. -Invesco Small Cap Y (Mutual Fund)	A	Dividend	J	T	Buy	12/24/18	J		
27. -iShares Russell 200 GRWTH ETF (Mutual Fund)	A	Dividend	J	T					
28. -Harding Loevner Int's Eq (HLMIX)	A	Dividend	K	T	Buy	08/30/18	K		
29. -iShares Russell Midcap G ETF (Mutual Fund)	A	Dividend	K	T	Sold (part)	01/02/18	J	A	
30.					Buy	02/22/18	J		
31.					Sold (part)	02/24/18	J	A	
32. -iShares Russell Midcap V ETF (Mutual Fund)	A	Dividend	K		Sold (part)	01/02/18	J	A	
33. -iShares S&P 500 Growth (Mutual Fund)	B	Dividend	M	T	Buy	02/22/18	J		
34.					Sold (part)	08/27/18	K	C	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -iShares S&P 500 Val (Mutual Fund)	A	Dividend	K	T	Sold (part)	01/02/18	J	A	
36.					Buy	08/30/18	J		
37. -iShares S&P Mid-Cap 400 G ETF (Mutual Fund)	A	Dividend	K	T					
38. -iShares MSCI Japan (Mutual Fund)	A	Dividend			Sold (part)	01/02/18	J	A	
39.					Sold (part)	02/22/18	J	A	
40.					Sold	08/30/18	J	A	
41. -MSDW Liq. Asset (Money market fund)	A	Dividend	J	T					
42. -Oppenheimer Ltd Term BDY (Mutual Fund)	A	Dividend	J	T	Buy	01/02/18	J		
43. -Pimco Foreign Bd US \$ Hedged P (Mutual Fund)	A	Dividend	J	T					
44. -Pimco 1-5 Yr U.S. TIPX IDX FD (Mutual Fund)	A	Dividend	J	T					
45. -Pimco Income P (Mutual Fund)	A	Dividend	J	T					
46. -Principal GLB REL EST (POSIX) (Mutual Fund)	A	Dividend	J	T	Buy	08/30/18	J		
47. -Tortoise MLP & Pipeline (TORIX)	A	Dividend	J	T	Buy	02/22/18	J		
48. -The Financial Sel Sect SPDR FD (Mutual Fund)	A	Dividend	J	T	Sold (part)	01/02/18	J	A	
49.					Sold (part)	02/22/18	J	A	
50. -Utilities Sel Sect SPDR Fund (XLU) (Mutual Fund)	A	Dividend	J	T	Buy	02/22/18	J		
51. -Vanguard Info Tech (Mutual Fund)	A	Dividend	J	T	Sold (part)	01/02/18	J	A	

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52.					Sold (part)	02/22/18	J	A	
53. -Vanguard REIT ETF (Mutual Fund)	B	Dividend	K	T	Buy	01/02/18	J		
54.					Sold (part)	02/22/18	J	A	
55.					Sold (part)	08/30/18	J	A	
56. -Vanguard SHR-TM Infat Protec (Mutual Fund)	A	Dividend	J	T					
57. -Vanguard Value ETF (Mutual Fund)	B	Dividend	L	T	Sold (part)	08/27/18	J	A	
58. -Vanguard FTSE Dev. Mkts E (Mutual Fund)	A	Dividend	K	T	Sold (part)	01/22/18	J	A	
59.					Sold (part)	02/22/18	J	B	
60. -Vanguard FTSE Europe ETF (Mutual Fund)	A	Dividend			Sold (part)	02/22/18	J	A	
61.					Sold	08/30/18	J	A	
62. -Vanguard SHR-TM Inflation Protec (Mutual Fund)	A	Dividend	J	T	Buy	01/02/18	J		
63. -Vanguard Small Cap Indx Inv (Mutual Fund)	A	Dividend	K	T	Sold (part)	01/02/18	J	A	
64.					Sold (part)	08/30/18	J	A	
65. -Virtus Insight Emerg Mkts (Mutual Fund)	A	Dividend	K	T	Sold (part)	02/22/18	J	A	
66. -Xtrackers MSCI Japan Hedged EQ (Mutual Fund)	A	Dividend			Sold (part)	01/02/18	J	A	
67.					Buy	02/22/18	J		
68.					Sold	08/30/18	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John W. Lungstrum**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544