

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gruender, Raymond W.	2. Court or Organization Eighth Circuit Court of Appeals	3. Date of Report 03/28/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 111 South Tenth Street Suite 23.365 St. Louis, MO 63102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors	Variety Club of St. Louis (no control over investment assets)
2. National Council	Washington University School of Law (no control over investment assets)
3. Board of Advisors	St. Louis Lawyers Chapter - Federalist Society
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Gruender, Raymond W.

Date of Report

03/28/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2015	Buck Consultants - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Liberty University School of Law	03/19/15 to 03/21/15	Lynchburg, VA	Moot court	Airfare, hotel, meals
2. James Danaher	10/31/15 to 11/1/15	Chicago, IL	Visit/pleasure	Hotel
3. Federalist Society	11/12/15 to 11/14/15	Washington, DC	Moderate panel	Airfare, hotel
4. Mr. and Mrs. Gabe Bockhorst	12/05/15-12/12/15	Nevis	Visit/pleasure	Home
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Gruender, Raymond W.

Date of Report

03/28/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Mr. and Mrs. Mark Vittert	Purse, clothes	\$500.00
2.	Mr. and Mrs. Gabe Bockhorst	2 tickets Epworth Center Gala	\$600.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Gruender, Raymond W.

Date of Report

03/28/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. UMB Bank (checking accounts)	A	Interest	K	T					
2. Bank of America common stock	A	Dividend	J	T					
3. Citigroup common stock	A	Dividend			Sold	12/31/15	J		
4. Exxon Mobil common stock	A	Dividend	J	T					
5. General Electric common stock	A	Dividend			Sold	12/31/15	J		
6. Goldman Sachs common stock	A	Dividend	K	T	Sold (part)	12/31/15	J	B	
7. Macy's Inc. common stock	A	Dividend	J	T	Sold (part)	07/17/15	J	C	
8. Microsoft common stock	A	Dividend	J	T					
9. Newscorp common stock	A	Dividend	J	T					
10. Oracle common stock	A	Dividend	J	T					
11. Proctor & Gamble common stock	A	Dividend	K	T					
12. 21st Century Fox common stock	A	Dividend	J	T					
13. UnitedHealth Group common stock	A	Dividend	J	T	Sold (part)	01/26/15	J	C	
14. Vertex Pharmaceuticals common stock		None			Sold	04/01/15	J	D	
15. Scottrade money market--Scottrade Bank	A	Interest	M	T					
16. IRA -- Scottrade	A	Dividend							
17. --Invesco American Franchise					Sold	10/06/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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Gruender, Raymond W.

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Invesco Technology Fund					Sold	10/06/15	J		
19. --AIM Small Cap Growth Fund					Sold	10/06/15	K	D	
20. --Fidelity Advisor Growth Opportunities					Sold	10/06/15	K	D	
21. --GAMCO Global Growth Fund					Sold	10/06/15	J	B	
22. --Gabelli Value Fund					Sold	10/06/15	J	D	
23. --Janus Enterprise Fund					Sold	10/06/15	K	D	
24. --Janus Research Fund					Sold	10/06/15	J	C	
25. --MFS Growth Fund	A	Dividend			Sold	10/06/15	J	B	
26. --MFS Massachusetts Investors Growth Stock Fund					Sold	10/06/15	J	C	
27. --Putnam International Equity Fund					Sold	10/06/15	J	C	
28. --Putnam Multi-Cap Growth Fund					Sold	10/06/15	J	A	
29. --T. Rowe Price Blue Chip Growth Fund					Sold	10/06/15	K	D	
30. --T. Rowe Price Science and Technology Fund					Sold	10/06/15	J		
31. --American Funds; Small Cap World Fund					Sold	10/06/15	K	D	
32. --Scottrade Money Market -- U.S. Bank	A	Dividend			Sold	10/06/15	J		
33. Towers Watson Savings Plan		None	N	T					
34. --Vanguard Total Bond Mkt. Index			L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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35. --Blackrock US TIPS			K	T					
36. --Vanguard Institutional Index			M	T					
37. --Vanguard Extended Mkt Index			L	T					
38. --Vanguard REIT Index			K	T					
39. --Vanguard Total International Stock Index			K	T					
40. Aon Savings Plan	A	Dividend	N	T					
41. --U.S. Large Co. Growth (f/k/a U.S. Large Co. Value)			L	T					
42. --Aon Common Stock	A	Dividend	K	T					
43. --U.S. All Co Grwth (a/k/a Vanguard Capital Opp)			K	T					
44. --Foreign All Co (a/k/a American Funds - EuroPacific Grth)			K	T					
45. --U.S. Large Co. Index (a/k/a Vanguard Inst. Index Fund)			M	T					
46. --U.S. Mid/Small Co Index (a/k/a Vanguard Extended Mkt Index Fd)			K	T					
47. --Diversified Bond (a/k/a PIMCO Total Return Portfolio)			K	T					
48. --Dwight Asset Mgt. Stable Value Portfolio			J	T					
49. Irrevocable Trust u/a 11/17/03	A	Dividend	M	T					
50. --Vanguard Tax Exempt Money Market	A	Dividend	M	T					
51.									

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

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Gruender, Raymond W.

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52.									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Gruender, Raymond W.

Date of Report

03/28/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Gruender, Raymond W.

Date of Report

03/28/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Raymond W. Gruender**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544