

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Barrett, Michael R.	2. Court or Organization U.S. District Court	3. Date of Report 04/23/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Potter Stewart US Courthouse 100 East 5th Street, Room 239 Cincinnati, Ohio 45202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	[REDACTED] Trust
2.	Partner	Old Walnut Company
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. US Bank IRA Account	D	Dividend	N	T					
2. - Am. Century Sm. Cap (mutual fund)									
3. - Am. Century Equity Income (mutual fund)									
4. - Blackrock Basic Value Fund Inc. (mutal fund)									
5. - Eaton Vance Large Cap CI A (mutal fund)									
6. - Fidelity Investment Tr. Ad Intl Discovery (mutual fund)									
7. - Nuveen Inflation Pro Sec (bond)									
8. - Nuveen Core Bond Fd (bond)									
9. - Nuveen Dividend Value Fd (mutual fund)									
10. - Nuveen Mid Cap Growth (mutal fund)									
11. - Nuveen Mid Cap Value (mutal fund)									
12. - Nuveen Real Estate Secs. Fund (mutal fund)									
13. - Nuveen Small Cap Select (mutal fund)									
14. - Nuveen Small Cap Value (mutal fund)									
15. - Laudus Intl Marketmasters (mutal fund)									
16. - T. Rowe Price Mid Cap Value (mutal fund)									
17. - T. Rowe Price Value Fund (mutal fund)									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - T. Rowe Price Equity (mutual fund)									
19. - First American Treasury (cash account)									
20. Procter & Gamble (common stock)	C	Dividend	L	T					
21. Old Walnut Co. (Partnership)	A	Dividend	L	T					
22. Cincinnati Bell (common stock)	A	Dividend	J	T					
23. Western Southern Life Ins. Co.		None	K	W					
24. J.M. Smucker (Common stock)	A	Dividend	J	T					
25. St. Martin Association		None	J	W					
26. [REDACTED] Foundation	B	Dividend	K	T					
27. - Procter & Gamble (Common Stock)			K	T	Sold (part)	06/13/13	J		
28. - First American Treasury (cash)			J	T	Buy (add'l)	06/13/13	J		
29. US Bank Agency Account	D	Dividend	P1	T					
30. - First American Treasury (Cash)			O	T					
31. - Nuveen Core Plus Bond (bonds)			J	T					
32. - Nuveen Inflation Pro Sec (bonds)			J	T					
33. - Abbott Labs (Common stock)			L	T					
34. - Abbvie Inc. (Common Stock)			L	T	Spinoff (from line 33)	01/07/13	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - Capital One Financial Corp (common stock)			K	T					
36. - Procter & Gamble (common stock)			N	T					
37. - Eaton Vance Lg Cap Value (mutual fund)			J	T					
38. - Fidelity Ad. Int'l Disc. (mutual fund)			J	T					
39. - Nuveen Dividend Value Fd. (mutual fund)			J	T					
40. -Nuveen Mid Cap Value (mutual fund)			J	T					
41. - Nuveen Real Estate Sec. Fund (mutual fund)			J	T					
42. - Nuveen Small Cap. Select (mutual fund)			J	T					
43. - Laudus Int'l Marketmasters Fd Select (mutual)			J	T					
44. - T. Rowe Price Mid Cap (mutual fund)			J	T					
45. - T. Rowe Price Value (mutual fund)			J	T					
46. Trust # 1	D	Dividend	N	T					
47. - First American Treasury (cash)			K	T					
48. - Nuveen Strategic Income Fd (bond)			K	T					
49. - Amgen, Inc. (Common stock)			J	T					
50. - Apache Corp. (Common stock)			J	T					
51. - Apple, Inc. (Common stock)			K	T					

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52. - Boeing Co. (Common stock)			J	T					
53. - Cintas Corp. (Common stock)			J	T					
54. - Ciesco Systems (Common stock)			J	T					
55. - DuPont El De Nemours (Common stock)			J	T					
56. - Exxon Mobil Corp. (Common Stock)			J	T					
57. - Financial Select Sector Spdr Fd			J	T					
58. - Hill Rom Holdings (Common stock)			J	T					
59. - Intel Corp. (Common stock)			J	T					
60. - Omnicom Group (Common stock)			J	T					
61. - Pepsico, Inc. (Common stock)			J	T					
62. - Procter & Gamble (Common stock)			J	T					
63. - Starbucks (Common stock)			J	T					
64. - Texas Instrument (Common stock)			J	T					
65. - US Bancorp (Common stock)			J	T					
66. - United Health Group (Common stock)			J	T					
67. - Three M Co. (Common stock)			J	T					
68. - America Movil (Foreign stock)			J	T					

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69. - Novartis AG ADR (Foreign stock)			J	T					
70. - Schlumberger Ltd. (Foreign stock)			J	T					
71. - American Century Sm. Cap (mutual fund)			J	T					
72. - Ipath Dow Jones UBS (mutual fund)			J	T					
73. - Blackrock Capital Apprec. (mutual fund)			K	T					
74. - Eaton Vance Lg. Cap Value (mutual fund)			K	T					
75. - Nuveen Mid Cap Growth (mutual fund)			J	T					
76. - Nuveen Mid Cap Value (mutual fund)			J	T					
77. - Nuveen R.E. Sec (mutual fund)			J	T					
78. - Nuveen Sm. Cap Select (mutual fund)			J	T					
79. - Ishares Msci Emerging Mkts Index (mutual fund)			J	T					
80. - Lauder Int'l Marketmasters (mutual fund)			K	T					
81. - T. Rowe Price Int'l Growth (mutual fund)			J	T					
82. Bank of America (Common Stock) (X)	A	Dividend	J	T					
83. Western Union (Common Stock) (X)	A	Dividend	J	T					
84.									
85.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. Investments and Trusts:

1.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Michael R. Barrett**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544