

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Piersol, Lawrence L.	2. Court or Organization U.S. District Court, SD	3. Date of Report 07/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Federal Courthouse, Suite 202 400 South Phillips Avenue Sioux Falls, SD 57104-6851		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	John T. Vucurevich Foundation, a charitable foundation.
2.		
3.		
4.		
5.		
6.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2013	Spouse: self-employed attorney until September 2013, then retired.
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. FJA Strategic Meeting	February 21-23	Dallas, TX	Board Meeting	Travel expenses reimbursed
2. FJA Board Meeting	April 23-26	Washington, DC	Board Meeting	Travel expenses reimbursed
3. John T. Vucurevich Foundation	June 20-21	Rapid City, SD	Board Meeting	Travel expenses reimbursed
4. John T. Vucurevich Foundation	September 19-20	Rapid City, SD	Board Meeting	Travel expenses reimbursed
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Susman Godfrey LLP	Legal Services	\$26,658.91
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	First Bank and Trust	Note: Commercial Properties	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. National Housing Partnership	A	Dividend	J	W					
2. Farm #1	D	Rent	L	W					
3. Merrill Lynch Latin America Fund (IRA)	A	Dividend			Sold	05/15/13	J	B	
4. The Equitable, IRA	A	Dividend	J	W					
5. IBM	A	Dividend	K	T					
6. Verizon Communications	A	Dividend	K	T					
7. Viacom Inc.	A	Dividend	J	T					
8. American Express Co.	A	Dividend	K	T					
9. Bristol-Myers Squibb	A	Dividend	K	T					
10. Ford Mtr. Co. Del.	A	Dividend	J	T					
11. General Electric Corp.	A	Dividend	K	T					
12. RJR Nabisco Group	A	Dividend	J	T					
13. Safeway	A	Dividend	J	T					
14. Associates First Cap.	A	Dividend	J	T					
15. Charles Schwab Co. Money Market (J)	A	Interest	M	T					
16. Ckg Accts, (2) First Bank & Trust, SxFalls & Bkgs, SD	A	Interest	J	T					
17. Ckg Accts, (2) First Natl Bank, SxFalls, SD	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. Mutual Beacon Fund	B	Dividend	L	T					
19. Sogen Overseas Fund (now called First Eagle Overseas Fund)	A	Dividend	M	T					
20. Schwab Money Market	A	Interest	M	T					
21. Commercial Property Parcel 1 (Minnehaha Co., SD)	F	Rent	O	W					
22. Commercial Property Parcel 2 (Minnehaha Co., SD)	E	Rent	N	W					
23. Capital Guardian Trust (Closed End Mutual Fund)	C	Dividend			Sold	07/05/13	M	G	
24. Merrill Lynch Money Market	D	Interest	J	T					
25. Templeton Dragon Fund	A	Dividend	M	T					
26. Apartment Interest (Minnehaha Co., SD)	E	Rent	N	W					
27. Mutual Discovery Fund	A	Dividend	M	T					
28. Exxon Stock	B	Dividend	M	T					
29. Vanguard Total Stock Mkt Idx Adm	E	Dividend	M	T					
30. Farm #2 (Moody Co., SD)	D	Rent	O	W					
31. Proctor & Gamble Co.	A	Dividend	J	T					
32. SBC Communications, Inc.	A	Dividend	K	T					
33. Dominion Resources	A	Dividend	J	T					
34. Excelsior Income Shares	A	Dividend	J	T					

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35. Johnson & Johnson	A	Dividend	J	T					
36. LG&E Energy Corp.	A	Dividend	J	T					
37. Merck & Co., Inc.	A	Dividend	J	T					
38. Microsoft	A	Dividend	K	T					
39. Cisco System, Inc. Co.	A	Dividend	J	T					
40. Berkshire Hathaway B	A	Dividend	M	T					
41. Visteon Corp.	A	Dividend	J	T					
42. Ameriprise Fin. Inc.	A	Dividend	J	T					
43. Zimmer Hlds Inc.	A	Dividend	J	T					
44. Real Property Bare Land #1 (Lake Co., SD)		None	L	W					
45. Real Property #2 (Lake Co., SD)	B	Rent	L	W					
46. AT&T, Inc. (X)	A	Dividend	K	T					
47. Adams Express Co. (X)	A	Dividend	J	T					
48. Alliant Energy Corp. (X)	A	Dividend	J	T					
49. Ameren Corporation (X)	A	Dividend	J	T					
50. Black Hills Corp. (X)	A	Dividend	J	T					
51. Centurylink, Inc. (X)	A	Dividend	J	T					

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52. Comcast Corp. New CL A (X)	A	Dividend	J	T					
53. DNP Select Income Fund (X)	A	Dividend	J	T					
54. Energen Corp. (X)	A	Dividend	J	T					
55. FT Dearborn Inc. Sec Inc. (X)	A	Dividend	J	T					
56. Frontier Communications (X)	A	Dividend	J	T					
57. The Southern Company (X)	A	Dividend	J	T					
58. Xcel Energy Inc. (X)	A	Dividend	K	T					
59. First Eagle Overseas (X)	A	Dividend	M	T					
60. CBS Corp (X)	A	Dividend	J	T					
61. Real Property (Lawrence Co., SD) (X)		None	K	W					
62. Deere & Co. Stock	A	Dividend	K	T					
63. BBCCP Conductor Inc.		None	K	W	Buy	01/02/13	K		
64. General Motors		None	J	T	Buy	12/23/13	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

V. GIFTS. I was one of seven named plaintiffs in Barker, et al. v. United States, Case No. 1:12-cv-00826 (Fed.Cl.), brought to challenge the denial of Article III judges' cost-of-living adjustments (COLAs) over multiple years. Attorneys fees and costs were expended between November 2012 through December 31, 2013 in the total amount of \$186,612.35 on a pro bono basis by the law firm of Susman Godfrey, LLP, 1201 Third Avenue, Suite 3800, Seattle, WA 98101 who served as plaintiffs' counsel without compensation or reimbursement from me or the other plaintiffs.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Lawrence L. Piersol**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544