

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rosen, Gerald E.	2. Court or Organization U.S. District Court - Eastern District of Michigan	3. Date of Report 05/09/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Room 730 U.S. Courthouse 231 West Lafayette Detroit, MI 48226		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors	Focus:Hope, Detroit, Michigan
2. Board of Advisors	George Washington University Law School, Washington, D.C.
3. Trustee	Trust No. 1
4. Trustee	Trust No. 2
5. Professor	Wayne State University, Detroit, Michigan
6. Board of Directors	The Theodore Levin Memorial Foundation
7. Board of Directors	Detroit Symphony Orchestra
8. Board of Trustees	Community Foundation for Southeast Michigan

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1995	The Rutter Group - Publication Agreement dated November 1995, amended October 2012. Royalties to be shared in an amount to be determined upon publication.
2. 1996	The West Group - Publication Agreement dated January 1996. Royalties to be shared by authors in an amount to be determined upon publication.

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- | | |
|---------|---|
| 3. 2005 | The Rutter Group - Publication Agreement dated November 2005. Royalties to be shared by authors in an amount to be determined upon publication. |
|---------|---|
-
- | | |
|---------|--|
| 4. 2013 | The Rutter Group - Publication Update Agreement dated March 2013. Royalty to be paid based on projected sales of the Update. |
|---------|--|
-

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Thomson Reuters (West Group) - Book Royalties	\$34,331.75
2. 2015	Wayne State University - Teaching	\$24,478.25
3. 2015	Kalamazoo College - Speaking Fees	\$2,000.00
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Chadbourne & Parke LLP	02/24/15 - 02/25/15	New York, NY	Seminar Speaker	Airfare, Lodging, Parking, Local Transportation
2. Boca Raton Economic Group	03/05/15 - 03/07/15	Boca Raton, FL	Seminar Speaker	Airfare, Parking, Lodging
3. American Bar Association	04/16/15 - 04/18/15	Miami, FL	Seminar Speaker	Airfare, Parking, Lodging, Meals, Local Transportation
4. Urban League Club of Chicago	05/06/15 - 05/07/15	Chicago, IL	Seminar Speaker	Airfare, Lodging, Parking, Meals, Local Transportation
5. Mackinac Policy Conference	05/26/15 - 05/29/15	Mackinaw Island, MI	Seminar Speaker	Lodging, Meals, Mileage

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6.	National Association of Securities Professionals	06/15/15 - 06/16/16	Chicago, IL	Panelist	Airfare, Parking, Local Transportation
7.	Insomniac	06/18/15 - 06/19/15	Las Vegas, NV	Seminar Speaker	Airfare, Lodging, Parking, Local Transportation
8.	American College of Trial Lawyers	08/13/15 - 08/14/15	Glen Arbor, MI	Seminar Speaker	Lodging, Meals
9.	The Rutter Group	08/20/15 - 08/21/15	Portland, OR	Seminar Speaker	Airfare, Lodging, Meals, Local Transportation
10.	American College of Trial Lawyers	10/01/15 - 10/04/15	Chicago, IL	Seminar Speaker	Airfare, Lodging, Meals, Local Transportation
11.	University of Pennsylvania	10/26/15	Philadelphia, PA	Seminar Speaker	Airfare, Rail Transportation
12.	Federal Bar Association	10/27/15 - 10/28/15	Washington DC	Seminar Speaker	Airfare, Lodging, Meals, Local Transportation
13.	Southern Methodist University	10/29/15 - 10/30/15	Dallas, TX	Seminar Speaker	Airfare, Lodging, Parking, Local Transportation
14.	George Washington University	11/04/15 - 11/06/15	Washington DC	Panelist Speaker	Airfare, Lodging, Meals, Local Transportation
15.	New York County Lawyers Association	11/20/15 - 11/21/15	New York, NY	Seminar Speaker	Airfare, Lodging, Meals, Local Transportation

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1. _____

2. _____

3. _____

4. _____

5. _____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1. _____

2. _____

3. _____

4. _____

5. _____

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. PNC Bank Accounts	A	Interest	J	T					
2. IRA No. 1 (H)									
3. -Federated Government Obligations Fund Money Market	A	Interest	L	T					
4. -Alphabet Inc Class C		None	J	T	Buy	02/25/15	M		
5.					Sold	02/25/15	M	A	
6.					Buy	07/22/15	J		
7.					Sold (part)	07/29/15	J		
8.					Buy (add'l)	12/23/15	J		
9. -BP PLC SP ADR	A	Dividend			Buy	04/09/15	J		
10.					Sold	06/15/15	J		
11. -Costco Wholesale Corp		None			Buy	11/02/15	L		
12.					Sold	11/02/15	L	A	
13. -CVS Health Corp	A	Dividend	J	T	Buy	09/01/15	J		
14. -Devon Energy Corp	A	Dividend			Buy	04/29/15	K		
15.					Sold	07/08/15	J		
16. -Ecolab Inc.		None			Buy	11/03/15	L		
17.					Sold	11/03/15	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Exxon Mobil Corp		None	J	T	Buy	12/22/15	J		
19. -Hilton Worldwide Holdings	A	Dividend	J	T	Buy	08/27/15	J		
20. -Netflix Inc.		None			Buy	05/07/15	L		
21.					Sold	05/07/15	L	A	
22.					Buy	09/09/15	J		
23.					Sold	09/09/15	J	A	
24.					Buy	10/08/15	J		
25.					Sold	10/08/15	J	A	
26. -PepsiCo Inc		None			Buy	10/22/15	M		
27.					Sold	10/22/15	M	A	
28. -Proshares UltraPro S&P 500 ETF		None			Buy	07/09/15	K		
29.					Sold	07/21/15	K	B	
30.					Buy	10/08/15	L		
31.					Sold	10/08/15	L	A	
32. -Schlumberger LTD	A	Dividend	J	T	Buy	08/26/15	J		
33. -Skyworks Solutions Inc.		None			Buy	04/10/15	L		
34.					Sold	04/10/15	L	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -SPDR S&P 500 ETF Trust		None			Buy	08/25/15	K		
36.					Sold	08/27/15	K	A	
37. -Starbucks Corp	A	Dividend	J	T	Buy	08/27/15	J		
38. -Whiting Petroleum Corp		None			Buy	04/06/15	M		
39.					Sold	04/06/15	M	B	
40. -Williams Cos Inc.		None			Buy	04/15/15	M		
41.					Sold	04/15/15	M	A	
42. IRA No. 2 (H)									
43. -Federated Government Obligations Fund Money Market	A	Interest	M	T					
44. -Actavis PLC		None			Buy	01/31/15	J		
45.					Sold	02/18/15	J	A	
46. -Alphabet Inc Class C		None	J	T	Buy	02/25/15	M		
47.					Sold	02/25/15	M	B	
48.					Buy	07/22/15	J		
49.					Sold (part)	07/29/15	J		
50.					Buy (add'l)	12/23/15	J		
51. -Apple Inc		None			Buy	09/16/15	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.					Sold	09/16/15	M	A	
53. -Berkshire Hathaway Class B		None			Buy	03/30/15	M		
54.					Sold	03/30/15	M	B	
55. -BP PLC SP ADR	A	Dividend			Buy	04/09/15	J		
56.					Sold	06/15/15	J		
57. -Caterpillar Inc.		None			Buy	11/02/15	L		
58.					Sold	11/02/15	L	A	
59. -CBL Assoc Property REIT (X)	A	Dividend			Sold	08/07/15	J		
60. -Costco Wholesale Corp					Buy	11/06/15	M		
61.					Sold	11/06/15	M	A	
62. -CVS Health Corp	A	Dividend	J	T	Buy	08/27/15	M		
63. -Devon Energy Corp	A	Dividend			Buy	04/29/15	K		
64.					Sold	07/08/15	J		
65. -Ecolab Inc		None			Buy	11/03/15	L		
66.					Sold	11/03/15	L	A	
67. -Exxon Mobil Corp		None	J	T	Buy	12/22/15	J		
68. -Gilead Sciences Inc		None			Buy	05/14/15	M		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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69.					Sold	05/14/15	M	A	
70. -Hilton Worldwide Holdings	A	Dividend	J	T	Buy	08/27/15	J		
71. -Netflix Inc.		None			Buy	05/08/15	M		
72.					Sold	05/08/15	M	B	
73.					Buy	09/09/15	J		
74.					Sold	09/09/15	J	A	
75.					Buy	10/08/15	J		
76.					Sold	10/08/15	J	A	
77. -Palo Alto Networks Inc.		None			Buy	02/05/15	J		
78.					Sold	03/05/15	J	A	
79. -Proshares UltraPro S&P 500 ETF		None			Buy	07/09/15	K		
80.					Sold	07/21/15	K	B	
81.					Buy	10/08/15	L		
82.					Sold	10/08/15	L	A	
83. -Schlumberger LTD	A	Dividend	J	T	Buy	08/26/15	J		
84. -Skyworks Solutions Inc		None			Buy	04/10/15	L		
85.					Sold	04/10/15	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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86. -SPDR S&P 500 ETF Trust		None			Buy	05/21/15	M		
87.					Sold	05/21/15	M	A	
88.					Buy	08/25/15	K		
89.					Sold	08/27/15	K	A	
90. -Starbucks Corp	A	Dividend	J	T	Buy	08/27/15	J		
91. -Time Warner Cable Inc		None			Buy	05/20/15	L		
92.					Sold	05/20/15	L	A	
93. -Williams Cos Inc.		None			Buy	04/15/15	N		
94.					Sold	04/15/15	N	A	
95. Roth IRA No. 1 (H)									
96. -Federated Government Obligations Fund Money Market		None	J	T					
97. Roth IRA No. 2 (H)									
98. -Federated Government Obligations Fund Money Market		None	J	T					
99. -Walt Disney Co (X)	A	Dividend	J	T					
100. Brokerage Account No. 1(H)									
101. -Federated Government Obligations Fund Money Market (X)		None	J	T					
102. -Ford Interest Advantage Money Market	A	Interest			Closed	04/01/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Outside earned income shown in Part III A was computed in accordance with the guidance in Section 3(b) of the Regulations of the Judicial Conference of the United States Under Title VI of the Ethics Reform Act of 1989 Concerning Outside Earned Income, Honoraria and Outside Employment.

Section 3(b) states the following:

(b) "Outside earned income" means all wages, salaries, commissions, professional fees, and payments and compensation of any kind for services rendered or to be rendered by the covered senior employee, less the ordinary and necessary expenses paid or incurred in producing the income, provided, however, that the following shall not constitute earned income.

2. Part I. Positions

- a.) Line 3 - Trust No. 1 has no reportable assets.
- b.) Line 4 - Trust No. 2 has no reportable assets.

3. Part VII. Investments and Trusts

- a.) Line 4 - Google Inc changed their corporate name to Alphabet Inc.
- b.) Line 46 - Google Inc changed their corporate name to Alphabet Inc.
- c.) Line 59 - CBL Assoc Property Reit acquired in multiple transactions each under the reporting threshold.

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05/09/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gerald E. Rosen

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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