

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rogers, III, Thomas E.	2. Court or Organization U.S. District Court, SC	3. Date of Report 04/23/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge -Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Post Office Box 2317 Florence, SC 29501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Rogers, III, Thomas E.

Date of Report

04/23/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014

Florence Public School District One

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Rogers, III, Thomas E.

Date of Report

04/23/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	TD Ameritrade	Margin account	J
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Rogers, III, Thomas E.

Date of Report

04/23/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 1.25 a Florence C (1/2011, \$10,000)		None	J	R					
2. Brokerage account # 1 (Marked as # 1)									
3. First Clearing, LLC - Cash balance		None	J	T					
4. # 1 - AT&T Inc	A	Int./Div.	J	T					
5. #1 - Softbank Corp ADR	A	Dividend	J	T	Buy	09/15/14	J		
6. # 1 - Verizon Communications Com	A	Dividend	J	T					
7. # 1 - SC Jobs Econ DV Ath Stdnt 4.25% 8/01/24 "Y"	A	Int./Div.	J	T					
8. Brokerage account # 2 (Marked as # 2)									
9. # 2 - Cash balance -First Clearing, LLC	A	Int./Div.	J	T					
10. # 2 - Exxon Mobil Corp	A	Dividend	K	T					
11. Brokerage account # 3 (Marked as # 3)									
12. # 3 - Ameritrade MMDA Account - Cash balance	A	Int./Div.	J	T					
13. # 3 - Sarepta Therapeutics Inc Com		None			Buy	07/08/14	J		
14.					Sold	07/10/14	J	A	
15.		None	J	T	Buy	12/11/14	J		
16.		None	J	T	Buy (add'l)	12/22/14	J		
17. # 3 - South State Corp Com	A	Dividend			Buy	07/23/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Rogers, III, Thomas E.

Date of Report

04/23/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.	A	Dividend			Buy (add'l)	07/23/14	J		
19.					Sold	11/06/14	J	A	
20. # 3 - Softbank Corp Unspn ADR		None			Buy	09/25/14	J		
21.		None			Buy (add'l)	10/01/14	J		
22.		None			Buy (add'l)	10/06/14	J		
23.		None			Buy (add'l)	11/10/14	J		
24.					Sold	12/11/14	J	A	
25. # 3 - Dragonwave Inc Com		None	J	T	Buy	10/06/14	J		
26.		None	J	T	Buy (add'l)	10/09/14	J		
27.		None	J	T	Buy (add'l)	10/15/14	J		
28.		None	J	T	Buy (add'l)	10/22/14	J		
29.		None	J	T	Buy (add'l)	11/13/14	J		
30.		None	J	T	Buy (add'l)	11/13/14	J		
31.		None	J	T	Buy (add'l)	11/18/14	J		
32.		None	J	T	Buy (add'l)	11/18/14	J		
33.		None	J	T	Buy (add'l)	11/18/14	J		
34.		None	J	T	Buy (add'l)	11/18/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Rogers, III, Thomas E.

Date of Report

04/23/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.		None	J	T	Buy (add'l)	12/23/14	J		
36.		None	J	T	Buy (add'l)	12/30/14	J		
37. First Federal checking		None	J	T					
38. NBSC (also called National Bank of South Carolina)	A	Interest	K	T					
39. Wells Fargo , NA	A	Interest	J	T					
40. Equitable Variable: EQ Agresssive (whole life policy)		None	J	T					
41. Jefferson-Pilot Adjustable Life policy		None	J	T					
42. UGMA # A (Marked as # A)									
43. # A - Capital World Growth & Income Fund Inc Cl C	A	Dividend	J	T					
44. # A - First Clearing, LLC - Cash balance	A	Interest	J	T					
45. # A American Fds Washington Mut (Edu Svgs Acct)(no control)	A	Int./Div.	J	T					
46. UGMA # A, Trust 1 (Marked as #AT1)									
47. # AT1 - First Clearing, LLC - Cash balance	A	Int./Div.	J	T					
48. # AT1 - AT&T Inc. (T)	A	Dividend	J	T					
49. # AT1 - Russell Invst Co Multi-strategy Alternative Fd Cl S (RMSSX)		None	J	T					
50. # AT1 - Russell Invst Co Global Infrastructure Fd Class S (RGISX)	A	Dividend	J	T					
51. # AT1 - Russell Invst Co Commodity Strategies Fd Cl S (RCCSX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Rogers, III, Thomas E.

Date of Report

04/23/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold (part)	01/03/14	J	A	
53.					Sold (part)	01/03/14	J	A	
54. # AT1 - Russell Invt Co Global Opportunistic Cr Fd Cl S (RGCSX)		None	J	T					
55. # AT1 - Russell Emerging Mkts Cl S (REMSX)	A	Dividend	J	T					
56. # AT1 Russell Invt Co Global Real Estate Secs Fd Cl S (RRESX)	A	Dividend	J	T					
57.					Sold (part)	01/03/14	J	A	
58.					Sold (part)	01/03/14	J	A	
59.					Sold (part)	01/03/14	J	A	
60.					Sold (part)	01/03/14	J	A	
61. # AT1 - Russell Gbl Eqty Class S (RGESX)	A	Dividend	J	T					
62.					Sold (part)	01/03/14	J	A	
63. # AT1 - Russell US Small Cap Equity Class S (RLESX)	A	Dividend	J	T					
64. #AT1 - Russell Intl Developd Mkts Fd Class S (RINTX)	A	Dividend	J	T					
65.					Sold (part)	01/03/14	J	A	
66. # AT1 - Russell Invt Co US Strategic Equity Fd Class S (RSESX)	A	Dividend	J	T					
67.					Sold (part)	01/03/14	J	A	
68. UGMA # B (Marked as # B)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Rogers, III, Thomas E.

Date of Report

04/23/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

69. # B - Capital World Growth & Income Fund Inc. Cl C	A	Dividend	J	T					
70.					Sold (part)	01/29/14	J	A	
71. # B First Clearing, LLC - Cash balance	A	Interest	J	T					
72. # B Columbia Mgmt Futre Schol 529 Collge Svng Pl (no control)	A	Int./Div.	J	T					
73. # B - BP PLC stock	A	Dividend	J	T					
74.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Rogers, III, Thomas E.

Date of Report

04/23/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII, page 4, line 1, Column B(1) for 1.25 acres Florence County received no rental income for the year therefore, column B(2) is "None". The basis as originally reported, (1/2011, \$60,000) was divided as follows: 1.25 A Florence C (1/2011, \$10,000), 72.3 a Marion C, SC (1/2011, \$50,000). The 72.13 acres Marion County, SC was sold on 12/31/2013 to Christopher Hayek. The 1.25 a Florence C (1/2011 \$10,000) is still owned at 12/31/2013.

Part VII, lines 3, 9, 12, 44 and 47 are the money market account portions of the brokerage accounts which act as sweep accounts for uninvested cash in that specific brokerage account. We believe these to be a widely held accounts because they are a part of the brokerage accounts.

Part VII, lines 2, 8, 11, 42, 46, and 68 are header lines for the investments listed below the specific line.

Part VII, line 21, Dragonwaver, Inc com No dividend income was received on this security.

Part VII, line 45, # A American Fds Washington Mut (Edu Svgs Acct) (no control). The fund is American Funds, Washington Mutual Investors, Fund - A, which is an educational savings account. (no control)

Part VII, line 72, # B Columbia Mgmt Futre Schol 529 Collge Svg Pl (no control. This is the Columbia Management Future Scholar 529 College Savings Plan. The funds are invested in the Columbia Moderate Growth A. (no control).

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Rogers, III, Thomas E.

Date of Report

04/23/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Thomas E. Rogers, III

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544