

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Crabb, Barbara B.	2. Court or Organization U.S. District Court, Western District of Wisconsin	3. Date of Report 08/20/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 120 North Henry Street Suite 540 Madison, WI 53703		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	Factor-Mervis charitable foundation
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Wisconsin Department of Employee Trust Funds
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University College-London	11/3/2013-11/6/2013	London, England	Speaking at FRAND conference	3 nights lodging; meals and airfare
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.									
2. Ishares Barclays TIPS Bd. Fd. (TIP)	A	Dividend			Buy (add'l)	2/5/13	J		
3.					Sold	4/11/13	J	A	
4. Ishares Iboxx (LQD)	A	Dividend			Sold (part)	2/5/13	J	A	
5.					Sold	4/11/13	J	A	
6. Ishares JP Morgan Em Bond Fd. (EMB)	A	Dividend			Buy (add'l)	2/5/13	J		
7.					Sold	4/11/13	J	B	
8. Ishares MSCI Canada Index Fd. (EWC)		None			Buy (add'l)	2/5/13	J		
9.					Sold	4/11/13	L		
10. Ishares MSCI Pac Ex-Japan ETF (EPP)	B	Dividend	K	T	Sold (part)	10/22/13	J	A	
11. Ishares MSCI Kingdom Ind Fd. (EWU)		None			Buy (add'l)	2/5/13	J		
12.					Sold	4/11/13	K	B	
13. Ishares Russell 1000 Growth Index (IWF)	C	Dividend	M	T	Sold (part)	4/11/13	M	E	
14.					Sold (part)	8/5/13	J	C	
15.					Sold (part)	10/22/13	L	E	
16. Ishares Russell 1000 Value (IWD)	C	Dividend	M	T	Sold (part)	2/5/2013	J	B	
17.					Sold (part)	4/11/13	L	E	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 I ~\$15,001 - \$50,000	E ~\$15,001 - \$50,000 F ~\$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P ~\$500,001 - \$1,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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18.					Sold (part)	8/5/13	J	C	
19.					Sold (part)	10/22/13	J	C	
20. Ishares Russell Midcap ETF (IWP)	A	Dividend	K	T	Sold (part)	2/5/13	J	A	
21.					Sold (part)	4/11/13	J	C	
22.					Sold (part)	8/5/13	J	B	
23. Ishares Midcap (IWS)	A	Dividend	K	T	Sold (part)	2/5/13	J	A	
24.					Sold (part)	4/11/13	J	D	
25.					Sold (part)	8/5/13	J	A	
26. Ishares Russell 2000 Growth Fund (IWO)	A	Dividend	K	T	Sold (part)	2/5/13	J	A	
27.					Sold (part)	4/11/13	K	D	
28.					Sold (part)	8/5/13	J	B	
29.					Sold (part)	10/22/13	J	A	
30. Ishares Russell 2000 Value (IWN)	A	Dividend	K	T	Sold (part)	2/5/13	J	A	
31.					Sold (part)	4/11/13	J	C	
32.					Sold (part)	8/5/13	J	B	
33.					Sold (part)	10/22/13	J	A	
34. Ishares Iboxx \$ Hy/Y Corp. Bond (HYG)	A	Dividend			Sold (part)	2/5/13	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Sold	4/11/13	J	A	
36. Medtronic, Inc. (MDT)	A	Dividend	J	T					
37. Puerto Rico CO BE	A	Int./Div.			Sold	12/5/13	J	A	
38. SPDR S&P Intl Small Cap (GWX)	B	Dividend			Sold (part)	4/11/13	L	D	
39.					Sold	2/5/13	J	A	
40. Vanguard Reit ETF (VNQ)	B	Dividend	K	T	Sold (part)	4/11/13	K	B	
41. Cisco Systems, Inc. (CSCO)	A	Dividend	J	T					
42. Vanguard Municipal Fund	A	Interest	J	T					
43. Equitable Life Assurance Annuity		None	L	T					
44. GE Capital	B	Interest	M	T					
45. TIAA CREF -529 College Savings Plan (no control)			K	T	Buy	10/14/13	J		
46. WisdomTree Trust Emerg. Mkt. Eqt (DEM)	B	Dividend	J	T	Buy (add'l)	02/05/13	J		
47.					Buy (add'l)	04/11/13	K		
48.					Buy (add'l)	08/05/13	J		
49.					Sold (part)	10/22/13	K	A	
50.					Sold (part)	12/5/13	K		
51. Vanguard FTSE Emerging Mkts (VWO)	B	Dividend			Buy (add'l)	2/5/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.					Buy (add'l)	4/11/13	J		
53.					Sold (part)	2/5/13	J	A	
54.					Sold	8/5/13	M		
55. Ishares Gold Trust (IAU)		None			Buy (add'l)	2/5/13	J		
56.					Buy (add'l)	4/11/13	K		
57.					Sold (part)	8/5/13	J		
58.					Sold	10/22/13	L		
59. Ishares Barclays Agg. Bd. Fd.(AGG)	A	Dividend			Sold	2/5/13	J	A	
60. Ishares Barclays 1-3 Year Bond (SHY)	A	Dividend	K	T					
61. WisdomTree Tr EMG Mkts Sm Cap (DGS)	A	Dividend			Buy (add'l)	04/11/13	J		
62.					Buy (add'l)	8/5/13	J		
63.					Sold (part)	2/5/13	J		
64.					Sold (part)	10/22/13	J		
65.					Sold (part)	12/5/13	K		
66.					Sold	12/16/13	J		
67. Citibank, NA (CITINA) (temporary cash)	A	Int./Div.	J	T					
68. WisdomTree Trust Japan Hedge EQ (DXJ)	B	Dividend	M	T	Sold (part)	2/5/13	J	A	

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69.	A	Int./Div.	J	T					
70. Barcap IPath DJ UBS Comm. Indx (DJP)		None			Buy (add'l)	2/5/13	J		
71.					Buy (add'l)	4/11/13	K		
72.					Buy (add'l)	8/5/13	J		
73.					Sold (part)	10/22/13	J		
74.					Sold (part)	12/5/13	K		
75.					Sold	12/16/13	J		
76. Ishares MSCI Emu (EZU)	A	Dividend			Buy (add'l)	2/5/13	K		
77.					Sold	4/11/13	L	C	
78. MS Liquid Asset Fund (ILAF)	A	Interest	J	T					
79. Alerian MLP ETF (AMLPE)	A	Dividend	J	T	Buy	10/22/13	K		
80.					Sold (part)	12/5/13	K		
81. ishares MSCI Emerg Mkt Min.Vol (EEMV)	B	Dividend	K	T	Buy	8/5/13	J		
82.					Sold (part)	10/22/13	J	A	
83. Ishares MSCI Japan ETF (EWJ)	A	Dividend	L	T	Buy	4/11/13	J		
84.					Sold (part)	8/5/13	J	A	
85. PIMCO Total Return ETF (BOND)	B	Dividend	J	T	Buy	04/11/13	L		

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86.					Buy (add'l)	08/05/13	J		
87.					Sold (part)	10/22/13	J		
88.					Sold (part)	12/5/13	L		
89. SPDR Barclays Capital Emerg. (EBND)	A	Dividend	J	T	Buy	4/11/13	J		
90.					Buy (add'l)	8/5/13	J		
91.					Buy (add'l)	10/22/13	J		
92.					Sold (part)	12/5/13	J		
93. SPDR Gold Tr Gold Shs (GLD)		None	J	T	Buy	10/22/13	L		
94.					Sold (part)	12/5/13	K		
95. Vanguard European MSCI ETF (VGK)	C	Dividend	M	T	Buy	4/11/13	J		
96.					Sold (part)	8/5/13	J	A	
97. WisdomTree Euro Sm Cap Div. ETF (DFE)	A	Dividend	K	T	Buy	04/11/13	J		
98.					Sold (part)	08/05/13	J	A	
99. WisdomTree Europe Hedged Equity (HEDJ)	A	Dividend	L	T	Buy	4/11/13	J		
100.					Sold (part)	8/5/13	J	A	
101. Goldman Sachs Strategic Inc. 1 (GSZIX)	A	Dividend			Buy	2/5/13	J		
102.					Sold	4/11/13	J	A	

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103. PIMCO 0-5 Year H/Y Corp. Bond (HYS)	A	Dividend			Buy	2/5/13	J		
104.					Sold	4/11/13	J	A	
105. Goldman Sachs Strategic (GSZIX)	A	Dividend			Buy	2/5/13	J		
106.					Sold	4/11/13	J	A	
107. Ishares International Treasury Bond (IGOV)	A	Dividend	M	T	Buy	10/22/13	J		
108. Morgan Stanley Bank NA (MSBNK) (repository for cash on hand)	A	Interest	K	T					
109. Vanguard Short Term Corporate (VCSH)	A	Interest	L	T	Buy	4/11/13	L		
110.					Buy	8/5/13	J		
111.					Buy	10/22/13	J		
112. PIMCO Commodity Real Ret. Strat (PCRPX)		None	J	T	Buy	12/16/13	J		

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Name of Person Reporting

Crabb, Barbara B.

Date of Report

08/20/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

i shares Russell 100 Growth (IWF) should have been reported as sold in 2013.

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Name of Person Reporting

Crabb, Barbara B.

Date of Report

08/20/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Barbara B. Crabb**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544