

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dalzell, Stewart	2. Court or Organization U.S. District Court	3. Date of Report 05/2/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 15613 U.S. Courthouse 601 Market Street Philadelphia, PA 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Accounting Warden	Saint Mark's Church, Philadelphia, PA
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Main Line Center of the Arts - Teaching Fees

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Cape Cod Five Cent Savings	A	Interest	J	T					
2. JP Morgan Fed. Fund	A	Interest	J	T					
3. PNC Bank	A	Interest	J	T					
4. Vanguard PA Tax Free Money Fund	A	Dividend	J	T					
5. Prime Fund-Cash Reserve Trust	A	Dividend			Sold	05/20/13	J	A	account closed
6. Pepsico	A	Dividend	K	T					
7. Merck & Co.	B	Dividend	L	T					
8. Cisco System Notes	A	Interest	K	T					
9. General Electric Capital Notes	A	Interest	K	T					
10. Pfizer, Inc.	A	Dividend	K	T					
11. Exxon Mobil	A	Dividend	K	T					
12. Waste Management	A	Dividend	K	T					
13. Chevron	B	Dividend	L	T					
14. Johnson & Johnson	A	Dividend	K	T					
15. General Mills	A	Dividend	K	T					
16. Sand Ridge Energy		None	J	T	Sold (part)	11/18/13	K	B	sale on NYSE
17. Intel	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. AT&T	B	Dividend	L	T	Sold (part)	05/21/13	J	B	sale on NYSE
19. McDonalds Corp.	A	Dividend	K	T					
20. Powershares ETF	B	Dividend	K	T					
21. Dominion Resources	B	Dividend	L	T					
22. Northern Trust Corp.	A	Interest	J	T					
23. Diageo PLC.	A	Dividend	J	T					
24. Chubb Corp.	A	Dividend	J	T					
25. Windstream	B	Dividend	J	T	Sold (part)	05/21/13	J	A	partial sale on NASDAQ
26. Vanguard Total Bond ETF	A	Interest	K	T	Buy (add'l)	06/24/13	J		buy on NASDAQ
27. Conoco Phillips	A	Dividend	K	T	Buy (add'l)	11/18/13	J		buy on NASDAQ
28. Int'l Business Machines	A	Dividend	J	T	Sold (part)	07/13/13	J	C	partial sale on NYSE
29. Freeport-MacMoran	A	Dividend	J	T					
30. Int'l Business Machines		None	J	T	Sold (part)	06/22/13	J	C	partial sale on NYSE
31. Federal Farm Credit Bank Notes	A	Interest	J	T					
32. Southwestern Bell Tel. Bonds	A	Interest	J	T					
33. Fairfax Financial Holdings	A	Dividend	K	T					
34. Henderson Global Equity	A	Dividend			Sold	05/20/13	J	A	sale on NASDAQ

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Plum Creek Timber	A	Dividend	K	T					
36. Hewlett-Packard	A	Dividend			Sold	11/18/13	J	A	sale on NASDAQ
37. Preferred Apt. Communities	B	Dividend	J	T					
38. Petroleo-Brasileiro	A	Dividend			Sold	11/18/13	J	A	sale ADRs on NYSE
39. Hercules Technology Growth	A	Dividend	J	T	Sold (part)	05/20/13	J	A	partial sale on NASDAQ
40. 3M Co.	A	Dividend	J	T					
41. Automatic Data Processing	A	Dividend	J	T					
42. DuPont	A	Dividend	J	T					
43. National Fuel Gas	A	Dividend	J	T					
44. iShares Barclays Agg. Bond Fund	A	Dividend	J	T					
45. Kraft Foods Group, Inc.	A	Dividend	K	T					
46. Emerson Elec. Co.	A	Dividend	K	T					
47. Mondelez Int'l, Inc.	A	Dividend	K	T					
48. DuPont	A	Dividend	K	T					
49. AT&T	A	Dividend	L	T	Sold (part)	05/21/13	J	C	partial sale on NASDAQ
50. Hercules Technology Growth	A	Dividend	J	T	Buy (add'l)	05/21/13	J		buy on NYSE
51. Simon Properties Group	A	Dividend	K	T					

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52. Preferred Apt. Communities	A	Dividend	J	T	Buy (add'l)	05/21/13	J		buy add'l on NASDAQ
53. Vanguard Short-Term Corporate Bonds	A	Int./Div.	K	T					
54. Emerson Elec. Co.	A	Dividend	K	T	Buy	06/13/13	J		buy add'l on NYSE

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

With respect to the disclosures in lines 36 and 39 of Part VII, notwithstanding the auto audit's view regarding line 36, line 39 discloses that the entire spin-off of Phillips 66 was disposed of on May 30, 2012 on the New York Stock Exchange. Thus, there was no "gross value at end of reporting period" to be supplied in C(1) and C(2), and thus the auto audit errs.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Stewart Dalzell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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