AO 10
Rev. 1/2012

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Shapiro, Norma L.	EDPA, Philadelphia	05/29/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Senior Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2011 **to** 12/31/2011

7. Chambers or Office Address

U.S. Courthouse
601 Market Street
Philadelphia, PA 19106

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Life Trustee	Jewish Publication Society
2.	Member, Committee on Women in the Profession (WIP)	Pennsylvania Bar Association
3.	Chair, Leadership Development & Recruitment Committee	Pennsylvania Bar Association
4.	Member, Sandra Day O'Connor Award Committee	Philadelphia Bar Association
5.	Chair, Board of Director	Violette De Mazia Foundation
6.	Chair, Standing Committee on Federal Court Improvements	American Bar Association
7.	Member, 2011 John Marshall Award Selection Committee	American Bar Association
8.	Representative, Appellate Judges Education Institute, Board of Directors	American Bar Association
9.	Executrix	Estate [redacted] (See Part VIII)

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		

3.

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	ABA	02/10/2011-02/13/2011	Atlanta, GA	Appellate Judges Education Institute	Transportation, Food & Lodging
2.	ABA	02/10/2011-02/13/2011	Atlanta, GA	ABA Midyear Mtg.	Transportation, Food & Lodging
3.	ABA	02/17/2011-02/19/2011	Phoenix, AZ	Media Alerts Conf.	Transportation, Food & Lodging
4.	ABA	03/28/2011	Washington, DC	Judicial Div. Working Group	Transportation, Food
5.	ABA	05/12/2011-05/14/2011	Fort Lauderdale, FL	Standing Committee on Federal Judicial Improvements, Spring Mtg.	Transportation, Food & Lodging

6.	ABA	05/25/2011-05/27/2011	Concord, NH	Task Force on Preservation of the Justice System	Transportation, Food & Lodging
7.	ABA	08/04/2011-08/09/2011	Toronto, Canada	Annual Meeting	Transportation, Food & Lodging
8.	ABA	08/04/2011-08/09/2011	Toronto, Canada	Appellate Judges Education Institute	Transportation, Food & Lodging
9.	ABA	09/22/2011-09/24/2011	Lexington, KY	Kentucky Law Journal Symposium on Court Funding	Transportation, Food & Lodging
10.	ABA	11/03/2011-11/06/2011	Fort Lauderdale, FL	Standing Committee on Federal Judicial Improvements, Fall Mtg.	Transportation, Food & Lodging

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Shapiro, Norma L.	05/29/2012

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Mellon Bank (Checking Acct)		None	J	T					Not now interest bearing
2. Firstrust Bank (Checking)	A	Interest	J	T					
3. Firstrust Bank (Savings)	A	Interest	M	T					
4. Stifel Nicolaus General Money (X)	A	Dividend	J	T					
5. State of Israel Savings Bond	A	Interest			Donated				
6. Oppenheimer PA Municipal Bond	B	Dividend	K	T					
7. Vanguard Life Vanguard Life Strategy Mod. Growth-IRA	C	Dividend	M	T	Buy	06/29/11	J		
8. Vanguard STAR Fund-IRA	C	Dividend	M	T	Buy	06/29/11	J		
9. Vanguard GNMA Fund Admiral	D	Dividend	M	T	Buy	02/07/11	K		
10. Unit Investment Trust First Trust Unit 2246	B	Dividend			Sold	02/25/11	K	A	
11. Unit Investment Trust First Trust Unit 2522	B	Dividend	K	T					
12. Unit Trust First Trust Unit 2573	A	Dividend	J	T					
13. Unit Trust First Trust Unit 2628	B	Dividend	K	T					
14. First Trust Unit 2768	A	Dividend	K	T	Buy	02/25/11	K		
15. Bank of America (Y)									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Shapiro, Norma L.	05/29/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Additional Information - Part 1 - Positions

Numbers 1, 2, 4 and 6. These are non-profit organizations. I have no control over the expenditures of these organizations, although with effort I could ascertain their assets.

Number 5 is a 501(c)(3) charitable organization. I do vote on the expenditure of funds together with the other four Directors. I receive no compensation. The total assets of this organization at the end of 2011 was the amount of approximately $9,000,000.

[redacted]. [redacted] Will names me the Executrix [redacted].

[redacted] assets, listed under Section VII, became assets of the Estate [redacted]; in 2008, they were transferred to a Tax Exempt Marital Trust and rolled over into my Vanguard IRA. All assets listed in Section VII which were in [redacted] prior to his death were in the Estate of Bernard Shapiro at year end and transferred to the Tax Exempt [redacted] Trust in 2008. Bank accounts that were previously [redacted]t accounts are now titled in [redacted] only.

Name of Person Reporting	Date of Report
Shapiro, Norma L.	05/29/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Norma L. Shapiro**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544