

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hickey, Susan O.	2. Court or Organization US District Court Western District of Arkansas	3. Date of Report 08/10/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 101 South Jackson Avenue Room 219 El Dorado, AR 71730		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	██████████, P.A.
2. 2017	BancorpSouth Advisory Board Fees
3. 2017	PMJ LLC - oil production
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	BancorpSouth	unsecured loan	J
2.	BancorpSouth	unsecured loan	K
3.	U.S. Bank	guaranteed loan	K
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 5-4 The Beach LLC		None	L	U					
2. Six Siblings LLC		None	K	U					
3. PMJ LLC	B	Distribution	J	U					
4. [REDACTED], P.A.	E	Distribution	J	U					
5. Equitable Life Insurance Company Policy #1 (whole life)	B	Dividend	L	T					
6. Genworth Life Insurance Company #1 (Universal Life)	A	Dividend	K	T					
7. BancorpSouth Checking #1	A	Interest	J	T					
8. Profit Sharing Account #1 (H)									
9. American Funds - AMCAP Fund Class A	A	Dividend			Sold	06/08/17	M		
10. American Funds - American Balanced Funds Class A	B	Dividend			Sold	06/08/17	N		
11. American Funds - American High Income Trust Class A	D	Dividend			Sold	06/08/17	N		
12. American Funds - American Mutual Funds Class A	B	Dividend			Sold	06/08/17	M		
13. American Funds - Bond Fund of America Class A	A	Dividend			Sold	06/08/17	M		
14. American Funds - Capital Income Builder Fund Class A	A	Dividend			Sold	06/08/17	L		
15. American Funds - Capital World Growth & Income Fund Class A	A	Dividend			Sold	06/08/17	K		
16. American Funds - Europacific Growth Fund Class A	A	Dividend			Sold	06/08/17	K		
17. American Funds - Growth Fund of America Class A	A	Dividend			Sold	06/08/17	M		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. American Funds - Income Fund of America Fund Class A	A	Dividend			Sold	06/08/17	L		
19. American Funds - Investment Company of America Fund Class A	A	Dividend			Sold	06/08/17	L		
20. American Funds - New Economy Fund Class A	A	Dividend			Sold	06/08/17	M		
21. American Funds - New Perspective Fund Class A	A	Dividend			Sold	06/08/17	M		
22. American Funds - New World Fund Class A	A	Dividend			Sold	06/08/17	K		
23. Equitable Life Insurance Company Policy #2 (whole life)	D	Dividend	M	T					
24. Money Purchase Plan Account (H)									
25. American Funds - AMCAP Fund Class A	A	Dividend			Sold	06/07/17	K		
26. American Funds - American Balanced Funds Class A	A	Dividend			Sold	06/07/17	M		
27. American Funds - American Mutual Fund Class A	A	Dividend			Sold	06/07/17	M		
28. American Funds - Bond Fund of America Class A	A	Dividend			Sold	06/07/17	K		
29. American Funds - Capital Income Builder Fund Class A	A	Dividend			Sold	06/07/17	L		
30. American Funds - Capital World Growth & Income Fund Class A	A	Dividend			Sold	06/07/17	K		
31. American Funds - Growth Fund of America Class A	A	Dividend			Sold	06/07/17	K		
32. American Funds - Income Fund of America Fund Class A	A	Dividend			Sold	06/07/17	M		
33. American Funds - Investment Company of America Fund Class A	A	Dividend			Sold	06/07/17	L		
34. American Funds - New Economy Fund Class A	A	Dividend			Sold	06/07/17	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. American Funds - New Perspective Fund Class A	A	Dividend			Sold	06/07/17	K		
36. Brokerage Account #2 (H)									
37. Murphy Oil Corp (X)	A	Dividend	J	T					
38. Murphy USA (X)	A	Dividend	J	T					
39. American Funds - Fundamental Investors Fund Class A	A	Dividend	K	T					
40. American Funds - Growth Fund of America Class A	A	Dividend	K	T					
41. American Funds - New World Fund Class A	A	Dividend	K	T					
42. Profit Sharing Account #2 (H)									
43. Vanguard Money Market Fund	A	Interest	K	T	Buy	06/08/17	K		
44. Vanguard Short Term Bond Fund ETF	B	Interest	N	T	Buy	06/08/17	N		
45. Vanguard Short Term Inflation Protected ETF	D	Dividend	N	T	Buy	06/08/17	N		
46. Vanguard Short Term Corporate Bond ETF	D	Dividend	N	T	Buy	06/08/17	N		
47. Vanguard CRSP US Mid Cap Value Index ETF	B	Dividend	L	T	Buy	06/08/17	L		
48. Vanguard CRSP US Mid Cap Growth Index ETF	A	Dividend	K	T	Buy	06/08/17	K		
49. DFA International Core Equity PTF Mutual Fund	C	Dividend	M	T	Buy	06/08/17	M		
50. DFA Emerging Markets Core Equity I Mutual Fund	C	Dividend	M	T	Buy	06/08/17	M		
51. DFA US Small Cap Value Port Mutual Fund	A	Dividend	L	T	Buy	06/08/17	L		

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52. DFA US Large Cap Value I Mutual Fund	D	Dividend	N	T	Buy	06/08/17	N		
53. DFA International Large Cap Growth Portfolio Mutual Fund	A	Dividend	L	T	Buy	06/08/17	K		
54. DFA US Small Cap Growth Instl Porfolio Mutual Fund	A	Dividend	K	T	Buy	06/08/17	K		
55. DFA US Large Cap Growth Portfolio Instl Mutual Fund	C	Dividend	M	T	Buy	06/08/17	M		
56. DFA Global Real Estate Securities PTF Mutual Fund	C	Dividend	M	T	Buy	06/08/17	M		
57. DFA International Value I Mutual Fund	C	Dividend	M	T	Buy	06/08/17	M		
58.									
59.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Column D (4) on Lines 9-22 and 25-35 in Part VII does not list the amount of the gain because the record keeper does not keep and could not furnish the basis information for the assets in these tax deferred accounts.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Susan O. Hickey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544