

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dembin, Mitchell D.	2. Court or Organization District Court - San Diego	3. Date of Report 05/12/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 333 W. Broadway Room 1180 San Diego, CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member - Board of Directors	ESET Foundation d/b/a Securing our eCity Foundation
2. Power of Attorney	Parents
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Dembin, Mitchell D.

Date of Report

05/12/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 9/12/2012	Sale [REDACTED], Chula Vista, California	\$235,707.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2012	Thomas Jefferson School of Law - Salary
2. 2012	Self-employed attorney
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Dembin, Mitchell D.

Date of Report

05/12/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit Card	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Dembin, Mitchell D.

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05/12/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MSFT	A	Dividend			Sold	2/17/12	J	C	
2. NML Mutual Fund - ☐ ira									
3. - Bond Fund of America	A	Interest	J	T					
4. - Capital World Growth Fund	A	Interest	J	T					
5. - Growth Fund of America	A	Interest	J	T					
6. - Income Fund of America - Class A	A	Interest	K	T					
7. - Income Fund of America - Class B	A	Interest	J	T					
8. NML Mutual Fund - ☐ - ira									
9. - Money Market Fund - Class B	A	Interest	J	T					
10. - Allianz NFJ Dividend Value Fund	A	Interest	K	T	Sold (part)	9/24/12	J	A	
11. - American Century Div. Bond Fund	A	Interest	J	T					
12. - Columbia Acorn Int'l Fund	A	Interest	J	T					
13. - Credit Suisse Commodity Return Fund			K	T					
14. - DWS Dremen Small Cap. Fund	A	Interest	J	T	Sold	9/24/12	J	A	
15. - Dodge & Cox Income Fund	A	Interest	K	T					
16. - Eagle Small Cap. Growth A Fund			J	T	Sold (part)	9/24/12	J	A	
17. - Fidelity Advisor Mid-cap II Fund			J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Fidelity Advisor New Insights Fund	A	Interest	K	T	Sold (part)	9/24/12	J	A	
19. - Harbor Bond Fund Institutional Fund	A	Interest	J	T					
20. - Harbor Real Return Fund	A	Interest	J	T					
21. -Hartford High Yield Fund Class A	A	Interest	J	T	Buy	9/25/12	J		
22. -Northern Small Cap Value Fund	A	Interest	J	T	Buy	9/25/12	J		
23. - Oakmark International Fund	A	Interest	J	T	Sold (part)	9/24/12	J	A	
24. - Perkins Midcap Value Fund	A	Interest	J	T					
25. -Pimco Income Fund Class A	A	Interest	J	T	Buy	9/25/12	J		
26. - Loomis Sayles Bond Fund - Retail	B	Interest			Sold	9/24/12	K	B	
27. - MFS Value Fund - Class W	A	Interest	K	T	Sold (part)	9/24/12	J	A	
28. - MFS Int'l Growth Fund - Class W	A	Interest	J	T	Sold (part)	9/24/12	J	A	
29. - MFS High Yield Opportunity Fund	A	Interest			Sold	9/24/12	J	A	
30. - T Rowe Price Emerging Markets Fund	A	Interest	K	T	Sold (part)	9/24/12	J	A	
31. - T Rowe Price Real Estate Fund	A	Interest	J	T	Sold (part)	9/24/12	J	A	
32. - Scout International Fund	A	Interest	J	T					
33. - Templeton Global Bond Fund - Class A	A	Interest	J	T					
34. - Vanguard GNMA Fund	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Vanguard Short-Term Investment Grade A	A	Interest	K	T	Buy	9/25/12	K		
36. Cabrillo Federal Credit Union	A	Interest	M	T					
37. Wells Fargo Bank - POA [REDACTED] - see part I line 2	A	Interest	K	T					
38. NML Whole Life - [REDACTED]	A	Dividend	K	T					
39. NML Variable Life - [REDACTED]									
40. - International Equity Fund	A	Dividend	J	T					
41. - Index 500 Stock Fund	B	Dividend	J	T					
42. - Midcap Growth Fund	A	Dividend	J	T					
43. - Growth Stock Fund	A	Dividend	J	T					
44. - Large Cap Core Fund	A	Dividend	J	T					
45. NML Whole Life - [REDACTED]	C	Dividend	L	T					
46. NML Variable Life - [REDACTED] #1									
47. - International Equity Fund			J	T					
48. - Index 500 Stock Fund	B	Dividend	K	T					
49. - Midcap Growth Fund	A	Dividend	J	T					
50. - Growth Stock Fund	A	Dividend	J	T					
51. - Large Cap. Core Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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52. NML Variable Life - #2									
53. - International Equity Fund	A	Dividend	J	T					
54. - Index 500 Stock Fund	B	Dividend	K	T					
55. - Midcap Growth Fund	A	Dividend	J	T					
56. - Growth Stock Fund	A	Dividend	J	T					
57. - Large Cap. Core Fund	A	Dividend	J	T					
58. NML SEP									
59. - Bond Fund of America A	A	Interest	J	T					
60. - Capital World Growth A	A	Interest	J	T					
61. - Growth Fund of America A	A	Interest	J	T					
62. - Income Fund of America A	A	Interest	J	T					
63. Luminore Private Stock (X)	A	Dividend	J	U					
64. NML Brokerage -									
65. -Money Market Fund Class B	A	Interest	J	T	Buy	12/1/12	J		
66. -Columbia Acorn Int'l Fund	A	Interest	J	T	Buy	12/4/12	J		
67. -Credit Suisse Commodity Return			J	T	Buy	12/4/12	J		
68. -Dodge & Cox Int'l Fund	A	Interest	J	T	Buy	12/4/12	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Dembin, Mitchell D.

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69. -Fidelity Advisor Midcap II Fund			J	T	Buy	12/6/12	J		
70. -Fidelity Advisor New Insights Fund	A	Interest	K	T	Buy	12/6/12	K		
71. -Franklin Federal Inter Term Tax-free	A	Interest	K	T	Buy	12/4/12	K		
72. -Perkins Midcap Value Fund	A	Interest	J	T	Buy	12/4/12	J		
73. -JP Morgan Emerging Markets Fund	A	Interest	J	T	Buy	12/4/12	J		
74. -MFS Int'l Growth Fund	A	Interest	J	T	Buy	12/6/12	J		
75. -MFS Value Fund	A	Interest	K	T	Buy	12/6/12	K		
76. -Northern Small Cap Value Fund	A	Interest	J	T	Buy	12/4/12	J		
77. -T Rowe Price Tax-free High Yield	A	Interest	J	T	Buy	12/4/12	J		
78. -T Rowe Price Emerging Markets	A	Interest	J	T	Buy	12/4/12	J		
79. -T Rowe Price Real Estate	A	Interest	J	T	Buy	12/4/12	J		
80. -Scout Int'l Fund	A	Interest	J	T	Buy	12/4/12	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Dembin, Mitchell D.

Date of Report

05/12/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Dembin, Mitchell D.

Date of Report

05/12/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mitchell D. Dembin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544