

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rice, David E.	2. Court or Organization U.S. Bankruptcy Court, District of MD	3. Date of Report 05/08/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 101 W. Lombard Street Chambers 9636 Baltimore, MD 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	President	Egenton Home, Inc.
2.	Trustee	Trust #1
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Bankruptcy Bar Association
for the District of Maryland

5/2-5/3-2014

Annapolis, MD

Seminar

Meals, hotel

2. American Bankruptcy
Institute

7/31-8/1-2014

Cambridge, MD

Seminar

Meals, hotel

3. Federal Judicial Center

8/13-8/15-2014

Boston, MA

Seminar

Hotel

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	U.S. Dept. of Education	Student loans	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Bank Accounts	A	Interest	M	T					
2. Pentagon FCU Account	A	Interest	J	T					
3. APG FCU Account	A	Interest	J	T					
4. USAA Federal Accounts	A	Interest	K	T					
5. New Perspective Mutual Fund	B	Dividend	K	T					
6. McCormick Common (MKC)	A	Dividend	K	T					
7. PNC Bank IRA's CD's	A	Interest	K	T					
8. TD Ameritrade Money Market Account	A	Interest	J	T					
9. Facebook, Inc. (FB)		None	K	T					
10. Groupon, Inc. (GRPN)		None	J	T					
11. Netflix, Inc. (NFLX)		None	J	T	Buy	01/03/14	J		
12. Eastman Kodak Warrants		None	J	T	Buy	02/18/14	J		
13. Zynga, Inc. (ZNGA)		None	J	T	Buy	01/03/14	J		
14.					Buy (add'l)	05/23/14	J		
15. Brokerage Account #1									
16. Artisan Midcap Value Inv. Fund (ARTOX)	B	Dividend	K	T	Buy (add'l)	08/15/14	J		
17.					Sold (part)	04/11/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. EV Income Fund of Boston A (EVIBX)	A	Dividend	J	T	Sold (part)	04/11/14	J	A	
19.					Buy (add'l)	08/15/14	J		
20. Ivy Mid Cap Growth A Fund (WMGAX)	A	Dividend	L	T	Buy (add'l)	08/15/14	J		
21.					Sold (part)	04/11/14	J	A	
22. Invesco Premier Inst. Money Mkt Fund	A	Dividend	J	T					
23. Citibank deposit account (now Morgan Stanley Bank account)	A	Interest	L	T					
24. IBM Common (IBM)	A	Dividend	K	T					
25. Invesco Van Kampen Comstock Fund (ACSTX)	A	Dividend	L	T	Sold (part)	08/15/14	J	B	
26.					Buy (add'l)	04/11/14	J		
27. Cambiar Small Cap Fund (CAMSX)	A	Dividend	J	T	Buy (add'l)	04/11/14	J		
28.					Buy (add'l)	08/15/14	J		
29. Alliance Bernstein Small Cap Growth Fund (QUAYX)	C	Dividend	J	T	Buy (add'l)	08/15/14	J		
30.					Sold (part)	04/11/14	J	A	
31. Columbia Select Large Cap Growth Fund (ELGAX)	A	Dividend	L	T	Sold (part)	04/11/14	J	B	
32.					Buy (add'l)	08/15/14	J		
33. Dreyfus Emerging Markets Fund (DRFMX)	A	Dividend			Buy (add'l)	04/11/14	J		
34.					Sold	06/03/14	K	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Nuveen Tradewinds International Value Fund (NAIGX)	C	Dividend	L	T	Buy (add'l)	08/15/14	J		
36.					Sold (part)	04/11/14	J	A	
37. Pimco Foreign Bond Fund (PFOAX)	A	Dividend	J	T	Buy (add'l)	04/11/14	J		
38.					Buy (add'l)	08/15/14	J		
39. Pimco Total Return Fund (PTTDX)	A	Dividend	J	T	Buy (add'l)	04/11/14	J		
40.					Buy (add'l)	08/15/14	J		
41. Blackrock Low Dur Bond Fund Inv A (BLDAX)	A	Dividend	K	T	Buy (add'l)	04/11/14	J		
42.					Sold (part)	08/15/14	J	A	
43. Schroder Emerging Markets Equity Fund (SEMNX)	A	Dividend	K	T	Buy	06/03/14	K		
44.					Sold (part)	08/15/14	J	A	
45. IRA #1	E	Dividend	O	T					
46. SPDR Barclays Capital High Yield Bond ETF (JNK)					Buy (add'l)	04/11/14	J		
47.					Buy (add'l)	12/15/14	J		
48. Dreyfus Liquid Assets Inc. (nowMSLiquidAssetFund)									
49. Vanguard Mid-Cap Value Index Fund (VOE)					Sold (part)	04/11/14	J	A	
50. Cambiar Opportunity Fund (CAMWX)					Sold (part)	04/11/14	J	B	
51. Cohen and Steers Realty Shares Fund (CSRSX)					Sold (part)	04/11/14	J	A	

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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52. Goldman Sachs Absolute Return Fund (GJRTX)					Buy (add'l)	04/11/14	J		
53. Ivy Mid Cap Growth Fund (IYMIX)					Sold (part)	04/11/14	J	A	
54. JP Morgan Dynamic Small Cap Growth Fund (JDSCX)					Sold (part)	04/11/14	J	A	
55. Mainstay Large Cap Growth Fund (MLAIX)					Sold (part)	04/11/14	J	A	
56. Pimco Emerging Local Bond Fund (PELPX)					Buy (add'l)	04/11/14	J		
57.					Buy (add'l)	12/15/14	J		
58. Pimco Commodity Real Return Strategy Fund (PCRPX)					Sold (part)	04/11/14	K	A	
59.					Buy (add'l)	12/15/14	J		
60. Royce Premier Fund (RYPRX)					Buy (add'l)	04/11/14	J		
61.					Buy (add'l)	12/15/14	J		
62. Guggenheim ManFutStratFD (RYMFX)					Buy (add'l)	04/11/14	J		
63. Templeton Global Bond Fund (TGBAX)					Buy (add'l)	04/11/14	J		
64. Wells Fargo Adv Em Markets Growth Fund (EMGYX)					Buy (add'l)	04/11/14	J		
65.					Buy (add'l)	12/15/14	J		
66. Vanguard Short Term Bond Fund (BSV)					Buy (add'l)	04/11/14	J		
67. Harding Loevner Int'l Equity Fund (HLMIX)					Buy (add'l)	04/11/14	J		
68. Metropolitan West Total Return Bond Fund (MWTIX)					Buy (add'l)	04/11/14	J		

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69. Invesco Premier Portfolio Money Market Fund (IPPPX)									
70. Alerian MLP ETF (AMLPL)					Buy	04/11/14	K		
71.					Buy (add'l)	12/15/14	J		
72. IRA #2	B	Interest	M	T					
73. Morgan Stanley Bank deposit account									
74. Fifth Third Bank (CD)					Matured	02/20/14	K		
75. Gateway Bank (CD)									
76. BMO Harris Bank (CD)									
77. Bank Baroda (CD)					Buy	04/30/14	K		
78. Safra Nat'l Bank (CD)					Buy	04/30/14	K		
79. Wells Fargo Bank (CD)					Buy	04/30/14	K		
80. Trust #1	D	Int./Div.	M	T					
81. Comerica Bank deposit account									
82. MBIA Bond									
83. Duke Energy Common (DUK)					Sold	09/23/14	J	C	
84. Spectra Energy Common (SE)									
85. Oppenheimer Rochester Nat'l Mun A Fund (ORNAX)					Sold	09/23/14	J	A	

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86. Oppenheimer SR Floating Rate A Fund (OOSAX)					Sold	09/23/14	K	A	
87. Washington Mutual Invs FDCLA fund (AWSHX)					Sold	09/23/14	K	D	
88. Kinder Morgan Energy Partners (KMP) (now Kinder Morgan, Inc. (KMI))									
89. Allianzgi Income Growth Fund (AZNCX)									
90. Pimco Emerging Markets Bond Fund (PEBCX)					Sold	09/23/14	J	A	
91. First Trust Multi-Asset Diversified Income Fund (MDIV)					Buy	09/23/14	J		
92. AMG Yacktman Service Fund (YACKX)					Buy	09/23/14	J		
93. First Eagle Global Income Builder Fund (FEBIX)					Buy	09/23/14	J		
94. Invesco High Yield Municipal Fund CACTDX					Buy	09/23/14	J		
95. Loomis Sayles Strategic Income Fund (NEZYX)					Buy	09/23/14	J		
96. PIMCO Income Fund (PONPX)					Buy	09/23/14	J		
97. Mineral Rights, Dimmit County, TX		None	K	W					
98. Mineral Rights, Canadian County, OK	A	Royalty	K	W					
99. Mineral Rights, Franklin County, AR		None	K	W					
100. Mineral Rights, Lincoln County, OK		None	K	W					
101. Mineral Rights, Pontotoc County, OK		None	K	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Investments and Trusts.

The following assets listed on last year's Financial Disclosure Report do not appear on this report for the following reasons:

Lines 61 and 62 Blackrock Inflation Protection Bond Portfolio (BPRIX) was sold in its entirety, Sold (all), 3/8/13, J, A.

Lines 66 and 67 Franklin Templeton Total Return Fund (FBDAX) was sold in its entirety, Sold (all), 6/14/13, K, A.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ David E. Rice

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544