

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hutton, James P.	2. Court or Organization United States District Court, Eastern District of Washington	3. Date of Report 03/17/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge- full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address William O. Douglas Courthouse 25 S. 3rd Street Yakima, WA 98901		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Managing Member	Rocky Prairie, LLC [redacted] limited liability company)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1997-2008	State Employees Pension (PERS 2); pension upon retirement at age 65
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Cobalt Mortgage	Rental house mortgage	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rocky Prairie, LLC- owned real estate		None	N	W					
2. Schwab Municipal Money Fund/ Checking	A	Interest	J	T					
3. Vanguard GNMA Fund Investor Shares	A	Int./Div.			Sold	01/25/13	K	A	
4. Schwab Money Market Account	A	Dividend	J	T					
5. Vanguard Wellesley Income Fund Admiral	E	Dividend	O	T					
6.	D	Distribution							
7. Vanguard Prime Money Market Fund	A	Dividend	K	T	Sold (part)	01/10/13	J		
8.					Sold (part)	01/15/13	K		
9.					Sold (part)	02/08/13	K		
10.					Sold (part)	02/08/13	K		
11.					Sold (part)	11/15/13	J		
12.					Sold (part)	12/13/13	J		
13.					Buy (add'l)	12/17/13	J		
14.					Buy (add'l)	12/17/13	J		
15.					Buy (add'l)	12/17/13	J		
16.					Buy (add'l)	12/17/13	J		
17.					Buy (add'l)	12/17/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Buy (add'l)	12/17/13	J		
19.					Buy (add'l)	12/17/13	J		
20.					Sold (part)	12/19/13	K		
21.					Buy (add'l)	12/26/13	J		
22. Vanguard Equity Income Fund	D	Dividend	M	T					
23.					Buy	01/15/13	K		
24.					Buy (add'l)	02/08/13	K		
25.					Buy (add'l)	12/19/13	K		
26. Vanguard Life Strategy Mod. Grwth. Mutual Fund	C	Distribution	M	T					
27.					Buy (add'l)	02/08/13	K		
28. Chase Checking		None	J	T					
29. Northwestern Mutual Whole Life Insurance Policy		None	L	T					
30. Capital Income Builder Cl. A Mut. Fund	A	Dividend	J	T					
31. Capital Income Builder Cl. C Mut. Fund	A	Dividend	J	T					
32. Merrill Lynch Retirement Annuity		None	J	T					
33. Residential Rental- Yakima, WA	A	Rent	M	R					
34.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 33 of Part VII.

This was a purchase of a residential rental property in 2012. No transactions in 2013. Cost was \$135,000.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James P. Hutton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544