

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'Grady, Liam	2. Court or Organization USDC- EDVA	3. Date of Report 07/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 401 Courthouse Square Alexandria, Virginia 22314		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. President	Pauline Newman Inns of Court, June 2013 to June 2014
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 18

Name of Person Reporting

O'Grady, Liam

Date of Report

07/14/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 18

Name of Person Reporting

O'Grady, Liam

Date of Report

07/14/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 18

Name of Person Reporting

O'Grady, Liam

Date of Report

07/14/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Suntrust Money Market	A	Interest	K	T					
2. Suntrust IRA	A	Interest	J	T					
3. Wells Fargo IRA	A	Interest	J	T					
4. Brokerage Account #1 (Nos. 5 through 77)									
5. - Visa Incorporated	A	Dividend	K	T	Buy	06/05/13	J		
6. - Amphenol Corp.	A	Dividend	K	T					
7. - Aflac Inc Stock	A	Dividend	J	T					
8. - Abbott Labs Stock	A	Dividend	J	T	Sold (part)	03/14/13	J	C	
9. - Bard CR	A	Dividend	J	T					
10. - Baxter International	A	Dividend			Sold	12/17/13	J	B	
11. - ADP, Inc.	A	Dividend	J	T	Sold (part)	09/12/13	J	B	
12. - AT&T	A	Dividend	J	T					
13. - AGL Res Incorporated	A	Dividend	J	T	Buy	03/14/13	J		
14. - American Express	A	Dividend	K	T	Sold (part)	06/05/13	J	B	
15. - Colgate Stock	A	Dividend	J	T					
16. - Dover Corp Stock	A	Dividend	J	T	Sold (part)	09/12/13	J	B	
17. - Raymond James & Associates Checking Account	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 18

Name of Person Reporting

O'Grady, Liam

Date of Report

07/14/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Exxon Mobil Stock	A	Dividend	J	T					
19. - Nextra Energy Inc.	A	Dividend	J	T					
20. - Anadarko Petroleum	A	Dividend	J	T					
21. - Cognizant Technology		None	K	T					
22. - Cerner Corporation	A	Dividend	J	T	Buy	09/18/13	J		
23. - Dominion Resources, Inc.	A	Dividend	J	T					
24. - General Dynamics Stock	A	Dividend			Sold	06/05/13	J	C	
25. - General MLS Inc.	A	Dividend	J	T	Sold (part)	09/12/13	J	A	
26. - Fiserv Incorporated	A	Dividend	K	T	Buy	03/14/13	J		
27. - IBM Stock	A	Dividend	J	T					
28. - Becton Dickinson Corp.	A	Dividend	J	T	Sold (part)	09/12/13	J	A	
29. - Johnson & Johnson Stock	A	Dividend	J	T	Sold (part)	06/05/13	J	B	
30. - Chevron Corporation	A	Dividend	J	T					
31. - Dollar Tree		None			Sold	09/18/13	J	A	
32. - WW Grainger Stock	A	Dividend	J	T	Sold (part)	06/05/13	J	A	
33. - Nike, Inc., Class B	A	Dividend	K	T					
34. - McCormick & Company	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 18

Name of Person Reporting

O'Grady, Liam

Date of Report

07/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - Allergan, Incorporated	A	Dividend	J	T	Sold (part)	03/14/13	J	A	
36. - Genuine Parts Company	A	Dividend	J	T	Buy	06/05/13	J		
37. - Illinois Tool Works Stock	A	Dividend	J	T	Sold (part)	09/12/13	J	A	
38. - Amazon.com		None	J	T	Sold (part)	12/17/13	J	B	
39. - Sigma Aldrich Corporation	A	Dividend	J	T	Sold (part)	09/12/13	J	A	
40. - EMC Corp.	A	Dividend			Sold	09/12/13	J	B	
41. - Pepsico Stock	A	Dividend	J	T	Sold (part)	03/14/13	J	B	
42. - Ecolab, Inc.	A	Dividend	K	T					
43. - Schlumberger Stock	A	Dividend	J	T	Sold (part)	09/12/13	J	C	
44. - Stryker Stock	A	Dividend	J	T					
45. - Royal Dutch Shell	A	Dividend	J	T					
46. - Sysco Stock	A	Dividend			Sold	03/14/13	J	D	
47. - Wal-Mart Stock	A	Dividend	J	T					
48. - Amerisourcebergen	A	Dividend	J	T	Sold (part)	09/12/13	J	B	
49. - Ball Corporation	A	Dividend	J	T					
50. - Danaher Corp. Stock	A	Dividend	J	T	Sold (part)	09/12/13	J	B	
51. - Conoco Phillips	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 18

Name of Person Reporting

O'Grady, Liam

Date of Report

07/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - Cisco Systems Stock	A	Dividend			Sold	12/17/13	J	B	
53. - Home Depot			J	T	Buy	12/17/13	J		
54. - United Technologies Stock	A	Dividend	J	T	Sold (part)	09/12/13	J	B	
55. - Costco Wholesale Stock	A	Dividend	J	T					
56. - Amgen Stock	A	Dividend	J	T	Sold (part)	09/12/13	J	B	
57. - Express Scripts, Inc.		None	J	T					
58. - Microsoft Stock	A	Dividend	J	T					
59. - Oracle Corp.	A	Dividend	J	T					
60. - Proctor & Gamble Stock	A	Dividend	J	T	Sold (part)	09/18/13	J	A	
61. - Church & Dwight	A	Dividend	J	T	Sold (part)	03/14/13	J	B	
62. - Clorox Company	A	Dividend	J	T	Sold (part)	03/14/13	J	A	
63. - Walgreen Stock	A	Dividend	J	T					
64. - McDonalds	A	Dividend	J	T	Buy	03/14/13	J		
65. - Emerson Electric Stock	A	Dividend	J	T					
66. - Fastenal Company	A	Dividend	J	T					
67. - 3M	A	Dividend	K	T	Sold (part)	03/14/13	J	A	
68. - Google, Inc.		None	K	T	Sold (part)	09/18/13	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 18

Name of Person Reporting

O'Grady, Liam

Date of Report

07/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. - JP Morgan Chase Stock	A	Dividend	J	T	Sold (part)	06/05/13	J	A	
70. - Stericycle, Inc.		None	J	T	Sold (part)	09/12/13	J	A	
71. - Target Corporation Stock	A	Dividend	J	T					
72. - Praxair, Incorporated	A	Dividend	J	T					
73. - Verizon, Inc.	A	Dividend	J	T					
74. - Qualcomm, Inc.		None	J	T	Buy	12/17/13	J		
75. - Roper Industries	A	Dividend	J	T					
76. - Thermo Fisher Dynamic	A	Dividend	K	T	Sold (part)	12/17/13	J	B	
77. - O'Reilly Automotive		None	J	T					
78. Jefferson Pilot Ins. Policy	A	Interest	J	W					
79. Dreyfus Prem Worldwide Stock	A	Dividend	J	T					
80. - Trust No. 1 (items 81-186)	E	Int./Div.	N	T					
81. - Mainstay Funds, Lg. Cap Growth					Sold (part)	01/14/13	J	A	
82.					Sold (part)	02/21/13	J	A	
83.					Sold (part)	03/26/13	J	A	
84. -					Sold	05/21/13	K	D	
85.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 18

Name of Person Reporting

O'Grady, Liam

Date of Report

07/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. - Nationwide Geneva Mid Cap Growth					Buy	01/14/13	J		
87.					Buy	03/26/13	J		
88.					Sold (part)	02/21/13	J	A	
89.					Sold (part)	05/21/13	J	A	
90.					Sold (part)	07/16/13	J	A	
91.					Buy	12/18/13	J		
92.					Sold (part)	10/16/13	J	A	
93. - Fidelity Advisor Int Muni					Buy	02/11/13	J		
94.					Buy	02/21/13	J		
95.					Buy	03/26/13	J		
96.					Buy	10/16/13	K		
97.					Buy	12/23/13	J		
98.					Sold (part)	01/14/13	J	A	
99.					Sold (part)	05/21/13	L	C	
100.									
101.									
102. - MFS Value Fund CLI					Buy	07/16/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 18

Name of Person Reporting

O'Grady, Liam

Date of Report

07/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Buy	12/12/13	J		
104.					Sold (part)	10/16/13	J	A	
105.									
106. - Buffalo Small Cap Fund					Sold (part)	02/21/13	J	A	
107.					Sold	05/21/13	J	C	
108.					Buy (add'l)	01/14/13	J		
109.					Buy (add'l)	03/26/13	J		
110.									
111.									
112. - Dodge & Cox #145					Sold (part)	01/14/13	J	A	
113.					Sold (part)	02/21/13	J	A	
114.					Sold (part)	03/26/13	J	A	
115.					Sold (part)	05/21/13	J	A	
116.					Sold	07/16/13	K	D	
117. - American Century Growth Fund - Instl.					Buy	05/21/13	K		
118.					Buy	12/18/13	J		
119.					Buy	12/19/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 18

Name of Person Reporting

O'Grady, Liam

Date of Report

07/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	07/16/13	J	A	
121.					Sold (part)	10/16/13	J	A	
122.									
123. - BMO Intermediate Tax Free Fund					Buy	05/21/13	L		
124.					Buy	07/16/13	J		
125.					Buy	12/12/13	K		
126.					Sold (part)	10/16/13	K	B	
127. - Thornburg Ltd Term Muni Fund					Buy (add'l)	02/21/13	J		
128.					Buy (add'l)	03/26/13	J		
129.					Buy (add'l)	07/16/13	J		
130.					Sold (part)	01/14/13	J	A	
131.					Sold (part)	05/21/13	J	A	
132.					Sold (part)	10/16/13	K	A	
133. - Invesco Diversified Dividend Fd.					Buy (add'l)	12/16/13	J		
134.					Sold (part)	01/14/13	J	A	
135.					Sold (part)	02/21/13	J	A	
136.					Sold (part)	03/26/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 18

Name of Person Reporting

O'Grady, Liam

Date of Report

07/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	07/16/13	J	A	
138.					Sold (part)	10/16/13	J	A	
139. - Invesco Int'l. Growth Fd.					Buy	01/14/13	J		
140.					Buy	03/26/13	J		
141.					Buy	05/21/13	J		
142.					Buy	07/16/13	J		
143.					Sold (part)	02/21/13	J	A	
144.					Sold (part)	10/16/13	J	A	
145. - American Century Small Cap Value Inst.					Buy (add'l)	01/14/13	J		
146.					Buy (add'l)	03/26/13	J		
147.					Buy (add'l)	12/09/13	J		
148.					Sold (part)	02/21/13	J	A	
149.					Sold (part)	05/21/13	J	A	
150.					Sold (part)	07/16/13	J	A	
151.					Sold (part)	10/16/13	J	A	
152. - JP Morgan Mid-Cap Value Fd.					Buy (add'l)	01/14/13	J		
153.					Buy (add'l)	03/26/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 18

Name of Person Reporting

O'Grady, Liam

Date of Report

07/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy	12/16/13	J		
155.					Sold (part)	02/21/13	J	A	
156.					Sold (part)	05/21/13	J	A	
157.					Sold (part)	07/16/13	J	A	
158.					Sold (part)	10/16/13	J	A	
159. - JP Morgan Large Cap Growth SL Fd.					Sold (part)	01/14/13	J	A	
160.					Sold (part)	03/26/13	J	A	
161.					Sold (part)	05/21/13	J	A	
162.					Sold (part)	07/16/13	J	A	
163.					Sold (part)	10/16/13	J	A	
164. - MFS Resh Int'l. Fd.					Sold (part)	02/21/13	J	A	
165.					Sold (part)	10/16/13	J	A	
166.					Buy	01/14/13	J		
167.					Buy	05/21/13	J		
168.					Buy	07/16/13	J		
169. - Virtus Emerging Markets Opportunity					Buy (add'l)	01/14/13	J		
170.					Buy (add'l)	02/21/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 18

Name of Person Reporting

O'Grady, Liam

Date of Report

07/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy	03/26/13	J		
172.					Buy	07/16/13	J		
173.					Buy	12/23/13	J		
174.					Sold (part)	05/21/13	J	A	
175.					Sold (part)	10/16/13	J	A	
176. - Ivy Municipal High Income Fund					Buy	05/21/13	J		
177.					Buy	07/16/13	J		
178.					Buy	10/16/13	J		
179.					Buy	12/16/13	J		
180. - Virtus Small-MidCap Fund I					Buy	05/21/13	J		
181.					Buy	06/21/13	J		
182.					Sold (part)	07/16/13	J	A	
183.					Sold (part)	10/16/13	J	A	
184. - Regions Trust MMA									
185. - Life Insurance Dixie National									
186. - Life Insurance Liberty National									
187. Burke & Herbert Cking/ Money Market	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 18

Name of Person Reporting

O'Grady, Liam

Date of Report

07/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. United Security Bancshares		None	K	T					
189. Burke & Herbert 529 Plan - cash	A	Interest	K	T					
190. Burke & Herbert 529 Plan - cash	A	Interest	K	T					
191. IRA - PNC Financial Services	A	Interest	J	T					
192. Undivided Int. Timberland Choctaw County, AL	G	Rent	P1	W					
193. Undivided Int Timberland Wayne County, MS	C	Rent	O	W					
194. Undivided Mineral Interest Choctaw County, AL	F	Royalty	L	W					
195. Undivided Mineral Interest Clark & Wayne County, MS	D	Royalty	L	W					
196. Undivided Mineral interest Mobile County, AL	A	Royalty	J	W					
197. IRA - Merrill Lynch (198-215)	D	Int./Div.	N	T					
198. - Cohen & Steers Realty									
199. - Fidelity Advisor New									
200. - Invesco Diversified Divided					Buy	01/17/13	K		
201. - JP Morgan Strategic									
202. - Lord Abbett Convertible									
203. - Lord Abbett Value					Sold	01/17/13	J		
204. - Metropolitan West Total									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 18

Name of Person Reporting

O'Grady, Liam

Date of Report

07/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - MFS Utilities Fd									
206. - Nuveen Mtg Opportunity									
207. - Oppenheimer Developing									
208. - JP Morgan Mid Cap					Buy	01/17/13	J		
209. - Matthews Asia Divided					Buy	01/17/13	J		
210. - Royce Total Return Fund									
211. - Thornburg International									
212. - Doubleline Total Return Fund									
213. - Pimco Foreign Bond Fund									
214. - Pimco All Asset All									
215. - Yacktman Fund CL Service					Sold	01/17/13	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 18

Name of Person Reporting

O'Grady, Liam

Date of Report

07/14/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Section VII, Item number 77 (O'Reilly Automotive) was inadvertently omitted from the 2012 report.

FINANCIAL DISCLOSURE REPORT

Page 18 of 18

Name of Person Reporting

O'Grady, Liam

Date of Report

07/14/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Liam O'Grady

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544