

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bencivengo, Cathy A.	2. Court or Organization US District Court, California	3. Date of Report 05/04/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge, active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. Courthouse 221 West Broadway San Diego, California 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. President	J. Clifford Wallace, American Inn of Court
2. Member of Board of Governors	San Diego Chapter of the Association of Business Trial Lawyers
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Thermo Fisher Scientific - Salary & Commission
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Stanford Law School	5/15/2014 - 5/16/2014	Stanford, CA	Judicial Panelist at Patent Law Conference	air and local transportation, parking meals and lodging
2. American Antitrust Institute and Stanford Law School	8/14/2014 - 8/16/2014	Stanford, CA	Seminar attendee at an Antitrust Law Conference	seminar fee, air and local transportation, parking, meals and lodging
3. Federal Circuit Bar Association	10/06/014 - 10/08/2014	Washington, DC	Judicial Panelist at the Global Fellows Patent Law Conference	air and local transportation, parking, meals and lodging
4. Association of Business Trial Lawyers	10/15/2014 - 10/18/2014	Ko Olina, HI	Judicial Panelist at the Annual Business Trial Lawyers Conference	seminar fee, air and local transportation, parking, meals and lodging

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5. The Sedona Conference	11/04/2014 - 11/07/2104	New Orleans, LA	Judicial Panelist at All Voices Workshop on Patent Litigation Best Practices	seminar fee, air and local transportation, parking, meals and lodging
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. IRA #2 (Edward Jones)	D	Dividend	O	T					
2. -- Edward Jones Cash Reserves									
3. -- Columbia Small Cap Value II					Sold (part)	10/29/14	J		
4. --Dodge & Cox Income Fund									
5. -- Dodge & Cox Stock Fund									
6. -- Fundamental Investors Fund									
7. -- Hotchkis & Wiley Mid Cap Val I					Sold (part)	10/29/14	J		
8. -- JPMorgan Core Bond Fund Select									
9. -- Lomis Sayles Invst Grade Bd									
10. -- MFS Value Fund									
11. -- Victory Munder Mid Cap Core Growth Fund (x)									
12. -- Franklin Mutual Global Discovery Fund (x)									
13. -- Oppenheimer Intl Growth Fund									
14. -- Invesco Comstock Fund									
15. -- JPM Fed Money Market Inst Cl									
16. -- Artisan Intl Value Fund									
17. -- Alger Small/Mid Cap Growth									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. -- Europacific Growth Fund									
19. -- T.Rowe Price Intl Discovery									
20. -- Harbor Capital Appreciation									
21. -- Rainer Mid Cap Equity									
22. -- Delaware US Growth									
23. -- T.Rowe Price Int Lrge Cp Gr									
24. -- Primecap Odyssey Stock Fund					Buy	10/29/14	K		
25. 401K #2 (Thermo Fisher)	A	Dividend	O	T					
26. -- Dodge & Cox Stock									
27. -- Thermo Fisher Scientific Inc.									
28. -- Pimco Total Return Instl									
29. -- Dodge & Cox Intl Stock									
30. -- SSGA S&P 500 Index CL C									
31. Brokerage Acct. #2									
32. -- Fundamental Investors	B	Dividend	K	T	Redeemed (part)	11/07/14	K		
33. -- New World Growth Fund of America	B	Dividend	K	T					
34. -- U.S. Govt. Securities Fund					Sold	11/07/14	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. Palomar Pomerado Health Muni Bonds		None	K	T					
36. Temple City California Unified School District Muni Bonds		None	K	T					
37. Money Market Charles Schwab	A	Interest	L	T					
38. Brokerage Acct. #4 (Schwab)									
39. --Columbia Seligman Comm & Info Fund	A	Dividend	J	T					
40. -- Matthews Asian Growth & Inc Fund	A	Dividend	J	T					
41. -- Victory Munder Index 500 Fund (x)	C	Dividend	K	T					
42. --Oakmark Equity Income Fund	B	Dividend	J	T					
43. -- Parnassus Equity Income	A	Dividend	J	T					
44. -- Permanent Portfolio	A	Dividend	J	T					
45. -- Reynolds Blue Chip	D	Dividend	K	T					
46. -- Yacktman Focused Fund	B	Dividend	K	T					
47. IRA #1	A	Dividend	J	T					
48. -- JDS Uniphase Corp. Common Stock									
49. -- Fidelity Intl Discovery Mutual Fund									
50. IRA #3 (Schwab)	A	Dividend	K	T					
51. -- Deposit Account/Cash Reserves									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -- Parnassus Equity Income									
53. Chase (x)	A	Interest	L	T					
54.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Investments and Trusts

line 11. previously Munder Mid Cap Core Growth Fund; name change to Victory Munder Mid Cap Growth Fund on 10/31/2014

line 12. previously Mutual Global Discovery Fund; name change to Franklin Mutual Global Discovery Fund on 07/03/2014

line 41. previously Munder Index 500 Fund; name change to Victory Munder Index 500 Fund on 10/31/2014

line 53. previously not reported in error. Income never exceeded \$200, but the gross value of the account qualifies.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Cathy A. Bencivengo**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544