

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Gershon , Nina	2. Court or Organization EDNY	3. Date of Report 05/13/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) USDJ senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 225 Cadman Plaza E. Brooklyn, NY 11201		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☒ **NONE** *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ **NONE** *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	-salary
2. 2012	miscellaneous
3. 2012	pension
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Bar Council	September 21-23, 2012	Skytop, PA	Bench-bar conference	room and board
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IBM comon stock	A	Dividend	K	T					
2. US Govt HH Bonds	A	Interest	K	T					
3. Fidelity Magellan IRA	A	Dividend	J	T					
4. Citibank Checking	A	Interest	J	T					
5. Chase CD (IRA)	A	Interest	J	T					
6. MorganStanley Active (Liquid) Assets	A	Dividend	J	T					
7. Chase Money Market	A	Interest	J	T					
8. Kaufmann Fund	D	Dividend	L	T					
9. Deferred Comp	D	Int./Div.	N	T					
10. NY Money Trust (MS)	A	Dividend	J	T					
11. Vanguard 500 (IRA)	A	Dividend	M	T					
12. Albany Co B Bond	A	Interest			Redeemed	03/15/12	J	A	
13. Fid Int. Govt Fund (IRA)	A	Interest	K	T					
14. Dreyfus US Int.Fund	A	Interest	J	T					
15. Vanguard GNMA Fund	B	Interest	K	T					
16. Dreyfus Mun. Bond Fund	B	Interest	K	T					
17. Vanguard GNMA (IRA)	B	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18. NYS Hsg Finance Agency Bond	A	Interest	K	T					
19. NYS EnvFacs Corp Bond	B	Interest	K	T					
20. Cohoes NY GOB	A	Interest	K	T					
21. NYS Env Facs Corp	A	Interest	K	T					
22. NY Env Facs Corp	B	Interest	K	T					
23. Citibank Market Rate Acct	A	Interest	J	T					
24. NYS TWY Auth	B	Interest	K	T					
25. Triboro B&T Auth	B	Interest	K	T					
26. SuffolkCty Public Impr. Bond	A	Interest	L	T					
27. Illinois GOB	C	Interest	L	T					
28. NYC GO-Ser-G Bond	A	Interest	J	T					
29. LA CA USD-A Bond	B	Interest	K	T					
30. Metropolitan W BE Bond	A	Interest	K	T					
31. Vanguard Total Bond Mkt Index Fund	B	Dividend	N	T					
32. NYC GO Ser L Bond	C	Interest	L	T					
33. NYS Dorm Auth Bond	A	Interest	K	T					
34. NYS TWY Auth Bond	B	Interest	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. NYC Mun Water Bond	A	Interest	K	T					
36. MTA NY Trans Bond	A	Interest	K	T					
37. NYC GOB ---X	C	Interest	L	T					
38. NYC Dorm Auth	A	Interest	K	T	Buy	03/19/12	K		
39. Vanguard 500	A	Dividend	J	T	Buy	12/17/12	K		
40. Nuveen Pre Inc Muni Fd	A	Interest	K	T	Buy	06/21/12	K		
41. Tribrg Tunl A	A	Interest	K	T	Buy	10/05/12	K		
42.									
43.									
44.									
45.									
46.									
47.									
48.									
49.									
50.									
51.									

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52.									
53.									
54.									
55.									
56.									
57.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

For Part VII.

The Bond on Line 37 was purchased on 4/11/11 and inadvertently omitted from the 2011 Report. The value was Code L.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Nina Gershon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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