

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tilley, Jr., Norwood C.	2. Court or Organization U.S. District Court, MDNC	3. Date of Report 06/12/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address P. O. Box 3443, Room 303 324 West Market Street Greensboro, N.C. 27402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board of Visitors	Wake Forest University School of Law
2.	Director	Chief Justice Joseph Branch Inn (American Inns of Court)
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2011	State retirement income from service as an administrator, Davidson County Community College, Land Rent (inherited)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 3 IRAs (CDs) Wachovia Bank & Trust, Greensboro, NC	A	Interest	K	T					
2. 2 IRAs First Citizens Bank & Trust, Greensboro, NC	A	Interest	K	T					
3. 1 IRA (Mutual) MFS Capital Opportunities Fund, MFS Heritage	A	Interest	K	T					
4. Savings Acct, First Citizens Bank & Trust, Greensboro, NC	A	Interest	K	T					
5. Checking Acct, Truiliant Federal Credit Union	A	Interest	J	T					
6. Quest Acct, First Citizens Bank & Trust, Greensboro, NC	A	Interest	K	T					
7. Bank of North Carolina	A	Dividend	J	T					
8. Checking Acct, NewBridge Bank	A	Interest	J	T					
9. State Employees Credit Union, Money Market	A	Interest	M	T					
10. Bank of North Carolina	A	Interest	J	T					
11. -1 IRA Putnam Fiduciary Trust Co		None							
12. -Putnam Growth & Income A	A	Dividend			Sold	04/01/11	J	A	
13. -Putnam Multi-Cap Growth Fund A	A	Dividend			Sold	04/01/11	J	A	
14. -Putnam International Equity A	A	Dividend			Sold	04/01/11	J	A	
15. -Putnam Research Fund A	A	Dividend			Sold	04/01/11	J	A	
16. -Cash	A	Interest			Closed	04/01/11	J		
17. GE Capital Corp Inter	A	Interest			Sold	12/21/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Merrill Lynch #13736									
19. -BB&T Corp	A	Dividend	J	T					
20. -Coca Cola Co	A	Dividend	K	T					
21. -Comcast Corp CL A	A	Dividend	J	T					
22. -Duke Energy Corp	A	Dividend	J	T					
23. -Newbridge Bancorp	A	Dividend	K	T					
24. -Progress Energy Inc.	A	Dividend	K	T					
25. -Spectra Energy Corp	A	Dividend	J	T					
26. -Stryker Corp	A	Dividend	J	T					
27. -Cisco Systems, Inc.	A	Dividend	J	T					
28. -Home Depot	A	Dividend	J	T					
29. -Pepsico	A	Dividend	J	T					
30. -American Funds High Income Trust	A	Dividend	J	T					
31. - American Funds -Bond Fund of America CLA	A	Dividend	J	T					
32. - American Funds-Capital Income Builder Fund CL A	A	Dividend	J	T					
33. -American Funds -Capital World Growth & Income Fund CI A	A	Dividend	J	T					
34. - American Funds -Fundamental Investors Fund CL A	A	Dividend	J	T					

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35. -American Funds -Income Fund of America Fund CL A	A	Dividend	J	T					
36. - American Funds -New Economy Fund CL A	A	Dividend	J	T					
37. -Putnam Multi Cap Growth Fund Cl A	A	Dividend			Sold	04/01/11	J	A	
38. - Bank of America	A	Dividend	J	T	Buy	11/03/11	J		
39. -Pfizer, Inc.	A	Dividend	J	T	Buy	10/03/11	J		
40. -Loomis Sayles Strategic Income Fund Class C	A	Dividend	K	T	Buy	12/21/11	K		
41. -Lord Abbett Short Duration Income Fund C	A	Dividend	K	T	Buy	12/21/11	K		
42. -Lexington Womens Investment Club									
43. -Apple Inc	A	Dividend	J	T					
44. -Abbott Laboratories	A	Dividend	J	T					
45. -AFLAC Incorporated	A	Dividend	J	T					
46. -Berkshire Hathaway Inc	A	Dividend	J	T					
47. -Caterpillar, Inc	A	Dividend	J	T					
48. -Cisco Systems, Inc	A	Dividend			Sold	08/01/11	J	A	
49. -Chevron Corporation	A	Dividend	J	T					
50. -Walt Disney Company	A	Dividend	J	T					
51. -Duke Energy Corporation	A	Dividend	J	T					

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52. -General Electric Company	A	Dividend	J	T					
53. -Corning Incorporated	A	Dividend	J	T					
54. -Google Inc	A	Dividend	J	T					
55. -HCP, Inc	A	Dividend	J	T					
56. -Johnson & Johnson	A	Dividend	J	T					
57. -Lowes Companies, Inc	A	Dividend			Sold	07/05/11	J	A	
58. -Allscripts-Misys Healthcare	A	Dividend	J	T					
59. -Medtronic, Inc	A	Dividend			Sold	12/05/11	J	A	
60. -Monsanto Company	A	Dividend			Sold	04/04/11	J	A	
61. -Microsoft Corporation	A	Dividend	J	T					
62. -Pepsico, Inc	A	Dividend	J	T					
63. -Procter & Gamble Company	A	Dividend	J	T					
64. -Potash Corporation of Saskatche	A	Dividend			Sold	01/31/11	K	A	
65. -Royal Dutch Shell PLC Royal Dut	A	Dividend	J	T					
66. -Sprint Nextel Corporation Comm	A	Dividend	J	T					
67. -Spectra Energy Corp	A	Dividend	J	T					
68. -Verizon Communications, Inc	A	Dividend	J	T					

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69. -Wells Fargo & Company	A	Dividend	J	T					
70. -MEMC Electronic Materials, Inc	A	Dividend	J	T					
71. -Wal-Mart Stores, Inc	A	Dividend	J	T					
72. -Wesco Financial Corporation	A	Dividend			Sold	06/29/11	J	A	
73. -Aeropostale Inc	A	Dividend	J	T					
74. -Targacept Inc	A	Dividend	J	T					
75. -Google Inc	A	Dividend	J	T					
76. -Targacept Inc	A	Dividend			Sold	12/05/11	J	A	
77. -Hatteras Finl Corp	A	Dividend	J	T					
78. -Visa Inc.	A	Dividend	J	T	Buy	02/28/11	J		
79. -3M Co.	A	Dividend	J	T	Buy	02/28/11	J		
80. -Exelon Corp	A	Dividend	J	T	Buy	02/28/11	J		
81. -Sysco Corp	A	Dividend	J	T	Buy	02/28/11	J		
82. -Canon, Inc.	A	Dividend	J	T	Buy	02/28/11	J		
83. -Hatteras Financial Corp	A	Dividend	J	T	Buy	05/31/11	J		
84. -Google, Inc.	A	Dividend	J	T	Buy	05/31/11	J		
85. -Hatteras Financial Corp	A	Dividend	J	T	Buy	07/05/11	J		

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86. -Verizon Communications, Inc.	A	Dividend	J	T	Buy	09/06/11	J		
87. -Intell Corp	A	Dividend	J	T	Buy	09/06/11	J		
88. -PPG Industries Inc.	A	Dividend	J	T	Buy	09/06/11	J		
89. -Royal Dutch Shell Spons ADF A	A	Dividend	J	T	Buy	09/20/11	J		
90.					Sold (part)	09/26/11	J		
91. -Merrill Lynch									
92. -Lifepoints Moderate Strategy FD CL S	A	Dividend	J	T	Buy	05/05/11	J		
93. -Cash	A	Interest	J	T					
94. -Merrill Lynch									
95. - American Fundamental Investors Inc. CL A	A	Dividend	J	T	Open	04/18/11	J		
96. American New Perspective FD CL A	A	Dividend	J	T	Open	04/18/11	J		
97. Cash	A	Interest	J	T					
98. -Merrill Lynch									
99. -Harley Davidson Inc. WIS	A	Dividend	J	T	Open	04/18/11	J		
100. -Leggett & Platt Inc. PV1CT	A	Dividend	J	T	Open	04/18/11	J		
101. - Progress Energy Inc	A	Dividend	K	T	Open	04/18/11	K		
102. -Sysco Corporation	A	Dividend	K	T	Open	04/18/11	K		

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103. -Cash	A	Interest	J	T					
104. -Merrill Lynch									
105. -Cash	A	Interest	J	T					
106. -Cisco Systems Inc. Com	A	Dividend	J	T	Open	03/18/11	J		
107. -American Growth Fund Of America CL C	A	Dividend	J	T	Buy	04/18/11	J		
108. -American Growth Fund of America CL A	A	Dividend	K	T	Open	04/18/11	K		
109. -American Investment Co of America CL A	A	Dividend	J	T	Open	04/18/11	J		
110. -Blackrock Global Allocation FD INC C	A	Dividend	J	T	Buy	04/18/11	J		
111. -Columbia Acorn FD CL A	A	Dividend	K	T	Buy	04/18/11	K		
112. -Delaware Small Cap Core Fund CL C	A	Dividend	J	T	Buy	04/18/11	J		
113. -First Eagle Global Class C	A	Dividend	K	T	Buy	04/18/11	K		
114. -Franklin Income FD CL C	A	Dividend	J	T	Buy	04/18/11	J		
115. -Lord Abbett Short Duration Income Fund C	A	Dividend	J	T	Buy	04/18/11	J		
116. -MFS Emerging Markets Debt FD CL C	A	Dividend	J	T	Buy	04/18/11	J		
117. -Putman Equity Income Fund CL A	A	Dividend	J	T	Buy	04/18/11	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Certain funds from the Edward D Jones Brokerage Accounts were transferred to Merrill Lynch Accounts; however, there were no transactions involved.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Tilley, Jr., Norwood C.

Date of Report

06/12/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: *s/ Norwood C. Tilley, Jr.*

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544