

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Boom, Clair H.	2. Court or Organization US Disriet Court for the ED and WD of Kentucky	3. Date of Report 04/19/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 101 Barr St. Lexington, KY 40507		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Transylvania University Board of Regents
2. Vice President	Henry Clay Volleyball Booster Club
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 12/31/18

Denny Boom, Setzer Construction, Wages

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Republic Bank & Trust, Waller	Mortgage on Investment Property, Lexington KY	N
2.	PBI Bank, TAFL Office Bld	Mortgage on Investment Property, Lexington, KY	O
3.	Old National Bank, Capstone	Mortgage on Investment Property, Lexington, KY	M
4.	Field & Main Bank & Trust, SP RRTAI, LLC	Mortgage on Investment Property, Jeffersonville, IN	O
5.	Sterling Bank, Condo	Mortgage on Investment Property, Oakland, CA	L
6.	Central Bank	Mortgage on Home, Lexington, KY	PI
7.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Charles Schwab Bank Deposit	A	Int./Div.	K	T					
2. Schwab Gov't Money Fund	A	Int./Div.	K	T					
3. Vanguard Int. Term Tax Exempt Fund	A	Int./Div.	J	T	Buy	09/17/18	J		
4. Ishare Core US Bond Mkt	B	Int./Div.	M	T					
5. Bowling Green, KY, Indpt School Bond	A	Int./Div.	K	T	Buy	07/30/18	K		
6. CA St. Dept. Wtr Res. Ser AE Rev	A	Int./Div.	K	T					
7. CA St. Educational Facs. Auth. Rev.	A	Int./Div.			Sold	05/15/18	K	C	
8. CA St. ublic Wks Brd Lease Rev	A	Int./Div.	K	T					
9. CA St. Stwd Cmnty Dev. Auth	A	Int./Div.	K	T					
10. CA St. Univ. Ser A Rev	A	Int./Div.	K	T					
11. CA State Public Works Rev	A	Int./Div.	K	T					
12. Cal St. GO 5%	B	Int./Div.	K	T					
13. Campbell & Kenton Cnty KY Rev	A	Int./Div.	K	T	Buy	05/10/18	K		
14. Casitas Municipal Water Distr	A	Int./Div.	K	T					
15. Charter Oak Ca Uni Sch. Dist	B	Int./Div.	K	T					
16. El Centro CA Fing. Auth Wtr	A	Int./Div.	K	T					
17. Fayette Cnty KY Scho Dist. Fin	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Garden Groove CA Public Auth	A	Int./Div.	K	T					
19. Greenville KY GO	A	Int./Div.	K	T					
20. Hacienda LA Puente CA Facs	A	Int./Div.	K	T					
21. Hanford CA Elem Sch Dist GO	B	Int./Div.	K	T					
22. Hardin Cnty KY Wtr Dist #002		None	K	T	Buy	09/11/18	K		
23. Hermosa Beach CA Sch Dist	B	Int./Div.	K	T					
24. Houston TX Cmnty College Dist	B	Int./Div.	K	T					
25. Imperial Cnty CA Local Trans	A	Int./Div.			Sold	05/10/18	K	A	
26. Jefferson Cnty KY Sch Dist Ser	A	Int./Div.	L	T	Buy	10/18/18	L		
27. KY Infrastructure Auth R.	A	Int./Div.	K	T	Buy	07/10/18	J		
28. KY St. Turnpike Auth Dev Road	A	Int./Div.	L	T	Buy	05/10/18	L		
29. LexingtonFayette Urban Cnty	A	Int./Div.	L	T	Buy	07/31/18	L		
30. Lincoln CA Uni Sch Dist San	B	Int./Div.	K	T					
31. Los Angeles CA Cmnty Clg Dist	A	Int./Div.	K	T	Buy	03/06/18	K		
32. Los Angeles CA Uni Sch Dist	A	Int./Div.	K	T	Buy	04/09/18	K		
33. Louisville & Jefferson Cnty KY Met Swr Dist Rev	A	Int./Div.	K	T	Buy	05/15/18	K		
34. Louisville & Jefferson Cnty KY Rev	A	Int./Div.	K	T	Buy	07/27/18	K		

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35. Louisville & Jefferson Cnty KY Swr & Drain Rev		None	K	T	Buy	10/18/18	K		
36. Louisville & Jefferson Cnty GO	A	Int./Div.	K	T	Buy	10/24/18	K		
37. Marshall Cnty KY Sch Dist Fin		None	K	T	Buy	07/17/18	K		
38. Morehead St. Univ KY Gen Rcpts	A	Int./Div.	K	T	Buy	07/10/18	K		
39. Moreland CA Sch Distr Ref GO	A	Int./Div.	K	T					
40. Murray KY St. Univ Gen Rcpts	A	Int./Div.	K	T	Buy	05/15/18	K		
41. Northern KY Univ. Revs	A	Int./Div.	K	T	Buy	06/07/18	K		
42. Oxnard CA Union High Sch Dist	B	Int./Div.			Sold	10/11/18	K	A	
43. Paducah KY GO		None	K	T	Buy	07/17/18	K		
44. Russell Cnty Public Library Dist	A	Int./Div.	K	T	Buy	05/10/18	K		
45. San Diego CA Uni Sch Dist	A	Int./Div.	K	T					
46. San Francisco CA Cty & Cnty	A	Int./Div.	K	T					
47. Santa Clarita CA Cmnty Clg GO	A	Int./Div.	K	T					
48. Santa Cruz City CA High Sch	A	Int./Div.	K	T					
49. Scott Cnty KY Sch Dist Fin Corp	A	Int./Div.	K	T	Buy	10/26/18	K		
50. Southwestern CA Cmnty Clg	A	Int./Div.	K	T					
51. Stockton CA Unif Sch Dist GO	B	Int./Div.			Sold	10/18/18	K	B	

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52. Sunnyvale CA Wst Wtr Rev	A	Int./Div.	K	T					
53. Taft CA City Sch Dist Go	A	Int./Div.	K	T					
54. Univ of KY Gen Recpts Rev		None	K	T	Buy	10/19/18	K		
55. Victor Valley Ca Cmnty College	B	Int./Div.			Sold	10/24/18	K	A	
56. W Contra Cost CA Sch Dist GO	B	Int./Div.	K	T					
57. Warren Cnty KY GO	A	Int./Div.	K	T	Buy	09/11/18	K		
58. Wayne Cnty KY Sch Dist Fin Cor		None	K	T	Buy	07/26/18	K		
59. Winchester KY Util Sys Rev	A	Int./Div.	L	T	Buy	07/10/18	L		
60. AT&T	B	Int./Div.	L	T	Buy	05/23/18	J		
61. AT&T		None			Buy	07/03/18	J		
62. AT&T		None			Buy	07/06/18	J		
63. AT&T		None			Buy	09/10/18	J		
64. AT&T		None			Buy	09/10/18	J		
65. AT&T		None			Buy	11/30/18	J		
66. AT&T		None			Buy	11/30/18	J		
67. AT&T		None			Buy	12/10/18	K		
68. AbbVie Inc	B	Int./Div.	L	T	Buy	07/06/18	J		

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69. AbbVie Inc		None			Buy	09/10/18	J		
70. AbbVie Inc		None			Buy	10/30/18	J		
71. Aetna	A	Int./Div.			Sold	07/24/18	J	A	
72. Agrium	A	Int./Div.	J	T					
73. Allstate	A	Int./Div.			Sold	12/12/18	J	A	
74. AmerisourceBergen	A	Int./Div.			Sold	11/07/18	J		
75. Amgen	A	Int./Div.			Sold	06/27/18	J	B	
76. Analog Devices	A	Int./Div.			Sold	10/25/18	J	B	
77. Apple	B	Int./Div.			Sold	11/23/18	K	C	
78. BB&T	A	Int./Div.	K	T					
79. Bank of New York	A	Int./Div.			Sold	09/10/18	J	A	
80. Bank of New York		None			Sold	12/10/18	J	A	
81. Becton Dickinson & Co	A	Int./Div.			Sold	10/19/18	J	A	
82. Becton Dickinson & Co		None			Sold	11/05/18	J	B	
83. Adobe Systems		None			Sold	05/07/18	J	B	
84. Adobe Systems		None			Sold	08/28/18	J	C	
85. Ameren		None			Sold	05/24/18	K	D	

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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86. Alphabet Inc Class C		None	K	T					
87. Altria Group	B	Dividend	K	T					
88. Amazon.com		None	J	T	Buy	10/01/18	J		
89. Amazon.com	A	Dividend	J	T	Buy	10/01/18	J		
90. Amazon.com		None	J	T	Buy	12/10/18	J		
91. Ameriprise Financial	A	Dividend	K	T					
92. Avery Dennison Corp	A	Dividend	K	T					
93. BAE Systems PLC	A	Dividend			Sold	12/27/18	J	A	
94. Bank of Nova Scotia	A	Dividend	J	T	Sold (part)	05/23/18	J	A	
95. BCE	A	Dividend			Sold	05/23/18	J	A	
96. Berkshire Hathaway CL B		None	K	T					
97. BHP Billiton Ltd	A	Dividend	J	T					
98. Biogen Idec		None			Buy	07/06/18	J		
99. Biogen Idec		None			Sold	07/17/18	J	A	
100. Biogen Idec		None			Sold	07/17/18	J	B	
101. Biogen Idec		None			Sold	10/03/18	J	A	
102. Biogen Idec		None			Sold	10/03/18	J	B	

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103. Biogen Idec		None			Sold	10/03/18	K	C	
104. BlackRock	A	Dividend	K	T					
105. Boeing	A	Dividend	J	T	Buy	07/06/18	J		
106. Boeing	A	Dividend	J	T					
107. Boeing	A	Dividend	K	T					
108. BP PLC	A	Distribution	J	T	Buy	11/01/18	J		
109. Brighthouse Financial		None	J	T					
110. Bristol-Myers Squibb	A	Dividend	K	T					
111. British American Tobacco	A	Dividend			Buy	03/07/18	J		
112. British American Tobacco		None			Sold	10/12/18	J	A	
113. CACI International		None	J	T	Buy	07/06/18	J		
114. CACI International	A	Dividend	J	T					
115. Cadence Design Systems		None			Sold	05/25/18	J	B	
116. Cardinal Health	B	Dividend	K	T					
117. CBS Corporation		None	J	T	Buy	09/20/18	J		
118. CBS Corporation	A	Dividend	J	T	Buy	09/12/18	J		
119. CBS Corporation		None	J	T	Buy	09/20/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. CBS Corporation		None			Buy	11/30/18	J		
121. CBS Corporation		None			Buy (add'l)	11/30/18	J		
122. Centennial Resource Development Inc		None			Buy	07/06/18	J		
123. Centennial Resource Development Inc		None			Sold	08/03/18	J	A	
124. Centennial Resource Development Inc		None			Sold	08/03/18	J	A	
125. Centennial Resource Development Inc		None			Sold	08/03/18	J	B	
126. Centennial Resource Development Inc		None			Sold	08/03/18	J	A	
127. Centennial Resource Development Inc		None			Sold	12/21/18	J	A	
128. Centennial Resource Development Inc		None			Sold	12/21/18	J	A	
129. Centennial Resource Development Inc		None			Sold	12/21/18	J	A	
130. Centennial Resource Development Inc		None			Sold	12/31/18	J	A	
131. Centennial Resource Development Inc		None			Sold	12/31/18	J	A	
132. Centerpoint Energy	A	Dividend			Sold	05/03/18	J	C	
133. Centerpoint Energy		None			Sold	05/03/18	J	B	
134. Centerpoint Energy		None			Sold	05/03/18	J	A	
135. Central Valley Community Banco		None	K	T					
136. Charles Schwab Bank Deposit Ac	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Charles Schwab Bank Deposit Account	A	Int./Div.	J	T					
138. Charles Schwab Bank Deposit Account	A	Int./Div.	J	T					
139. Charles Schwab Bank Deposit Account	A	Int./Div.	J	T					
140. Charles Schwab Bank Deposit Account	A	Int./Div.	K	T					
141. Checkpoint Software		None	J	T					
142. Chevron Corp	A	Dividend			Sold	04/04/18	J	A	
143. Chevron Corp	A	Dividend	J	T	Buy	07/06/18	J		
144. Chevron Corp	B	Dividend	K	T					
145. Chevron Corp	B	Dividend	L	T					
146. Chubb Corp	A	Dividend	J	T					
147. Cisco Systems	A	Dividend	K	T	Buy	02/07/18	J		
148. Cisco Systems	A	Dividend	K	T	Buy (add'l)	07/06/18	K		
149. Cisco Systems		None			Buy (add'l)	10/25/18	J		
150. Cisco Systems		None			Buy (add'l)	10/25/18	J		
151. Cisco Systems	B	Dividend	K	T					
152. Cisco Systems	A	Dividend	L	T					
153. Citigroup	A	Dividend	J	T	Buy	07/06/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Citigroup	A	Dividend	J	T	Buy	10/31/18	J		
155. Citigroup	A	Dividend	K	T					
156. CMS Energy	A	Dividend	K	T					
157. Columbia Banking	B	Dividend	L	T					
158. Comcast CL A	A	Dividend	J	T	Sold (part)	06/11/18	J	A	
159. Comcast CL A		None			Sold (part)	06/11/18	J	A	
160. Comcast CL A		None			Sold (part)	06/11/18	J	A	
161. Consumer Discretionary S&P		None			Buy	10/30/18	K		
162. Consumer Discretionary S&P		None			Sold	12/03/18	K	A	
163. Consumer Staples SPDR	A	Dividend	J	T	Buy	11/23/18	J		
164. Consumer Staples SPDR	A	Dividend	J	T	Buy	11/23/18	J		
165. CVS Health	A	Dividend			Buy	01/09/18	J		
166. CVS Health		None			Sold	05/09/18	J	A	
167. Danone ADR		None	J	T	Buy	10/30/18	J		
168. Danske Bank A/S ADR	A	Dividend			Sold	08/16/18	J	A	
169. Devon Energy	A	Dividend			Sold	04/04/18	J	A	
170. Devon Energy	A	Distribution			Sold	04/05/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Diageo	A	Dividend	J	T					
172. Discover Financial Services	A	Dividend			Sold	04/26/18	J	C	
173. Discover Financial Services		None			Sold	04/26/18	J	A	
174. Discover Financial Services	A	Dividend			Sold	12/10/18	K	C	
175. Discover Financial Services		None			Sold	12/10/18	J	C	
176. DowDuPont Inc	A	Dividend	K	T					
177. East West Bancorp Inc	A	Dividend			Buy	07/06/18	J		
178. East West Bancorp Inc		None			Sold	08/06/18	J	A	
179. East West Bancorp	A	Dividend			Sold	08/06/18	J	B	
180. East West Bancorp Inc		None			Sold	08/06/18	J	A	
181. East West Bancorp Inc		None			Sold	08/07/18	J	A	
182. East West Bancorp		None			Sold	08/07/18	J	C	
183. Eaton Corp PLC	A	Dividend	K	T					
184. Edison International	A	Dividend	J	T	Buy	01/11/18	K		
185. Edison International		None			Buy (add'l)	05/03/18	J		
186. Edison International		None			Buy (add'l)	07/06/18	J		
187. Edison International		None			Sold (part)	11/14/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Edison International		None			Sold (part)	11/14/18	J	A	
189. Edison International		None			Sold (part)	11/15/18	J	A	
190. Edison International		None			Sold (part)	11/15/18	J	A	
191. Edison International		None			Sold (part)	11/15/18	J	A	
192. Electronic Arts		None	J	T	Buy	12/24/18	J		
193. Electronic Arts	A	Dividend	J	T	Buy (add'l)	12/24/18	J		
194. Electronic Arts		None	J	T	Buy (add'l)	12/31/18	J		
195. Eli Lilly	C	Dividend	J	T	Buy (add'l)	01/19/18	J		
196. Eli Lilly		None			Buy (add'l)	05/15/18	K		
197. Eli Lilly		None			Buy (add'l)	07/06/18	J		
198. Eli Lilly		None			Buy (add'l)	07/24/18	J		
199. Eli Lilly		None			Buy (add'l)	07/24/18	J		
200. Eli Lilly		None			Sold (part)	10/11/18	J	A	
201. Eli Lilly		None			Sold (part)	10/11/18	J	A	
202. Emerson Electric	A	Dividend	J	T	Buy	02/14/18	J		
203. Emerson Electric	A	Dividend	J	T	Buy	07/06/18	J		
204. Emerson Electric		None			Buy (add'l)	09/19/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Emerson Electric		None			Buy (add'l)	09/19/18	J		
206. Energy Select Sector SPDR		None			Sold	11/19/18	K	A	
207. Energy	A	Dividend	K	T	Buy	05/03/18	K		
208. Energy	A	Dividend	J	T	Buy	07/06/18	J		
209. Energy	A	Dividend	J	T					
210. Energy	A	Dividend	K	T					
211. Exelon Corp		None	J	T	Buy	11/14/18	J		
212. Exelon Corp	A	Dividend	J	T	Buy	11/14/18	J		
213. Exelon Corp		None	J	T	Buy	11/15/18	J		
214. Exelon Corp		None	K	T	Buy	11/15/18	K		
215. Extra Space Storage	A	Dividend			Buy	07/06/18	J		
216. Extra Space Storage		None			Sold	09/26/18	J	A	
217. Facebook	A	Dividend	J	T	Buy (add'l)	07/06/18	J		
218. Facebook		None			Sold (part)	08/01/18	J	A	
219. Facebook		None			Sold (part)	08/01/18	J	B	
220. FedEx Corp	A	Dividend	L	T					
221. Fifth Third Bancorp	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Fortis Inc		None	J	T	Buy	11/20/18	J		
223. Fresenius Medical ADR	A	Dividend			Sold	10/30/18	J	A	
224. Gaming & Leisure Pptys	A	Dividend	J	T	Buy	09/26/18	J		
225. Gaming & Leisure Pptys	A	Dividend	J	T	Buy	09/26/18	J		
226. Garrett Motion Inc		None			Sold	10/01/18	J		
227. Garrett Motion Inc		None			Sold	10/30/18	J	A	
228. Garrett Motion Inc		None			Sold	10/30/18	J	A	
229. Garrett Motion Inc		None			Sold	10/30/18	J	A	
230. Garrett Motion Inc		None			Sold	10/30/18	J	A	
231. Garrett Motion		None			Sold	10/30/18	J	A	
232. Garrett Motion Inc		None			Sold	10/30/18	J	A	
233. General Dynamics	A	Dividend	J	T	Buy	07/06/18	J		
234. General Dynamics	A	Dividend	J	T					
235. General Dynamics	A	Dividend	J	T					
236. Gilead Sciences	B	Dividend	J	T	Buy	06/27/18	J		
237. Gilead Sciences		None			Buy (add'l)	07/06/18	J		
238. Gilead Sciences		None			Buy (add'l)	09/18/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Gilead Sciences		None			Buy (add'l)	10/03/18	J		
240. Gilead Sciences		None			Buy (add'l)	10/03/18	J		
241. Gilead Sciences	A	Dividend			Buy (add'l)	10/03/18	K		
242. Gilead Sciences		None			Sold (part)	12/27/18	J	A	
243. Gilead Sciences		None			Sold (part)	12/27/18	K	A	
244. GlaxoSmithKline plc ADR		None			Buy	10/12/18	J		
245. GlaxoSmithKline plc ADR		None	J	T					
246. Goldman Sachs Group	A	Dividend			Buy	02/09/18	J		
247. Goldman Sachs Group	A	Dividend			Buy	07/06/18	J		
248. Goldman Sachs Group		None			Sold	09/10/18	J	A	
249. Goldman Sachs Group		None			Sold	09/10/18	J	A	
250. Goldman Sachs Group		None			Sold	11/13/18	J	A	
251. Goldman Sachs Group		None			Sold	11/13/18	J	A	
252. Goldman Sachs Group		None			Sold	11/13/18	J	A	
253. Halliburton Company	A	Dividend			Buy	04/04/18	J		
254. Halliburton Company	A	Dividend			Buy	04/05/18	J		
255. Halliburton Company	A	Dividend			Buy	07/06/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. Halliburton Company		None			Sold	10/31/18	J	A	
257. Halliburton Company		None			Buy	12/03/18	J		
258. Halliburton Company		None			Sold	12/21/18	J	A	
259. Halliburton Company		None			Sold	12/21/18	J	A	
260. Halliburton Company		None			Sold	12/31/18	J	A	
261. Harding Loevner Emerging Markets Advisor	A	Dividend	J	T	Buy	06/20/18	J		
262. Harding Loevner Emerging Markets Advisor	A	Dividend	J	T	Buy	09/17/18	J		
263. Harding Loevner Emerging Markets Advisor	A	Dividend	J	T	Buy	09/17/18	J		
264. Harding Loevner Emerging Markets Advisor	A	Dividend	J	T	Buy	09/21/18	J		
265. Harding Loevner Inst Emerging		None	K	T					
266. Harding Loevner Inst Emerging	A	Dividend	K	T	Buy	07/06/18	K		
267. Harding Loevner Inst Emerging	A	Dividend	K	T	Buy (add'l)	07/11/18	J		
268. Harding Loevner Inst Emerging	A	Dividend	K	T					
269. Hasbro		None			Sold	01/10/18	J	A	
270. Hasbro		None			Sold	01/17/18	J	A	
271. Home Depot	A	Dividend	J	T	Buy	07/16/18	J		
272. Home Depot	A	Dividend	J	T	Buy	07/06/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. Home Depot	A	Dividend	K	T	Buy	10/30/18	J		
274. Home Depot	A	Dividend	K	T					
275. Honeywell International Inc	A	Dividend	J	T	Buy	07/06/18	J		
276. Honeywell International Inc	A	Dividend	K	T	Buy	10/30/18	J		
277. Honeywell International Inc	A	Dividend	K	T					
278. Honeywell International Inc	A	Dividend	K	T					
279. Hoya Corp SA		None	J	T	Buy	10/01/18	J		
280. HSBC Holdings PLC ADR		None			Buy	06/07/18	J		
281. HSBC Holdings PLC ADR	A	Dividend			Sold	12/27/18	J	A	
282. HSBC Holdings PLC ADR		None			Sold	12/27/18	J	A	
283. Humana	A	Dividend	L	T					
284. Huntington Bancshares	A	Dividend	K	T					
285. Illinois Tool Works	A	Dividend	J	T	Buy	04/18/18	J		
286. Illinois Tool Works		None			Buy (add'l)	06/04/18	J		
287. Illinois Tool Works	A	Dividend	J	T	Buy	07/06/18	J		
288. Ingersoll Rand	A	Dividend			Sold	02/14/18	J	B	
289. Ingersoll Rand		None			Sold	03/26/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Ingersoll Rand		None			Sold	03/26/18	J	A	
291. Intel	A	Dividend			Sold	12/10/18	J	C	
292. Intel		None			Sold	12/10/18	J	C	
293. Internationa Business Machine		None	J	T					
294. iShares Core Total U.S. Bond Mkt ETF	B	Dividend	M	T	Buy	06/20/18	K		
295. iShares Core Total U.S. Bond Mkt ETF		None			Buy (add'l)	07/06/18	M		
296. iShares MSCI Canada ETF		None			Sold	01/04/18	J	A	
297. iShares MSCI EAFE Small Cap Index ETF	A	Dividend	K	T	Buy	02/07/18	J		
298. iShares MSCI EAFE Small Cap Index ETF		None			Buy (add'l)	07/06/18	J		
299. iShares MSCI EAFE Small Cap Index ETF		None			Sold (part)	12/20/18	J	B	
300. iShares MSCI EMU ETF	A	Dividend	K	T					
301. iShares MSCI Japan ETR	A	Dividend	J	T	Buy	02/12/18	J		
302. iShares MSCI Pacific ex Japan	A	Dividend	K	T					
303. iShares MSCI United Kingdon Index	B	Dividend	K	T					
304. iShares Nasdaq Biotech Index		None	J	T	Buy	12/27/18	J		
305. iShares Nasdaq Biotech Index		None	K	T	Buy	12/27/18	K		
306. iShares US Consumer Services		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. iShares US Consumer Services		None			Buy	12/27/18	J		
308. Itau Unibanco Holding SA	A	Dividend			Sold	06/07/18	J	A	
309. Johnson & Johnson		None			Sold	05/15/18	K	B	
310. Johnson & Johnson		None			Sold	07/17/18	J		
311. Johnson & Johnson	A	Dividend	J	T	Buy	07/17/18	J		
312. Johnson & Johnson		None			Buy (add'l)	10/19/18	J		
313. Johnson & Johnson		None			Buy (add'l)	10/19/18	J		
314. Johnson & Johnson	A	Dividend	J	T	Buy	11/15/18	J		
315. JPMorgan Chase & Company	A	Dividend	K	T	Buy (add'l)	02/09/18	K		
316. JPMorgan Chase & Company		None			Buy (add'l)	04/26/18	J		
317. JPMorgan Chase & Company	A	Dividend	K	T	Buy	07/06/18	J		
318. JPMorgan Chase & Company		None			Buy (add'l)	10/18/18	J		
319. JPMorgan Chase & Company		None			Buy (add'l)	10/18/18	J		
320. JPMorgan Chase & Company	B	Dividend	K	T					
321. JPMorgan Chase & Company	A	Dividend	K	T					
322. JPMorgan US Small Company Fund Inst	C	Dividend	M	T	Sold (part)	01/31/18	J	A	
323. JPMorgan US Small Company Fund Inst		None			Sold (part)	01/31/18	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. JPMorgan US Small Company Fund Inst	C	Dividend			Sold (part)	01/31/18	J	A	
325. JPMorgan US Small Company Fund Inst	C	Dividend			Buy (add'l)	02/07/18	J		
326. JPMorgan US Small Company Fund Inst	B	Dividend			Buy (add'l)	07/06/18	K		
327. JPMorgan US Small Company Fund Inst		None			Buy (add'l)	10/09/18	K		
328. JPMorgan US Small Company Fund Inst	D	Dividend			Sold (part)	12/10/18	J		
329. JPMorgan US Small Company Fund Inst		None			Sold (part)	12/20/18	J		
330. JPMorgan US Small Company Fund Inst		None			Buy (add'l)	12/21/18	J		
331. JPMorgan US Small Company Fund Inst		None			Buy	12/21/18	J		
332. Keycorp	A	Dividend	J	T	Buy	08/06/18	J		
333. Keycorp	A	Dividend	J	T	Buy	08/06/18	J		
334. Keycorp		None			Buy (add'l)	08/07/18	J		
335. Keycorp		None			Buy (add'l)	08/07/18	J		
336. Kimberly Clark	A	Dividend	K	T					
337. KT Corp SP ADR	A	Dividend			Sold	01/29/18	J	A	
338. KT Corp SP ADR		None			Sold	01/29/18	J	A	
339. Las Vegas Sands	A	Dividend			Buy	01/29/18	J		
340. Las Vegas Sands	A	Dividend			Buy	07/06/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. Las Vegas Sands		None			Sold	10/01/18	J	A	
342. Las Vegas Sands		None			Sold	10/01/18	J	A	
343. LyondellBasell Industries NV	A	Dividend	J	T	Buy	07/06/18	J		
344. LyondellBasell Industries NV	A	Dividend	J	T					
345. LyondellBasell Industries NV	A	Dividend	K	T					
346. Marathon petroleum	A	Dividend	K	T					
347. Marriott International CL A	A	Dividend	J	T	Buy	07/20/18	J		
348. Marriott International CL A	A	Dividend	J	T	Buy (add'l)	07/20/18	J		
349. Marriott International CL A	A	Dividend	J	T	Buy	07/20/18	J		
350. Marriott International CL A		None			Buy (add'l)	09/12/18	J		
351. Marriott International CL A		None	J		Buy	09/12/18	K		
352. Marriott International CL A		None			Sold	10/30/18	J	A	
353. Marriott International CL A		None			Sold	10/30/18	J	A	
354. Marriott International CL A		None	J		Buy	12/03/18	K		
355. MASCO	A	Dividend			Buy	07/06/18	J		
356. MASCO		None			Sold	10/09/18	J	A	
357. MASCO	A	Dividend			Sold	10/09/18	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. Mastercard Cl A	A	Dividend	K	T					
359. Matthews Pacific Tiger Fudn Inst Class	A	Dividend	K	T	Buy	02/01/18	K		
360. Matthews Pacific Tiger Fudn Inst Class	A	Dividend	J	T	Buy	02/07/18	J		
361. Matthews Pacific Tiger Fudn Inst Class	A	Dividend	J	T	Buy	07/06/18	J		
362. Matthews Pacific Tiger Fudn Inst Class		None			Buy (add'l)	07/11/18	J		
363. Matthews Pacific Tiger Fudn Inst Class	A	Dividend	J	T	Buy (add'l)	06/20/18	J		
364. Matthews Pacific Tiger Fudn Inst Class	A	Dividend	J	T	Buy	09/17/18	J		
365. Matthews Pacific Tiger Fudn Inst Class	A	Dividend	J	T	Buy	09/17/18	J		
366. Matthews Pacific Tiger Fudn Inst Class	A	Dividend	J	T	Buy	09/21/18	J		
367. Maxim Integrated Products	A	Dividend			Sold	06/07/18	J	B	
368. McCormick & Co		None	K	T	Buy	11/19/18	K		
369. McDonalds	A	Dividend	J	T					
370. Merck	A	Dividend	K	T	Sold (part)	01/19/18	J	A	
371. Merck		None			Sold	01/19/18	J	A	
372. Merck	A	Dividend	J	T	Buy	07/06/18	J		
373. Merck	A	Dividend	K	T					
374. MetLife	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. MetLife	A	Dividend	J	T					
376. Microsoft	A	Dividend	K	T	Sold (part)	02/07/18	J	A	
377. Microsoft	A	Dividend	K	T	Buy	07/06/18	K		
378. Microsoft	B	Dividend	L	T					
379. Microsoft	A	Dividend	K	T					
380. Mohawk Industries		None			Buy	07/06/18	J		
381. Mohawk Industries		None			Sold	07/20/18	J	A	
382. Mohawk Industries		None			Sold	07/20/18	J	A	
383. Mohawk Industries		None			Sold	07/20/18	J	A	
384. Mohawk Industries		None			Sold	07/20/18	J	A	
385. Mondelez International	A	Dividend	J	T	Buy	07/06/18	J		
386. Mondelez International		None			Buy (add'l)	08/30/18	J		
387. Mondelez International	A	Dividend	K	T	Buy	08/30/18	J		
388. Mondelez International	A	Dividend	K	T	Buy	08/31/18	J		
389. Mondelez International		None			Buy	12/10/18	K		
390. Morrison Street Debt Opportunities II		None			Buy	09/20/18	K		
391. Morrison Street Debt Opportunities II		None	L	T	Buy	12/31/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Boom, Clair H.

Date of Report

04/19/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. MS&AD Insurance Group ADR		None			Sold	02/01/18	J	A	
393. MS&AD Insurance Group ADR		None			Sold	02/01/18	J	A	+
394. Newell Brands	A	Dividend			Sold	10/19/18	J	A	
395. Newell Brands		None			Sold	10/19/18	J	A	
396. Nike	A	Dividend	K	T					
397. Nippon Telegraph & Telephone ADR	A	Dividend			Sold	11/20/18	J	C	
398. Novo-Nordisk A/S ADR	A	Dividend	J	T	Buy	08/16/18	J		
399. Nutrien Ltd	A	Dividend	J	T	Sold (part)	01/02/18	J	A	
400. Nutrien Ltd		None			Buy	10/10/18	J		
401. nVent Electric PLC		None			Sold	05/03/18	J	A	
402. nVent Electric PLC		None			Sold	05/03/18	J	A	
403. NXP Semiconductors NV	A	Dividend			Buy	08/03/18	J		
404. NXP Semiconductors NV		None			Buy	09/03/18	J		
405. NXP Semiconductors NV		None			Sold	12/27/18	J	A	
406. NXP Semiconductors NV		None			Sold	12/27/18	J	A	
407. Occidental Petroleum	A	Dividend	J	T	Buy	07/06/18	J		
408. Occidental Petroleum	A	Dividend	J	T	Buy	10/30/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. Occidental Petroleum	A	Dividend	K	T	Buy (add'l)	11/19/18	J		
410. Occidental Petroleum	B	Dividend	K	T					
411. Omnicom Group	A	Dividend			Buy	01/29/18	J		
412. Omnicom Group	A	Dividend			Buy	06/11/18	K		
413. Omnicom Group		None			Buy	07/06/18	J		
414. Omnicom Group		None			Sold	09/12/18	J	A	
415. Omnicom Group		None			Sold	09/12/18	J	A	
416. Omnicom Group		None			Sold	09/12/18	J	A	
417. Omnicom Group		None			Sold	09/12/18	K	A	
418. Orix ADR	A	Dividend			Buy	03/23/18	J		
419. Orix ADR		None			Sold	08/03/18	J	A	
420. Orix ADR		None			Sold	08/03/18	J	A	
421. Packaging Corporation of America	A	Dividend	J	T	Buy	05/09/18	J		
422. Packaging Corporation of America	A	Dividend	J	T	Buy	07/06/18	J		
423. Pentair Inc	A	Dividend			Buy	05/03/18	J		
424. Pentair Inc	A	Dividend			Buy	05/03/18	J		
425. Pentair Inc	A	Dividend			Buy	07/06/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Boom, Clair H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. Pentair Inc		None			Sold	09/19/18	J	A	
427. Pentair Inc		None			Sold	09/19/18	J	A	
428. Pentair Inc		None			Sold	09/19/18	J	A	
429. Pentair Inc		None			Sold	09/20/18	J	A	
430. Pentair Inc		None			Sold	09/20/18	J	A	
431. Pepsico	A	Dividend			Sold	01/09/18	J	B	
432. Pepsico		None			Sold	01/09/18	J	A	
433. Pepsico		None			Sold	01/09/18	J	A	
434. Pepsico	A	Dividend	K	T	Buy	01/09/18	J		
435. Philip Morris International	A	Dividend			Sold	01/09/18	J	A	
436. Philip Morris International	A	Dividend			Buy	07/06/18	J		
437. Philip Morris International	A	Dividend			Sold	08/30/18	J	A	
438. Philip Morris International	A	Dividend			Sold	08/30/18	J	A	
439. Philip Morris International		None			Sold	08/30/18	J	A	
440. Philip Morris International	A	Dividend			Sold	08/31/18	J	A	
441. Phillips 66	A	Dividend	J	T					
442. Precision Drilling Corporation		None	J	T	Buy	01/04/18	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. Principal Financial Group		None			Sold	02/09/18	J	B	
444. Principal Financial Group		None			Sold	02/09/18	J	A	
445. Procter and Gamble		None	J	T	Buy	12/24/18	J		
446. Procter and Gamble	A	Dividend	J	T	Buy	12/24/18	J		
447. Procter and Gamble		None	J	T	Buy	12/31/18	J		
448. Progressive Corp		None	J	T	Buy (add'l)	11/13/18	J		
449. Progressive Corp	A	Dividend	J	T	Buy	11/13/18	J		
450. Progressive Corp		None	J		Buy (add'l)	12/12/18	J		
451. Progressive Corp		None		T	Buy (add'l)	12/12/18	J		
452. Raytheon	A	Dividend	J	T	Buy	07/06/18	J		
453. Raytheon		None	J	T	Buy (add'l)	10/09/18	J		
454. Raytheon	A	Dividend	J	T	Buy	10/09/18	J		
455. Resideo Technologies Inc		None			Sold	10/29/18	J	A	
456. Resideo Technologies Inc		None			Sold	10/29/18	J	A	
457. Resideo Technologies Inc		None			Sold	10/29/18	J	A	
458. Resideo Technologies Inc		None			Sold	10/29/18	J	A	
459. Resideo Technologies Inc		None			Sold	10/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. Resideo Technologies Inc		None			Sold	12/27/18	J	A	
461. Resideo Technologies Inc		None			Sold	12/27/18	J	A	
462. Resideo Technologies Inc		None			Sold	12/27/18	J	A	
463. Resideo Technologies Inc		None			Sold	12/27/18	J	A	
464. Resideo Technologies Inc		None			Sold	12/27/18	J	A	
465. Roche Holdings Ltd Spon ADR	A	Dividend	J	T					
466. Safran SA	A	Dividend	J	T					
467. SAP AG Sponsored ADR	A	Dividend	J	T					
468. Sberbank	A	Dividend			Sold	08/24/18	J	A	
469. Schlumberger		None	J	T	Buy	12/27/18	J		
470. Schlumberger	A	Dividend	J	T	Buy	12/21/18	J		
471. Schlumberger		None	J	T	Buy	12/31/18	J		
472. Schneider Electric SA Unsp	A	Dividend	J	T	Sold (part)	10/01/18	J	A	
473. Schwab Govt Money Fund	A	Int./Div.	J	T					
474. Schwab Govt Money Fund	A	Int./Div.	J	T					
475. Schwab Govt Money Fund	A	Int./Div.	K	T					
476. Schwab International Index Fund	C	Dividend	M	T	Buy (add'l)	02/01/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. Schwab International Index Fund	B	Dividend	L	T	Buy	02/07/18	J		
478. Schwab International Index Fund		None			Buy (add'l)	04/30/18	J		
479. Schwab International Index Fund	B	Dividend	L	T	Buy	06/20/18	J		
480. Schwab International Index Fund		None	M	T	Buy (add'l)	07/06/18	L		
481. Schwab International Index Fund		None	M	T	Buy (add'l)	07/11/18	K		
482. Schwab International Index Fund	A	Dividend	J	T	Buy	09/17/18	J		
483. Schwab International Index Fund	A	Dividend	J	T	Buy	09/17/18	J		
484. Schwab International Index Fund	A	Dividend	J	T	Buy	09/21/18	J		
485. Schwab International Index Fund		None			Buy (add'l)	10/30/18	J		
486. Schwab International Index Fund	A	Dividend	J	T					
487. Schwab S&P 500 Index Fund	D	Dividend		T	Buy	02/07/18	K		
488. Schwab S&P 500 Index Fund		None		T	Buy (add'l)	03/28/18	L		
489. Schwab S&P 500 Index Fund		None		T	Buy (add'l)	04/30/18	K		
490. Schwab S&P 500 Index Fund		None		T	Buy	06/20/18	L		
491. Schwab S&P 500 Index Fund		None			Sold	07/06/18	L	A	
492. Schwab S&P 500 Index Fund	A	Dividend	J	T	Buy	09/17/18	J		
493. Schwab S&P 500 Index Fund	A	Dividend	J	T	Buy	09/17/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494. Schwab S&P 500 Index Fund		None			Buy (add'l)	10/09/18	K		
495. Schwab S&P 500 Index Fund	A	Dividend	J	T	Buy	12/21/18	J		
496. Schwab S&P 500 Index Fund		None		T	Buy (add'l)	12/21/18	J		
497. Schwab S&P 500 Index Fund		None			Buy (add'l)	12/21/18	J		
498. Schwab S&P 500 Index Fund	A	Dividend	K	T	Buy	12/21/18	J		
499. Schwab S&P 500 Index Fund		None			Buy (add'l)	12/21/18	J		
500. Schwab S&P 500 Index Fund		None			Buy (add'l)	12/21/18	J		
501. Schwab S&P 500 Index Fund		None			Buy (add'l)	12/21/18	J		
502. Schwab S&P 500 Index Fund		None		T	Buy	12/21/18	J		
503. Schwab S&P 500 Index Fund		None			Buy	12/21/18	J		
504. Schwab S&P 500 Index Fund		None		T	Buy (add'l)	12/21/18	J		
505. Schwab S&P 500 Index Fund		None			Buy (add'l)	12/28/18	J		
506. Schwab S&P 500 Index Fund		None			Buy (add'l)	12/28/18	J		
507. Schwab S&P 500 Index Fund		None			Buy (add'l)	12/28/18	J		
508. Schwab S&P 500 Index Fund	B	Dividend	L	T	Buy	12/28/18	J		
509. Schwab Small Cap Index Select	A	Dividend	J	T	Buy	06/20/18	J		
510. Schwab Small Cap Index Select	A	Dividend	J	T	Buy	09/17/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. Schwab Small Cap Index Select	A	Dividend	J	T	Buy	09/17/18	J		
512. Schwab Small Cap Index Select	A	Dividend	J	T	Buy	09/21/18	J		
513. Siemens AG ADR	A	Dividend			Sold	03/23/18	J	A	
514. Siemens AG ADR		None			Sold	03/23/18	J	A	
515. Six Flags Entertainment Corp	A	Dividend	K	T					
516. SMC Corp	A	Dividend			Buy	03/23/18	J		
517. SMC Corp		None			Sold	07/19/18	J	A	
518. Societe Generale ADR	A	Dividend			Sold	12/27/18	J	A	
519. Sony Corp	A	Dividend	K	T					
520. Stryker	A	Dividend	K	T					
521. Sumitomo Mitsui Financial Group		None	J	T					
522. Suncor Energy	A	Dividend	J	T					
523. Suntrust Banks	A	Dividend	J	T	Buy	07/06/18	J		
524. Suntrust Banks		None			Sold (part)	10/18/18	J	A	
525. Suntrust Banks		None			Sold (part)	10/18/18	J	B	
526. Superior Energy Services Inc		None			Sold	03/26/18	J	A	
527. Superior Energy Services Inc		None			Sold	03/26/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. Superior Energy Services Inc		None			Sold	03/26/18	J	A	
529. Superior Energy Services Inc		None			Sold	03/26/18	J	A	
530. Symantec Corp	A	Dividend			Sold	02/26/18	J	A	
531. Technology Select Sect SPDR	A	Dividend	K	T					
532. Texas Instruments	A	Dividend	K	T	Sold (part)	12/10/18	K	D	
533. Thermo Fisher Scientific	A	Dividend	K	T	Buy	07/06/18	J		
534. Thermo Fisher Scientific	A	Dividend	K	T					
535. Thermo Fisher Scientific	A	Dividend	K	T					
536. Time Warner Companies		None			Buy	06/11/18	J		
537. Time Warner Companies	A	Dividend			Sold	06/15/18	J	A	
538. Time Warner Companies		None			Sold	06/15/18	J	D	
539. Time Warner Companies		None			Sold	06/15/18	J	D	
540. TJX Companies	A	Dividend	J	T	Sold (part)	01/29/18	J	B	
541. TJX Companies	A	Dividend			Buy (add'l)	09/14/18	J		
542. TJX Companies		None			Buy (add'l)	09/14/18	J		
543. TJX Companies	A	Dividend			Buy (add'l)	09/14/18	J		
544. TJX Companies		None			Buy (add'l)	10/11/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. TJX Companies		None			Buy (add'l)	10/11/18	J		
546. TJX Companies		None			Buy (add'l)	10/19/18	J		
547. TJX Companies		None			Buy (add'l)	12/10/18	J		
548. TJX Companies		None			Sold (part)	12/27/18	J	A	
549. TJX Companies		None			Sold (part)	12/27/18	J	A	
550. Toronto Dominion Bank	A	Dividend	J	T	Buy	08/24/18	J		
551. Transocean Ltd		None			Buy	08/03/18	J		
552. Transocean Ltd		None			Buy	08/03/18	J		
553. Transocean Ltd		None			Buy	10/31/18	J		
554. Transocean Ltd		None			Sold (part)	12/03/18	J	A	
555. Transocean Ltd		None			Sold (part)	12/21/18	J	A	
556. Transocean Ltd		None			Sold	12/21/18	J	A	
557. Travelers Companies	A	Dividend	K	T					
558. Tyson Foods Inc CL A	A	Dividend	K	T					
559. UBS AG		None			Buy	08/03/18	J		
560. UBS AG		None			Sold	12/27/18	J	A	
561. Union Pacific	A	Dividend	J	T	Buy	09/19/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. Union Pacific	A	Dividend	J	T	Buy	09/19/18	J		
563. United Parcel Service CL B	A	Dividend			Buy	01/11/18	J		
564. United Parcel Service CL B	A	Dividend			Sold (part)	06/04/18	J	A	
565. United Parcel Service CL B	A	Dividend			Buy	07/06/18	J		
566. United Parcel Service CL B		None			Sold (part)	09/18/18	J	A	
567. United Parcel Service CL B		None			Sold (part)	09/18/18	J	A	
568. United Parcel Service CL B		None			Sold (part)	09/19/18	J	A	
569. United Parcel Service CL B		None			Sold (part)	09/19/18	J	A	
570. United Parcel Service CL B		None			Sold	09/19/18	J	A	
571. United Technologies	A	Dividend	K	T					
572. UnitedHealth Group	A	Dividend	J	T	Buy	07/06/18	J		
573. UnitedHealth Group	A	Dividend	K	T					
574. UnitedHealth Group	A	Dividend	L	T					
575. Vanguard FTSE Developed Market ETF	A	Dividend	K	T					
576. Vanguard FTSE Emerging Market ETF	B	Dividend	K	T					
577. Vanguard Intermediate-Term Tax-Exempt Fund	A	Dividend	J	T	Buy	09/17/18	J		
578. Vanguard Intermediate-Term Tax-Exempt Fund	A	Dividend	J	T	Buy	09/17/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. Vanguard Intermediate-Term Tax-Exempt Fund	A	Dividend	J	T	Buy	09/21/18	J		
580. Verizon Communications	C	Dividend	L	T	Buy	03/26/18	J		
581. Verizon Communications		None			Buy	03/26/18	J		
582. Verizon Communications		None			Buy (add'l)	07/06/18	J		
583. Verizon Communications		None			Sold (part)	11/30/18	J	A	
584. Verizon Communications		None			Sold (part)	11/30/18	J	B	
585. Verizon Communications		None			Buy	03/26/18	J		
586. Versus Capital Multi-Manager RE Fund Inst	B	Dividend	K	T	Buy	04/30/18	J		
587. Versus Capital Multi-Manager RE Fund Inst	B	Dividend	K	T	Buy	06/20/18	J		
588. Versus Capital Multi-Manager RE Fund Inst		None			Buy (add'l)	07/06/18	K		
589. Versus Capital Multi-Manager RE Fund Inst		None			Buy (add'l)	10/19/18	J		
590. Versus Capital Real Assets Fund LLC	A	Dividend	K	T	Buy	07/06/18	K		
591. Visa	A	Dividend	K	T	Buy	02/26/18	J		
592. Visa		None			Buy	05/07/18	J		
593. Visa	A	Dividend	K	T	Buy	07/06/18	K		
594. Visa	A	Dividend	L	T					
595. Wal-Mart DE Mexica S.A.B de C.V. ADR	A	Dividend	J	T	Sold (part)	08/02/18	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. Waste Management	A	Dividend	J	T	Sold (part)	04/18/18	J	B	
597. Wells Fargo	A	Dividend	J	T	Sold (part)	02/09/18	K	A	
598. Wisdom Tree DEFA ETF	A	Dividend	K	T	Buy	12/27/18	K		
599. Wisdomtree Japan Hedged	A	Dividend			Sold	02/12/18	J	B	
600. Xilinx	A	Dividend	J	T	Buy	07/06/18	J		
601. Xilinx	A	Dividend	J	T					
602. Yum China Holdings Inc	A	Dividend			Buy	01/10/18	J		
603. Yum China Holdings Inc		None			Buy	01/17/18	J		
604. Yum China Holdings Inc		None			Buy	07/06/18	J		
605. Yum China Holdings Inc		None			Sold	09/14/18	J	A	
606. Yum China Holdings Inc		None			Sold	09/04/18	J	A	
607. Yum China Holdings Inc		None			Sold	09/14/18	J	A	
608. Zoetis	A	Dividend	J	T	Buy	11/07/18	J		
609. Zoetis	A	Dividend	J	T	Buy	11/07/18	J		
610. Placer Athens LLP 1,2 (3%)	A	Distribution	N	W					
611. Cali Alii Prop? Maui, HI Condo	A	Rent	M	W					
612. NPA LLC (25%)	A	Distribution	N	W					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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613. Yolo Partners, LLC (14.25%)	A	Distribution	N	W					
614. SP RRTALLLC Jeffersonville, IL (14.25)	C	Rent	O	W					
615. SP CJE, LLC, Cookville, Tenn (12.50%)	D	Rent	L	W					
616. SP LSX, LLC San Antonio, TX (5.14%)	D	Rent	L	W					
617. SP UPW, LLC (16.43%) Pasco, WA	E	Rent	M	W					
618. SP CLD, LLC (12.6%) Collierville, TN	D	Rent	M	W					
619. TAFL, LLC (13.34%) Lexington, KY	D	Rent	L	W					
620. SP XSO, LLC (8.84%) Sioux Falls, SD	D	Rent	M	W					
621. SP SFC, LLC (6.93%) Fond Du Lac, WI	D	Rent	M	W					
622. RPG, LLC (4.23%) (CHP/Grass Valley	D	Rent	L	W					
623. DKBB,LLC/Oak Cap 2/Pac West	D	Rent	N	W					
624. Green Hat LLC (25%) inv/fund	C	Distribution	L	W					
625. Oakland, CA Condo (res/brother)	A	Rent	M	W					
626. DKBB Properties, LLC Office	D	Rent	L	W					
627. DKBB Properties II, LLC-Capstone 15%	C	Rent	M	W					
628. OCP III LLC 2.59%	C	Rent	N	W					
629. CHimney Cove, LLC, H.H. S.C 3.3%	A	Rent	L	W					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630. TAFL Office Bld 13.34%	A	Rent	P1	W					
631. SP, SBN.LLC (3.23%) South Bend, IN	D	Rent	L	W					
632. Boom Cor LLC (50%) Retentionin LLC	A	Rent	K	W					
633. DKBB SBN, LLC	B	Rent	J	W					
634. SP MKE, LLC Via Greenhat	B	Rent	K	W					
635. SP WRN,LLC Pontiac, Mich 2.3%	A	Distribution	M	W					
636. BoomCor I, LLC/SCH BoomCor, LLC	A	Distribution	N	W					
637. SR RDU, LLC Raleigh/Durham, NC	A	Distribution	M	W					
638. Central Valley Bancorp 3.563/sh	A	Distribution	L	T					
639. Apostles General Partnership	A	Distribution	M	W					
640. Apostles GP 2	A	Distribution	L	W					
641. Aztec Mustang, LLC	A	Distribution	J	W					
642. Sycamore Farms, LLC (70%) basis \$310,604. Cont below	D	Rent	N	W					
643. Waller Office Bld 15%	A	Distribution	O	W					
644. American Funds (College Fund) Jan 2016	A	Distribution	M	T					
645. Chalk Group LLC/Horseco, Inc May 2017	A	Distribution	K	W					
646. Camden Partners 555, LLC Nov 2017	A	Distribution	J	W					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647. Cash Value Life Insurance, Guardian	A	Distribution	N	T					
648. Cash Value Life Insurance, Lincoln Financial	A	Distribution	J	T					
649. Brett Const. 15%	A	Distribution	M	W					
650. Pauly Concrete, LLC 12.5%	A	Distribution	J	W					
651.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Clair H. Boom**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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