

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Koschik, Alan M.	2. Court or Organization United States Bankruptcy Court, Northern District of Ohio	3. Date of Report 05/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address John F. Seiberling Federal Building and United States Courthouse 2 South Main Street, Room 240 Akron, Ohio 44308		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2000-2015	Brouse McDowell -- employer sponsored 401(k) retirement plan and profit sharing plan; Will soon be rolled over to IRA. No future contributions.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2016	Kindermusik of Cleveland, Hourly Wages
2. 2016	Solon Board of Education, Hourly Wages
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Akron Bar Association and Stark County Bar Association	04/8/2016	Hartville, Ohio	Attend White-Williams Bankruptcy Seminar	Registration Fee and Materials, and Lunch
2. Cleveland Metropolitan Bar Association	06/01/2016 to 06/02/2016	Cleveland, Ohio	Attend William J. O'Neill Bankruptcy Institute	Registration Fee and Materials, Lunches, and Speaker Dinner
3. Federal Bar Association	09/15/2016 to 09/16/2016	Cleveland, Ohio	Attend Federal Bar Association National Conference	Registration Fee and Materials, Lunches, Dinner (with spouse)
4. National Conference of Bankruptcy Judges	10/25/2016 to 10/29/2016	San Francisco, California	partial reimbursement for lodging during annual NCBJ Conference	two (2) nights lodging
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	AAA Financial Services	Revolving Credit Card	K
2.	Chase Bank	Revolving Credit Card	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. STRS Russell 2000 Index Fund		None	J	T					
2. STRS Large Cap Core Fund		None	J	T					
3. OPERS Large Cap Index Fund		None	J	T					
4. OPERS Small Cap Index Fund		None	J	T					
5. T. Rowe Price Equity Income Fund		None			Redeemed	11/30/16	M		To MFS Value R5
6. American Funds Growth Fund of America		None			Redeemed	11/30/16	M		To Lg Cap G/Jennison Fund
7. American Century Heritage Fund		None			Redeemed	11/30/16	M		To MassMutual Select Mid C
8. Federated Total Return Government Bond Fund		None			Redeemed	11/30/16	K		To Metro West Total Return
9. PIMCO Total Return Fund		None			Redeemed	11/30/16	K		To Metro West Total Return
10. MFS Value R5		None	M	T	Buy	11/30/16	M		Fr T.Rowe Price Equity Inc
11. Large Cap Growth/Jennison Fund		None	M	T	Buy	11/30/16	M		Fr Am Funds Growth Fund
12. MassMutual Select Mid Cap Growth Equity II		None	M	T	Buy	11/30/16	M		Fr Fed Total Ret & PIMCO
13. Metropolitan West Total Return Bond Plan		None	L	T	Buy	11/30/16	L		
14. Oppenheimer Equity Fund C	A	Dividend	K	T					
15. Oppenheimer Main Street Mid Cap Fund C	A	Dividend	K	T					
16. Oppenheimer Main Street Fund C	A	Dividend	K	T					
17. Oppenheimer Main Street Select Fund C	B	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Oppenheimer International Growth Fund C	A	Dividend	K	T					
19. Oppenheimer Global Opportunities Fund C	B	Dividend	K	T					
20. Oppenheimer Capital Income Fund C	A	Dividend	K	T					
21. Oppenheimer Global Allocation Fund C	A	Dividend	J	T					
22. NY Life MSVP Cornerstone Growth Fund		None	K	T					
23. NY Life ICAP Select Equity Fund		None	K	T					
24. NY Life VP Eagle Small Cap Int C1 Fund		None	K	T					
25. American Fund Money Market 529C		None	J	T	Sold (part)	01/11/16	J	C	Liquidated for college exp
26.					Sold (part)	09/23/16	J	A	Liquidated for college exp
27. Thrivent Financial for Lutherans Whole Life Insurance Policy	A	Dividend	J	T					
28. Key Bank, N.A., Cash Accounts	A	Interest	J	T					
29. Galler SB, LLC		None	M	W					
30.									
31.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Section VII, all of the items listed as Nos. 1-26 are funds in tax deferred retirement accounts (including 401(k) and 401(a) plans and rollover IRAs) or college 529 accounts. The income reported includes all income (dividend, interest, etc.) actually reported to me in the quarterly and annual statements I received. I assume that the remainder of the changes in value, including in those funds for which income is identified as "none," is from value appreciation (or depreciation) of the market value of the underlying investments. In a few instances, LT and ST capital gain figures were separately reported to me. I have included those amounts as income (although I included them as "dividends" since there is not option to select "capital gains"). To the extent other portions of the change in value could be attributed to some form of income, as opposed to market appreciation, those amounts have not been reported to me. This comment is intended to address the Committee's letter to me dated February 3, 2015, regarding my initial financial disclosure dated December 15, 2014. That letter noted that "all income is reportable, whether taxable, tax deferred, or tax exempt. . . . the amount of the dividends reinvested must be listed as income on your report. You may want to refer to pages 45 and 46 of the filing instructions." I have carefully reviewed the instructions, as I had before preparing my initial disclosure. As I did with my initial disclosure, this annual disclosure includes all income that has been reported to me.

The items in Item Nos. 5-9 in Section VII were rolled over on or about November 30, 2016, and reinvested in the fund listed as Item Nos. 10-13. This was done when former employer, Brouse McDowell, changed the administrator of its retirement plans from Huntington Bank, successor by merger to First Merit Bank, to Prudential. Item No. 5 was rolled into Item No. 10; Item No. 6 was rolled into Item No. 11; Item No. 7 was rolled into Item No. 12; and Item Nos. 8-9 were consolidated and rolled into Item No. 13.

The items listed at Nos. 28 and 29 (Key Bank cash accounts and wife's interest in Galler SB, LLC) appear for the first time in this report because they were overlooked in prior reports. Their value range was similar at the end of each of my prior reporting periods. My wife holds a 25 percent membership interest, identical to those of each of her three siblings, in Galler SB, LLC, a family limited liability company that holds title to a vacation home. The LLC was set up in early 2015 and each sibling, including my wife, transferred their respective 1/4 undivided share in the real estate into the LLC at that time. Therefore, my report for 2015 should have included this identical item. My report for 2014 should have reported my wife's 1/4 undivided share of the real estate, which is located in Suttons Bay, Michigan. Please accept this as my amendment to my prior reports.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alan M. Koschik

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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