

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ryu, Donna M.	2. Court or Organization United States District Court; Northern District of California	3. Date of Report 01/10/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full-Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States District Court 1301 Clay Street Oakland, CA 94612		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Co-Trustee	Revocable Trust Agreement re family member's estate
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. July 2002	University of California Retirement Plan (pension on retirement)
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 20

Name of Person Reporting

Ryu, Donna M.

Date of Report

01/10/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 20

Name of Person Reporting

Ryu, Donna M.

Date of Report

01/10/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 20

Name of Person Reporting

Ryu, Donna M.

Date of Report

01/10/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account - Indv. (H)									
2. -Dimensional Funds Emerging Market (DFEVX)		None	J	T	Sold (part)	05/05/15	J	A	
3. -Dimensional Funds Int'l Value (DFIVX)		None	J	T	Sold (part)	01/30/15	J	A	
4. -Dimensional Funds Int'l Value (DFIVX)	A				Sold (part)	05/05/15	J	A	
5. -Dimensional Funds US Large Value (DFLVX)	A	Dividend	J	T	Buy (add'l)	03/09/15	J		
6. -Dimensional Funds US Large Value (DFLVX)	A				Sold (part)	01/30/15	J	A	
7. -Dimensional Funds US Large Value (DFLVX)	A				Sold (part)	05/05/15	J	A	
8. -Dimensional Funds US Small Value (DFSVX)	A	Dividend	J	T	Buy (add'l)	03/09/15	J		
9. -Dimensional Funds US Small Value (DFSVX)	A				Sold (part)	01/30/15	J	A	
10. -Dimensional Funds US Small Value (DFSVX)	A				Sold (part)	05/05/15	J	A	
11. -Dimensional Funds Int'l Small Value (DISVX)	A				Sold (part)	01/30/15	J	A	
12. -Dimensional Funds Int'l Small Value (DISVX)	C				Sold (part)	05/05/15	J	A	
13. -Dimensional Funds Inter Term Bond (DFTEX)	A	Dividend	J	T	Buy (add'l)	02/09/15	J		
14. -Dimensional Funds Inter Term Bond (DFTEX)	A				Sold (part)	01/30/15	J	A	
15. -Dimensional Funds Inter Term Bond (DFTEX)	A	Dividend	J	T	Buy (add'l)	03/09/15	J		
16. -Dimensional Funds Inter Term Bond (DFTEX)	A	Dividend	J	T	Buy (add'l)	04/09/15	J		
17. -Dimensional Funds Inter Term Bond (DFTEX)	A	Dividend	J	T	Buy (add'l)	06/08/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 20

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Ryu, Donna M.

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. -Dimensional Funds Global Equity (DGEIX)					Buy	05/05/15	K		
19. -Dimensional Funds Global Equity (DGEIX)	A	Dividend	J	T	Buy (add'l)	06/08/15	J		
20. -Money Market Fund (SWSXX)	A	Dividend	J	T	Buy (add'l)	06/08/15	J		
21.									
22. Brokerage Account - SEP IRA (H)									
23. -Money Market Fund (SWSXX)	A	Dividend	J	T	Buy (add'l)	01/30/15	J		
24. -Dimensional Funds Int'l Value (DFIVX)					Buy (add'l)	01/30/15	J		
25. -Dimensional Funds Int'l Value (DFIVX)	B	Dividend	J	T	Buy (add'l)	06/08/15	J		
26. -Dimensional Funds US Large Value (DFLVX)	A	Dividend	J	T	Buy (add'l)	03/09/15	J		
27. -Dimensional Funds US Large Value (DFLVX)	A	Dividend	J	T	Buy (add'l)	06/08/15	J		
28. -Dimensional Funds US Small Value (DFSVX)	A	Dividend	J	T	Buy (add'l)	03/09/15	J		
29. -Dimensional Funds US Small Value (DFSVX)	A	Dividend	J	T	Buy (add'l)	06/08/15	J		
30. -Dimensional Funds Int'l Small Value (DISVX)	A	Dividend	J	T	Buy (add'l)	06/08/15	J		
31. -Dimensional Funds Int'l Small Value (DISVX)					Buy (add'l)	01/30/15	J		
32. -Dimensional Funds Inter Term Bond (DFTEX)	A	Dividend	J	T	Buy (add'l)	02/09/15	J		
33. -Dimensional Funds Inter Term Bond (DFTEX)	A	Dividend	J	T	Buy (add'l)	03/09/15	J		
34. -Dimensional Funds Inter Term Bond (DFTEX)	A	Dividend	J	T	Buy (add'l)	04/09/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 20

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35. -Dimensional Funds Inter Term Bond (DFTEX)	A	Dividend	J	T	Buy (add'l)	05/08/15	J		
36.									
37. Brokerage Account - Roth IRA (H)									
38. -Money Market Fund (SWSXX)	A	Dividend	J	T	Buy (add'l)	02/09/15	J		
39. -Dimensional Funds Inter Term Bond (DFTEX)	A	Dividend	J	T	Buy (add'l)	02/09/15	J		
40. -Dimensional Funds Inter Term Bond (DFTEX)	A	Dividend	J	T	Buy (add'l)	03/09/15	J		
41. -Dimensional Funds Inter Term Bond (DFTEX)	A	Dividend	J	T	Buy (add'l)	04/09/15	J		
42. -Dimensional Funds Inter Term Bond (DFTEX)	A	Dividend	J	T	Buy (add'l)	05/08/15	J		
43. -Dimensional Funds Inter Term Bond (DFTEX)	A	Dividend	J	T	Buy (add'l)	06/08/15	J		
44. -Dimensional Funds Global Equity (DGEIX)	A	Dividend	J	T	Buy (add'l)	03/09/15	J		
45. -Dimensional Funds Global Equity (DGEIX)	A	Dividend	J	T	Buy (add'l)	06/08/15	J		
46.									
47. University of California CAP (H)									
48. -Fixed Account (no selection of funds available)	A	Interest	J	T					
49.									
50. TIAA- CREF 529 College Savings Plan (H)									
51. -Age Based Portfolio - 18 & Over Index	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 20

Name of Person Reporting

Ryu, Donna M.

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52.									
53. Wells Fargo Bank Accounts	A	Int./Div.	J	T					
54.									
55. Provident Credit Union Accounts	A	Int./Div.	J	T					
56.									
57. ING Direct Accounts	A	Int./Div.	L	T					
58.									
59. Brokerage--Indiv. TOD (H)									
60. -Federated Capital Reserves		None			Buy	09/01/15	J		
61. -DFA Intermediate Term Extended Quality Portfolio (DFTEX)	A	Dividend	J	T	Buy (add'l)	09/10/15	J		
62. -DFA Global Equity Portfolio (DGEIX)	A	Dividend	J	T	Buy (add'l)	09/10/15	J		
63. -DFA Intermediate Term Extended Quality Portfolio (DFTEX)	A	Dividend	J	T	Buy (add'l)	10/09/15	J		
64. -Federated Capital Reserves					Redeemed	10/20/15	J		
65.									
66. Brokerage--IRA Rollover (H)									
67. -Pershing Prime Reserves		None			Redeemed	09/10/15	J	A	
68. -Pershing Prime Reserves		None	J	T	Buy	09/11/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 8 of 20

Name of Person Reporting

Ryu, Donna M.

Date of Report

01/10/2017

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69. -Pershing Prime Reserves					Buy (add'l)	10/02/15	J		
70. -DFA Intermediate Term Extended Quality Portfolio (DFTEX)	D	Dividend	K	T	Buy (add'l)	10/02/15	J		
71. -DFA Emerging Markets Fund (DFEVX)	D	Dividend	J	T	Buy (add'l)	10/02/15	J		
72. -DFA International Small-Cap Value Fund (DISVX)	B	Dividend	J	T	Buy (add'l)	10/02/15	J		
73. -DFA U.S. Small-Cap Value Fund (DFSVX)	C	Dividend	J	T	Buy (add'l)	10/02/15	J		
74. -DFA U.S. Large-Cap Value Fund (DFLVX)	B	Dividend	J	T	Buy (add'l)	10/02/15	J		
75. DFA International Value Fund (DFIVX)	B	Dividend	J	T	Buy (add'l)	10/02/15	J		
76.									
77. Brokerage--Roth IRA (H)									
78. -Pershing Prime Reserves		None			Buy	09/01/15	J		
79. -DFA Intermediate Term Extended Quality Portfolio (DFTEX)	A	Dividend	J	T	Buy (add'l)	09/10/15	J		
80. -DFA Global Equity Portfolio	A	Dividend	J	T	Buy (add'l)	09/10/15	J		
81. -Pershing Prime Reserves					Buy (add'l)	09/11/15	J		
82. -Pershing Prime Reserves					Redeemed	09/11/15	J		
83.									
84. Brokerage--Indiv. TOD (H)									
85. -DFA Global Equity Portfolio (DGEIX)		None			Sold	10/21/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 9 of 20

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86. -DFA Intermediate Term Extended Quality Portfolio (DFTEX)		None			Sold	10/21/15	J	A	
87. -Wilshire Large Company Growth Fund (DTLGX)		None	J	T	Buy	10/22/15	J		
88. -Doubleline Total Return Bond Fund (DLTNX)			J	T	Buy	10/22/15	J		
89. -Blackrock High Yield Bond Fund (BHYAX)			J	T	Buy	10/22/15	J		
90. -Wilshire Large Cap Core Fund (WLCTX)			J	T	Buy	10/22/15	J		
91. -Guggenheim Total Return Bond Fund (GIBAX)			J	T	Buy	10/22/15	J		
92. -Templeton Global Bond Fund (TPINX)			J	T	Buy	10/22/15	J		
93. -Wilshire Small Company Growth Fund (DTSGX)	A	Dividend	J	T	Buy	10/22/15	J		
94. -Wilshire Small Company Value Fund (DTSVX)			J	T	Buy	10/22/15	J		
95. -Fidelity U.S. Bond Index Portfolio (FBIDX)			J	T	Buy	10/22/15	J		
96. -Wilshire Large Company Value Fund (DTLVX)			J	T	Buy	10/22/15	J		
97. GIBAX	A	Dividend	J	T	Buy (add'l)	11/02/15	J		
98. FBIDX	A	Dividend	J	T	Buy (add'l)	11/02/15	J		
99. BHYAX	A	Dividend	J	T	Buy (add'l)	11/02/15	J		
100. DLTNX	A	Dividend	J	T	Buy (add'l)	11/03/15	J		
101. TPINX	A	Dividend	J	T	Buy (add'l)	11/17/15	J		
102. GIBAX	A	Dividend	J	T	Buy (add'l)	12/01/15	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 20

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103. FBIDX	A	Dividend	J	T	Buy (add'l)	12/01/15	J		
104. BHYAX	A	Dividend	J	T	Buy (add'l)	12/01/15	J		
105. DLTNX	A	Dividend	J	T	Buy (add'l)	12/02/15	J		
106. GIBAX	A	Dividend	J	T	Buy (add'l)	12/04/15	J		
107. TPINX	A	Dividend	J	T	Buy (add'l)	12/16/15	J		
108. WLCTX	A	Dividend	J	T	Buy (add'l)	12/31/15	J		
109. DTSVX	A	Dividend	J	T	Buy (add'l)	12/31/15	J		
110. DTLVX	A	Dividend	J	T	Buy (add'l)	12/31/15	J		
111.									
112. Brokerage--IRA Rollover (H)									
113. -DFA Emerging Markets Value Fund (DFEVX)		None			Sold	10/05/15	K	A	
114. -DFA U.S. Large-Cap Value Fund (DFLVX)		None			Sold	10/05/15	L	D	
115. -DFA U.S. Small-Cap Value Fund (DFSVX)		None			Sold	10/05/15	L	D	
116. -DFA International Value Fund (DFIVX)		None			Sold	10/05/15	L	A	
117. -DFA International Small-Cap Value Fund (DISVX)		None			Sold	10/05/15	L	D	
118. -DFA Intermediate Term Extended Quality Portfolio (DFTEX)		None			Sold	10/05/15	L	A	
119. -WISDOMTREE TR MANAGED FUTURES STRATEGY FD (WDTI)		None	J	T	Buy	10/06/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 20

Name of Person Reporting

Ryu, Donna M.

Date of Report

01/10/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -VANGUARD MALVERN FDSSHORT- TERM INFLATIONPROTECTED SEC. ETF (VTIP)		None	J	T	Buy	10/06/15	J		
121. -OPPENHEIMER STEELPATH MLP SELECT 40 FUND CLASS Y (MLPTX)	A	Dividend			Buy	10/06/15	J		
122. -SPDR SER TR BARCLAYS1-3 T BILL ETF (BIL)		None			Buy	10/06/15	K		
123. -SPDR GOLD TR GOLD SHS (GLD)		None	J	T	Buy	10/06/15	J		
124. -PUTNAM ABSOLUTE RETURN 700 FUND CLASS Y (PDMYX)			J	T	Buy	10/06/15	J		
125. -POWERSHARES ETF FD TR II S&P 500 LOW (SPLV) VOLATILITY			K	T	Buy	10/06/15	K		
126. -PIONEER LONG/SHORT BOND FUND CLASS Y (LSGYX)			J	T	Buy	10/06/15	J		
127. -NEUBERGER BERMAN LONG SHORT FUND INSTITUTIONAL CLASS (NLSIX)			J	T	Buy	10/06/15	J		
128. -MERGER FUND (MERFX)			J	T	Buy	10/06/15	J		
129. -MARKET VECTORS ETF TR GOLD MINERS ETF FD (GDX)		None			Buy	10/06/15	J		
130. -LORD ABBETT FLOATING RATE FUND CLASS F (LFRFX)			J	T	Buy	10/06/15	J		
131. -JOHN HANCOCK GLOBAL ABSOLUTE RETURN STRATEGIES FD CL A (JHAAX)		None	J	T	Buy	10/06/15	J		
132. -JOHN HANCOCK ABSOLUTE RETURN CURRENCY FUND CLASS A (JCUAX)		None	J	T	Buy	10/06/15	J		
133. -ISHARES TR MSCI USA VALUE FACTOR ETF (VLUE)			K	T	Buy	10/06/15	K		
134. -ISHARES TR MSCI USA QUALITY FACTOR ETF (QUAL)			K	T	Buy	10/06/15	J		
135. -ISHARES TR MSCI USA MINIMUM VOLATILITY ETF (USMV)			J	T	Buy	10/06/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 12 of 20

Name of Person Reporting

Ryu, Donna M.

Date of Report

01/10/2017

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. -ISHARES INC MSCI ALLCOUNTRY WORLD MINIMUM VOLATILITY ETF (ACWV)			J	T	Buy	10/06/15	J		
137. -INDEX IQ ETF TR IQ MERGER ARBITRAGE ETF (MNA)	A	Dividend	J	T	Buy	10/06/15	J		
138. -INDEXIQ ETF TR IQ HEDGE MACRO TRACKER ETF (MCRO)		None	J	T	Buy	10/06/15	J		
139. -DEUTSCHE ALTRNTIVE ASSET ALLOCATION FUND CLASS S (AAASX)		None			Buy	10/06/15	J		
140. -AQR MANAGED FUTURES STRATEGY FUND CLASS I (AQMIX)			J	T	Buy	10/06/15	J		
141. -CAUSEWAY GLOBAL ABSOLUTE RETURN FUND INSTITUTIONAL CLASS (CGAIX)			J	T	Buy	10/07/15	J		
142. -CLS GLOBAL GROWTH FUND CLASS N (CLBLX)			K	T	Buy	10/07/15	K		
143. -CLS LONG/SHORT FUND CLASS N (CLEIX)					Buy	10/07/15	K		
144. -CLS FLEXIBLE INCOME FUND CLASS N (CLFLX)			K	T	Buy	10/07/15	K		
145. -CLS GROWTH AND INCOME FUND CLASS N (CLERX)			L	T	Buy	10/07/15	L		
146. -CLS GLOBAL DIVERSIFIED EQUITY FUND CLASS N (CLSAX)			L	T	Buy	10/07/15	L		
147. -SPDR SER TR BARCLAYS1-3 T BILL ETF (BIL)					Sold	10/29/15	K		
148. -POWERSHARES EXCHANGE-TRADED FD TR II S&P 500 LOW VOL (SPLV)					Sold	10/29/15	K		
149. -ISHARES TR MSCI USA MINIMUM VOLATILITY ETF (USMV)					Sold	10/29/15	K		
150. -ISHARES TR MSCI USA QUALITY FACTOR ETF (QUAL)					Sold	10/29/15	K		
151. -ISHARES TR MSCI EAFEETF (EFA)		None			Buy	10/29/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 20

Name of Person Reporting

Ryu, Donna M.

Date of Report

01/10/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
152. -ISHARES TR S&P 500 VALUE ETF (IVE)			J	T	Buy	10/29/15	J		
153. -ISHARES TR S&P 500 GROWTH ETF (IVW)			K	T	Buy	10/29/15	K		
154. -DEUTSCHE X-TRACKERS MSCI EUROPE HEDGED EQUITY ETF (DBEU)		None	J	T	Buy	10/29/15	J		
155. -CLBLX					Sold	11/03/15	J		
156. -USMV					Buy	11/03/15	J		
157. -CLERX					Sold	11/04/15	J		
158. -CLSAX					Sold	11/04/15	J		
159. -EFA					Sold	11/05/15	J		
160. -USMV					Buy	11/05/15	J		
161. -CLBLX					Buy	11/05/15	J		
162. -CLEIX					Buy	11/05/15	J		
163. -CLFLX					Buy	11/05/15	J		
164. -CLERX					Buy	11/05/15	J		
165. -CLSAX					Buy	11/05/15	J		
166. -MLPTX					Sold	11/06/15	J		
167. -AMLX					Buy	11/06/15	J		
168. -DBEU					Sold	11/16/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 20

Name of Person Reporting

Ryu, Donna M.

Date of Report

01/10/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
169. -SPLV					Buy	11/16/15	J		
170. -AAASX					Sold	12/01/15	J		
171. -COLUMBIA ADAPTIVE ALTS FUND (CLFUX)			J	T	Buy	12/01/15	J		
172. -CLEIX					Sold	12/07/15	J		
173. -CLEIX					Sold	12/07/15	J		
174. -CLSAX					Sold	12/07/15	J		
175. -CLFLX					Buy	12/07/15	J		
176. -CLS GLOBAL AGGRESSIVE EQUITY (CLACX)			J	T	Buy	12/07/15	J		
177. -CLACX					Buy	12/07/15	J		
178. -CLERX					Buy	12/07/15	J		
179. -SPLV					Sold	12/16/15	J		
180. -DBEU					Buy	12/16/15	J		
181. -AML P					Sold	12/18/15	J		
182. -VANGUARD ENERGY FUND (VGEXX)			J	T	Buy	12/18/15	J		
183. -JHAAX					Sold	12/21/15	J		
184. -CLBLX					Sold	12/21/15	J		
185. -CLFLX					Sold	12/21/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 20

Name of Person Reporting

Ryu, Donna M.

Date of Report

01/10/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
186. -CLERX					Sold	12/21/15	J		
187. -SPLV					Buy	12/21/15	J		
188. -DBEU					Sold	12/22/15	J		
189. -SPLV					Buy	12/22/15	J		
190. -CLBLX					Buy	12/22/15	J		
191. -CLFLX					Buy	12/22/15	J		
192. -CLERX					Buy	12/22/15	J		
193. -CLSAX					Buy	12/22/15	J		
194. -SPLV	A	Dividend	J	T	Buy (add'l)	10/30/15	J		
195. -CLEIX	A	Dividend	J	T	Buy (add'l)	10/30/15	J		
196. -CLFLX	A	Dividend	J	T	Buy (add'l)	10/30/15	J		
197. -LFRFX	A	Dividend	J	T	Buy (add'l)	11/02/15	J		
198. -MLPTX	A	Dividend	J	T	Buy (add'l)	11/09/15	J		
199. -AML P	A	Dividend	J	T	Buy (add'l)	11/18/15	J		
200. -SPLV	A	Dividend	J	T	Buy (add'l)	11/30/15	J		
201. -CLFLX	A	Dividend	J	T	Buy (add'l)	11/30/15	J		
202. -LFRFX	A	Dividend	J	T	Buy (add'l)	12/01/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 20

Name of Person Reporting

Ryu, Donna M.

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01/10/2017

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
203. -PDMYX	A	Dividend	J	T	Buy (add'l)	12/22/15	J		
204. CLFUX	A	Dividend	J	T	Buy (add'l)	12/22/15	J		
205. AQMIX	A	Dividend	J	T	Buy (add'l)	12/22/15	J		
206. LSGYX	A	Dividend	J	T	Buy (add'l)	12/24/15	J		
207. NLSIX	A	Dividend	J	T	Buy (add'l)	12/24/15	J		
208. VGENX	A	Dividend	J	T	Buy (add'l)	12/28/15	J		
209. ACWV	A	Dividend	J	T	Buy (add'l)	12/28/15	J		
210. MERFX	A	Dividend	J	T	Buy (add'l)	12/29/15	J		
211. CLFLX	A	Dividend	J	T	Buy (add'l)	12/29/15	J		
212. CLBLX	A	Dividend	J	T	Buy (add'l)	12/30/15	J		
213. CLACX	A	Dividend	J	T	Buy (add'l)	12/30/15	J		
214. CLERX	A	Dividend	J	T	Buy (add'l)	12/30/15	J		
215. CLSAX	A	Dividend	J	T	Buy (add'l)	12/30/15	J		
216. SPLV	A	Dividend	J	T	Buy (add'l)	12/31/15	J		
217. VLUE	A	Dividend	J	T	Buy (add'l)	12/31/15	J		
218. QUAL	A	Dividend	J	T	Buy (add'l)	12/31/15	J		
219. USMV	A	Dividend	J	T	Buy (add'l)	12/31/15	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 20

Name of Person Reporting

Ryu, Donna M.

Date of Report

01/10/2017

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220. IVE	A	Dividend	J	T	Buy (add'l)	12/31/15	J		
221. IVW	A	Dividend	J	T	Buy (add'l)	12/31/15	J		
222. CGAIX	A	Dividend	J	T	Buy (add'l)	12/31/15	J		
223.									
224. Brokerage--Roth IRA (H)									
225. -DFA Global Equity Portfolio (DGEIX)		None			Sold	10/07/15	J	A	
226. -DFA Intermediate Term Extended Quality Portfolio (DFTEX)		None			Sold	10/07/15	J	A	
227. -Vanguard Total International Stock Index Fund ETF (VXUS)			J	T	Buy	10/08/15	J		
228. -Vanguard Total Stock Market ETF (VTI)			J	T	Buy	10/08/15	J		
229. -Vanguard Total Bond Market ETF (BND)			J	T	Buy	10/08/15	J		
230. -Vanguard Total International Bond Index ETF (BNDX)			J	T	Buy	10/08/15	J		
231. BNDX	A	Dividend	J	T	Buy (add'l)	11/06/15	J		
232. BND	A	Dividend	J	T	Buy (add'l)	11/06/15	J		
233. BNDX	A	Dividend	J	T	Buy (add'l)	12/07/15	J		
234. BND	A	Dividend	J	T	Buy (add'l)	12/07/15	J		
235. VTI	A	Dividend	J	T	Buy (add'l)	12/28/15	J		
236. VXUS	A	Dividend	J	T	Buy (add'l)	12/28/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 20

Name of Person Reporting

Ryu, Donna M.

Date of Report

01/10/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
237. BNDX	A	Distribution	J	T	Buy (add'l)	12/30/15	J		
238. BND	A	Dividend	J	T	Buy (add'l)	12/30/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 20

Name of Person Reporting	Date of Report
Ryu, Donna M.	01/10/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, and Part VII.

Part I:

Line 1: Trust for the benefit of family member.

-I am not the owner or grantor of the trust, I am solely the co-trustee of a revocable trust created for the benefit of a family member.

-I am a co-trustee for the convenience of my family member, and I have not received any income through the trust or trust assets. In an abundance of caution, I had previously listed the trust property (my mother's house) in Section VII, but that have since been instructed not to list the house in Section VII, since it is not an investment, and I do not receive any income of any kind from the house or the trust.

PartVII:

Lines 3-37: The University of California Capital Accumulation Provision (CAP) account is a supplemental benefit plan held by the University of California. I have no input in selecting how these funds are invested.

Part VII:

Lines 86, 225 and 226 were held and reported in 2014 (See Lines 13, 14, 33 and 34 of my 2014 report). In 2015, I changed brokers and these assets were transferred to the Brokerage -- Individual TOD account.

FINANCIAL DISCLOSURE REPORT

Page 20 of 20

Name of Person Reporting

Ryu, Donna M.

Date of Report

01/10/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Donna M. Ryu**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544