

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Faber, David A.	2. Court or Organization West Virginia Southern	3. Date of Report 12/19/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 601 Federal Street Bluefield, WV 24701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. Monthly

West Virginia Teachers Retirement

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. USAA IRA (see note VIII)									
2. VZ	C	Dividend	L	T					
3. PG	B	Dividend			Sold	10/18/17	L	E	
4. TRTN	C	Dividend	L	T					
5. DUK	C	Dividend	L	T					
6. SNH	C	Dividend	K	T					
7. KMI	B	Dividend			Sold	06/01/17	K	A	
8. GSK	C	Dividend			Sold	10/18/17	L	A	
9. SO	C	Dividend	L	T					
10. CVX	B	Dividend	L	T					
11. EOD	D	Dividend	L	T					
12. IP	B	Dividend	L	T					
13. HPT	C	Dividend	K	T					
14. INTC	B	Dividend	K	T					
15. CSCO	B	Dividend			Sold	10/18/17	L	E	
16. GM	C	Dividend	L	T					
17. LYB	B	Dividend	L	T	Sold (part)	11/07/17	K	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. STX	C	Dividend	L	T					
19. RDSB	C	Dividend	L	T					
20. WFC	B	Dividend	L	T					
21. KO	B	Dividend	K	T					
22. GLAD	C	Dividend	K	T					
23. GSLPRB	C	Dividend	K	T	Buy	02/18/17	K		
24. FRO	A	Dividend			Buy	02/22/17	J		
25. FRO	A	Dividend			Buy (add'l)	03/02/17	J		
26. FRO	A	Dividend			Buy (add'l)	05/18/17	J		
27. FRO	A	Dividend			Sold	11/27/17	K	A	
28. LIOX		None		T	Buy	02/13/17	J		
29. LIOX		None			Redeemed	03/01/17	J	A	
30. SDRL		None		T	Buy	02/08/17	J		
31. SDRL		None			Sold	02/10/17	J	A	
32. PEI	A	Dividend	J	T	Buy	05/11/17	K		
33. PEI	A	Dividend	J	T	Buy (add'l)	06/01/17	K		
34. PEI		None			Sold	11/22/17	K	A	

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35. TAHO		None		T	Buy	04/05/17	K		
36. TAHO		None			Sold	05/11/17	K	B	
37. USAA Money Markets	A	Dividend	N	T					
38. Gold Coin Collection		None	J	W					
39. PLAB		None	J	T	Buy	02/13/17	J		
40. PLAB		None	J	T	Buy (add'l)	07/07/17	J		
41. TAHO		None		T	Buy	03/23/17	J		
42. TAHO		None		T	Sold	03/29/17	J	B	
43. TAHO		None		T	Buy	04/25/17	K		
44. TAHO		None		T	Sold	05/03/17	K	B	
45. GSL		None		T	Buy	02/15/17	J		
46. GSL		None			Sold	02/22/17	J	A	
47. KSU		None		T	Buy	05/11/17	K		
48. KSU		None			Sold	05/26/17	K	B	
49. BSM		None		T	Buy	05/04/17	K		
50. BSM		None			Sold	05/09/17	K	B	
51. BPL	B	Distribution	K	T	Buy (add'l)	06/01/17	J		

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52. RSDA	A	Dividend			Sold	04/07/17	K	B	
53. CBT	A	Dividend		T	Buy	08/02/17	K		
54. CBT		None			Sold	09/22/17	K	A	
55. BX	A	Distribution		T	Buy	07/07/17	K		
56. BX		None			Sold	07/12/17	K	B	
57. BX	A	Distribution		T	Buy	08/02/17	K		
58. GWR		None		T	Buy	10/16/17	J		
59. GWR		None			Sold	12/29/17	K	B	
60. EPD	A	Dividend		T	Buy	12/12/17	K		
61. EPD		None			Sold	12/29/17	K	A	
62. USAA Money Markets	B	Dividend	M	T					
63. Pillar Energy	A	Royalty	J	T					
64. USATX	B	Dividend	K	T	Buy	02/17/17	K		
65. USCAX	A	Dividend	J	T	Buy	02/17/17	J		
66. UVALX	A	Dividend	K	T	Buy	02/17/17	J		
67. USAAX	A	Dividend	J	T	Buy	02/17/17	J		
68. USEMX	A	Dividend	J	T	Buy	02/17/17	J		

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69. USIFX	A	Dividend	K	T	Buy	02/17/17	K		
70. USAA Classic Checking Account	A	Interest	J	T	Buy	02/17/17	K		
71. USAA Savings Account	A	Interest	K	T	Buy	02/17/17	K		
72. USAA Performance First Savings Account	A	Interest	K	T	Buy	02/17/17	K		
73.									
74.									
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76.									
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81.									
82.									
83.									
84.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The assets in Section VII, items 1 through 37 are held in a self-directed IRA with USAA.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David A. Faber**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544