

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tymkovich, Timothy M.	2. Court or Organization Tenth Circuit Court of Appeals	3. Date of Report 04/18/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge -- Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 1823 Stout Denver, CO 80202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	member	230 Joint Venture
2.	member	HHT LLC
3.	member	250 Joint Venture
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 08/15/2017	Lexis Nexis -- publication royalties I	\$3,300.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. University of Houston	01/27/17	Houston	moot court	hotel, meals, transportation
2. National Constitution Center	04/12/17	Philadelphia	panel	hotel, meals, transportation
3. University of Chicago	4/18/17	Chicago	speech	hotel, meals, transportation
4. Federalist Society	11/15/17	Washington DC	convention	hotel, meals,
5. Federalist Society	10/23/17	Houston	speech	hotels, meals, transportation
6. Heritage Foundation	05/12/17	Colorado Springs	speech	hotel, meals, transportation
7. American Law Institute	5/22/17	Washington DC	annual meeting	hotel, meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Schwab Money Market Fund	A	Dividend	M	T					
2. Merrill Lynch Bank USA	C	Interest	J	T					
3. Agilent Technology	A	Dividend	J	T					
4. Keysight	C	Dividend	J	T					
5. Air Methods	A	Dividend			Sold	04/26/17	M	F	
6. Hanesbrand	A	Dividend	J	T					
7. Cheseapeake	A	Dividend	J	T					
8. Seventy Seven Energy	B	Dividend	J	T					
9. Bank of America Common Stock	A	Dividend	K	T					
10. Chipotle	C	Dividend			Sold	12/01/17	J	A	
11. US Silica	C	Dividend	J	T					
12. Union Pacific	C	Dividend	J	T					
13. 20 Year Treasury Bond (4)	A	Interest			Redeemed	12/01/17	K	D	
14. Coca Cola	A	Dividend	K	T					
15. General Electric	D	Dividend	N	T					
16. Hewlett Packard	B	Dividend	L	T					
17. HP Enterprises	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. MicroFocus	B	Dividend	J	T	Spinoff (from line 17)	07/01/17	J		
19. DXC	A	Dividend	J	T	Spinoff (from line 17)	07/01/17	J		
20. Seatte Spin Co.(1)	B	Dividend			Sold	06/01/17	J		
21. IBM	A	Dividend	L	T					
22. Imation	A	Dividend			Sold	07/15/17	J	A	
23. Intel	A	Dividend	M	T					
24. Johnson & Johnson	B	Dividend	M	T					
25. Microsoft	C	Dividend	M	T					
26. 3M	A	Dividend	K	T					
27. Motorola Mobility	B	Dividend	K	T					
28. Nokia	A	Dividend			Sold	12/02/17	J	A	
29. Glassbridge (2)	A	Dividend			Sold	03/01/17	J	A	
30. Schwab Government Money Fund	A	Dividend	J	T					
31. Schwab Advisor Cash Reserves	B	Interest	K	T					
32. Schwab Advisor Cash Reserves Premie	A	Dividend	J	T					
33. Schwab Total Stock Market Index Fund	B	Dividend	N	T	Buy (add'l)	10/11/17	L		
34. AT&T	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. News Corp	A	Dividend	J	T					
36. 21 Century Fox	A	Dividend	J	T					
37. Templeton Developing Market	A	Dividend	J	T					
38. Templeton Emerging Markets	A	Dividend	J	T					
39. Delaware Holdings Mutual Fund	A	Interest	J	T					
40. Walmart	A	Dividend	M	T					
41. Northwest Mutual insurance cash value	B	Interest	K	T					
42. Apple	A	Dividend	J	T	Buy (add'l)	05/01/17	J		
43. Alphabet	A	Dividend	J	T					
44. Amazon	A	Dividend	J	T	Buy	07/03/17	J		
45. Wells Fargo Common Stock	C	Dividend	L	T					
46. JPMorgan Common Stock	A	Dividend	K	T	Buy	03/01/17	K		
47. HHT LLC	D	Distribution	J	T					
48. 230 Joint Venture (Br rental)(S100,000; 10/2004) Broomfield Colorado)	G	Rent	M	W					
49. 250 Joint Venture S70,000; 10/2014) (Broomfield Colorado		None	L	W					
50. Pfizer	B	Dividend	J	T					
51. Dimension Mutual Funds	C	Dividend	J	T					

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52. DFA U.S. Core Equity II Portfolio Institutional Class	B	Dividend	L	T					
53. DFA U.S. Large Cap Value III Portfolio	B	Dividend	L	T					
54. DFA U.S. Small Cap Portfolio Institutional Class	B	Dividend	L	T					
55. DFA U.S. Small Cap Value Portfolio Institutional Class	A	Dividend	K	T					
56. DFA International Core Equity Portfolio Institutional Class	B	Dividend	L	T					
57. DFA International Value Portfolio III	A	Dividend	K	T					
58. DFA International Small Cap Value Portfolio Institutional Class	B	Dividend	L	T					
59. DFA Emerging Markets Core Equity Portfolio Institutional Class	A	Dividend	K	T					
60. DFA Commodity Strategy Portfolio Institutional Class Shares	A	Dividend	K	T					
61.									
62.									
63.									
64.									
65.									
66.									
67.									
68.									

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69.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. This was a spinoff from HP and then merged with MicroFocus
2. This was formerly known as Imation
3. This reports a previous 2105 merger that was not previously reported
4. This reports a treasury bond that should have been previously reported in 2016

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Timothy M. Tymkovich

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544