

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Randolph, Arthur R.	2. Court or Organization U.S. Court of Appeals/D.C. Cir	3. Date of Report 08/26/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge - senior status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. Court of Appeals/D.C. Cir 333 Constitution Avenue, N.W. Washington, D.C. 20001-2866		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2018

Practice of Law

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Money Market at Smith Barney	A	Interest	J	T					
2. 401(K) - World Bond Fund - American Funds	A	Dividend	K	T					
3. 401(K) - Pimco High Income	A	Dividend	J	T					
4. 401(K) - Dur. Inc. Fund - Eaton Vance	C	Dividend	K	T					
5. 401(K) - Pimco Real Ret.	A	Dividend	K	T					
6. Pillsbury Retirement Savings Plan - Fidelity PYR INX LFC	E	Dividend	O	T					
7. ML & Co. Str. ACC - Am Cap. Growth	A	Dividend	K	T					
8. Blackrock Gov't & Cap.	A	Dividend	K	T					
9. Citibank - Savings	A	Interest	K	T					
10. 401(K) - U.S. Gov't - American	A	Dividend	J	T					
11. 401(K) - Eaton Vance - Floating	A	Dividend	J	T					
12. 401(K) Fidelity - Floating	A	Dividend	K	T					
13. 401(K) - Gov't Goldman Sachs	A	Dividend	J	T					
14. 401(K) - Adv. Fidelity Int	A	Dividend	J	T					
15. Mutual Fund - Franklin TOT. - A	A	Dividend			Sold	05/30/18	J	A	
16. Mutual Fund - Putnam - Absolute Ret. 300	B	Dividend	K	T					
17. Mutual Fund - AIG Senior Floating Rate	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Mutual Fund - Temp. Global	A	Dividend			Sold	05/30/18	J	A	
19. 401(K) Mutual Fund - ClearBridge Large Cap Growth	C	Dividend	L	T					
20. 401(K) - Tortoise MLP	B	Dividend	K	T					
21. 401(K) - Bank of Am.	A	Dividend	L	T					
22. M - I Shares Silver	A	Dividend			Sold	05/30/18	J	A	
23. 401(K) - John Hancock Rainer	A	Dividend	K	T					
24. 401(K) - Blackrock Cap.	A	Dividend			Sold	05/30/18	J	A	
25. 401(K) - Gabelli Gold Gamco	A	Dividend	J	T					
26. 401(K) - Am Global Bal.	A	Dividend	K	T					
27. 401(K) - Goldman Equity	A	Dividend	K	T					
28. Jt - JP Morgan Int. Tax	A	Dividend			Sold	05/30/18	K	A	
29. Jt - SPDR Gold	A	Dividend			Sold	05/30/18	J	A	
30. Jt - 1st Eagle Global	A	Dividend	L	T					
31. Jt - Am Infl. Bond	A	Dividend	K	T					
32. Jt. IShares Mun.	A	Dividend	K	T					
33. 401(K) - Am. High Inc.	B	Dividend	K	T					
34. 401(K) - Gold Am. Eagle		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. 401(K) - Silver Am. Eagle		None	J	T					
36. AIG Senior Floating Rate	A	Dividend	K	T					
37. Pimco Commodity	A	Dividend	J	T					
38. Blackrock Global Sm. C.	A	Dividend	J	T					
39. Del. Small Cap. Diversified	A	Dividend	J	T					
40. Jt - I Shares High Div.	B	Dividend	K	T					
41. Jt - Vanguard Div. App.	A	Dividend			Sold	05/30/18	K	D	
42. Jt. - Goldman Inc.	A	Dividend			Sold	05/30/18	K	A	
43. Jt. - Ivy Sc. & Tech.	A	Dividend	K	T					
44. Jt. - Delaware Diversified	A	Dividend			Sold	05/30/18	K	D	
45. Jt. - Lord A. Multi-A	A	Dividend			Sold	05/30/18	K	A	
46. Jt. - Putnam Div.	A	Dividend			Sold	05/30/18	K	A	
47. Blackrock Multi-A.	A	Dividend			Sold	05/30/18	J	A	
48. Capital One-Savings	A	Interest	J	T					
49. Goldman US Eq	A	Dividend	K	T					
50. Lord Ab. Flt	B	Dividend	K	T					
51. Columbia Infl, Pro	A	Dividend	K	T					

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52. Ivy Em. Mkt	A	Dividend	L	T					
53. Ab High Inc.	A	Dividend	K	T					
54. Legg Mason Core	A	Dividend	K	T					
55. Am Cent. Altern.	A	Dividend	K	T					
56. Angel Oak Mult.	B	Dividend	K	T					
57. Tortoise Pipe.	A	Dividend	J	T					
58. Columbia St. Inc.	B	Dividend	L	T					
59. Oppenheimer Emg Mk	C	Dividend	K	T					
60. Pioneer Fund. Growth	A	Dividend			Sold	05/30/18	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Arthur R. Randolph**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544