

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Newman, Jon O.	2. Court or Organization U.S. Court of Appeals for the Second Circuit	3. Date of Report 07/07/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge senior status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 40 Foley Square New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Corporator	Hartford Hospital
2.	Corporator	Institute of Living
3.	Elector	Hartford Atheneum
4.	Life Regent	University of Hartford
5.	Trustee	No. 1 Family Trust (assets listed in Part VII, lines 114-144)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 9/19/15	University of North Carolina Press royalty
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2. Yale University	Sept. 16-19, 2015	New Haven, CT	attend Global Constitutional Seminar	breakfasts and parking three days
3. Duke University	July 22-23	Washington, D.C.	attend conferebce on class action rules	round trip train fare New York City to Washington D.C.
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Yale University	three nights lodging, lunches, and dinners at Global Constitutional seminar	\$900.00
2.	Duke University	two nights lodging at conference on class action rules	\$398.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank accounts and cash (H):									
2. Bank of America -- Sarasota, FL -- Checking Acct.	A	Interest	M	T					
3. Wells Fargo Bank	A	Interest	L	T					
4. Morgan Stanley account (H):									
5. Morgan Stanley Active Assets Tax Free Trust	A	Interest	N	T					
6. stocks (H):									
7. Air Products	C	Dividend	M	T					
8. Cisco	B	Dividend	L	T					
9. Cummins	C	Dividend	M	T					
10. Devon Energy	B	Dividend	L	T					
11. Express Scripts		None	M	T					
12. Johnson and Johnson	C	Dividend	M	T					
13. Johnson Controls	C	Dividend	N	T					
14. Merck	D	Dividend	M	T					
15. Microsoft	B	Dividend	L	T					
16. Minnesota Mining and Manufacturing	C	Dividend	M	T					
17. Royal Dutch Shell	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$500,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Total Fina	D	Dividend	M	T					
19. mutual funds (H):									
20. Calamos Growth & Income C Fund	D	Distribution	M	T					
21. Dreyfus Premier Technology Fund	A	Distribution	K	T					
22. Fed Max Cap Fund	B	Distribution	K	T					
23. Henderson Int'l Op. Fund		None	K	T					
24. Invesco Balanced Risk Alloc. C Fund	B	Distribution	L	T					
25. Mainstay Large Cap Fund	B	Dividend	K	T					
26. Prudential Jennison Small Cap Cl C Fund	C	Distribution	K	T					
27. Revenueshares Mid Cap ETF, name change to Oppenheimer Mid Cap Revenue	A	Dividend	L	T					
28. Revenueshares Small Cap, name change to Oppenheimer Small Cap Revenue	A	Dividend	L	T					
29. Schwab 1000 Fund	B	Distribution	L	T					
30. SPDR Barcap Short Term Hi Yield Fund	B	Dividend	K	T					
31. bonds (H):									
32. Bay County FL. School Board '19 4.25	C	Interest	M	T					
33. Bound Brook NJ '21 4.0	B	Interest	L	T	Buy	4/19/16	L		
34. Clark Cty Nev. '21 5.0	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. CT G.O. Ser. C '16 4.0	B	Interest			Redeemed	6/1/15	K		
36. CT G.O. Ser. C '16 5.0	B	Interest	L	T					
37. CT G.O. Ser. E '16 5.0	B	Interest	L	T					
38. CT Health & Ed. '15 3.4	A	Interest			Matured	11/2/15	K		
39. CT Health & Ed. '19 5.0	A	Interest	L	T					
40. CT Health '16 5.0	C	Interest	M	T					
41. CT Spl. Tax '15 2.75	B	Interest			Matured	2/2/15	L		
42. Dallas Tex '17 5.0	B	Interest	L	T	Buy	1/2/15	L		
43. Danbury CT. '19 2.5	B	Interest	L	T					
44. Duncanville TX '18 0		None	K	T					
45. Erlanger-Elsmere KY, sch. '17 3.5	A	Interest			Redeemed	8/6/15	K		
46. Fairfield CT '15 4.25	B	Interest			Matured	7/15/15	K		
47. Florida Hurricane '16 5.0	B	Interest	L	T					
48. Fremont CA '15 3.0	B	Interest			Matured	8/3/15	K		
49. Hawaii G.O. '18 4.0	A	Interest	L	T					
50. Hartford CT '15 5.25	C	Interest			Matured	8/18/15	K		
51. Jackson County '18 4.0	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. Lakewood County CTFS '15 4.0	A	Interest			Matured	6/1/15	K		
53. Lee County School Bd. '17 4.0	B	Interest	L	T					
54. Mich St Strat. '20 5.0	C	Interest	M	T	Buy	2/24/15	L		
55. Northern Mun. Pwr Agy Mimm '17 5.0	A	Interest	L	T					
56. N.Y. Housing Develop. '18 2.25	A	Interest	L	T					
57. Oxford Mass G.O. '19 2.0	A	Interest	L	T					
58. Palm Beach Cty FL '27 4.375	B	Interest	L	T					
59. Penn Pub. School '18 5.0	B	Interest	L	T					
60. Rensselaer Co. N.Y. '15 4.2	B	Interest			Matured	1/2/15	L		
61. Shelton CT '17 2.125	A	Interest			Redeemed	9/10/15	K		
62. Spokane Wash. '20 5.0	B	Interest	L	T					
63. Tampa Bay FL. Water '18 5.0	B	Interest	L	T					
64. Univ. CT '16 5.0	B	Interest	L	T					
65. Weber County Utah Mun. Bldg. '13 3.25	A	Interest			Matured	12/15/15	K		
66. Wells Fargo Account (H):									
67. Wells Fargo Advisors, Sarasota FL Money Market Acct	A	Interest	J	T					
68. stocks (H):									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Aflac	A	Dividend	J	T					
70. AT&T	A	Dividend	J	T					
71. Amgen	A	Dividend	J	T					
72. Apple	A	Dividend	J	T					
73. Automatic Data Processing	A	Dividend			Sold	1/7/15	J	A	
74. Berkshire Hathaway		None	J	T					
75. Boeing	A	Dividend	J	T	Buy	7/28/15	J		
76. Chemours		None			Spinoff (from line 82)	7/1/15	J		
77.					Sold	7/28/15	J		
78. Chevron	A	Dividend			Sold	12/22/15	J		
79. Colgate-Palmolive	A	Dividend	J	T					
80. Conoco Phillips	A	Dividend			Sold	12/22/15	J		
81. Dow Chemical	A	Dividend	J	T					
82. DuPont	A	Dividend	J	T					
83. ExxonMobil	A	Dividend	J	T	Buy	12/22/15	J		
84. General Electric	A	Dividend	J	T					
85. General Mills	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. Gilead Sciences	A	Dividend	J	T	Buy	2/4/15	J		
87. Hakyard Health	A	Dividend			Sold	10/21/15	J		
88. Illinois Tool Works	A	Dividend	J	T					
89. Intel	A	Dividend	J	T					
90. Johnson & Johnson	A	Dividend	J	T					
91. JP Morgan Chase	A	Dividend	J	T					
92. Kimberly-Clark	A	Dividend	J	T					
93. Merck	A	Dividend	J	T					
94. Nextera Energy	A	Dividend	J	T					
95. Pfizer	A	Dividend	J	T					
96. Procter & Gamble	A	Dividend	J	T					
97. Qualcomm	A	Dividend			Sold	7/23/15	J		
98. UGI Corp.	A	Dividend	J	T					
99. US Bancorp	A	Dividend	J	T					
100. Merrill Edge Account (H)									
101. Merrill Lynch direct deposit program		None	K	T					
102. Ishares core S&P small cap etf fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

103. Ishares S&P small cap 600 growth	A	Dividend	K	T					
104. Powershares QQQ Trust Units Ser. 1 Fund	A	Dividend	K	T					
105. Vanguard Mid-Cap Value Index Funs	A	Dividend	K	T					
106. Apple Inc.	A	Dividend	K	T	Buy	4/20/15	K		
107. Other (H):									
108. 1/2 Int. W. Htfd. CT., Condo purchased 8/9/88 \$115,000		None	L	R					
109. Thames & Hudson Royalty Contract	A	Royalty	J	W					
110. AIG Annuity (IRA)	B	Interest	K	T					
111. AIG Annuity (IRA)	A	Interest	J	T					
112. Trust No. 1:	E	Int./Div.	P1	T					
113. - Morgan Stanley Active Assets Tax Free Trust									
114. - stocks (H):									
115. - Corning									
116. - Covidien					Sold	1/27/15	K	D	
117. - Exxon Mobil									
118. - Honeywell									
119. - Insteel									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	P4 ~More than \$50,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. - Koninklijke Phillips									
121. - Mallinckrodt									
122. - M & T Bank									
123. - Medtronic					Buy	1/27/15	J		
124. - Norfolk Southern									
125. - Pfizer									
126. - The ADT Corp.									
127. - Tyco Int'l									
128. - mutual funds (H):									
129. - Federated Municipal High Yield Advantage A Fund									
130. - bonds (H):									
131. - Acalanes CA High Sch. '24 5.0					Redeemed	8/3/15	K		
132. - Bridgeport, CT '19 5.5									
133. - CT St Spl Tax '20 3.9									
134. - Miami-Dade County FL Sch. Bd. '17 4.0									
135. - New York NY Prior Issues '17 5.0									
136. - Niskayuna NY School Dist. '17 5.0					Matured	4/15/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Penn. ST Higher ed. '18 5.0									
138. - Washington State mtr fuel '20 5.0									
139. - Trust No. 2:	G	Int./Div.	P2	T					
140. - brokerage account No. 1 (H):									
141. - Morgan Stanley Active Assets Tax Free Trust									
142. - stocks (H):									
143. - 3M									
144. - Adobe Systems									
145. - Agilent									
146. - American Express									
147. - Automatic Data Processing									
148. - Baxter Int'l									
149. - Becton Dickinson									
150. - Cigna									
151. - Dominion Resources									
152. - Edwards Life Resources									
153. - Emerson Electric									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Express Scripts									
155. - General Mills									
156. - Home Depot									
157. - Intel									
158. - IBM									
159. - McDonalds									
160. - Microsoft									
161. - Plum Creek Timber									
162. - Procter & Gamble									
163. - RPM Int'l									
164. - Travelers									
165. - T Rowe Price									
166. - bonds (H):									
167. - Bethel CT '17 3.0									
168. - Carbon Cnty PA '20 5.0					Buy	4/19/16	L		
169. - Clark County Nev '17 3.0									
170. - College of Charleston SC					Matured	4/1/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Cromwell CT '20 4.0									
172. - CT Health & Ed '15 3.5					Matured	7/1/15	K		
173. - CT Health & Ed '17 5.0									
174. - CT Mun. Elec. '16 4.0									
175. - CT Series D '15 3.5					Redeemed	12/1/15	K		
176. - Guilford CT '18 3.0									
177. - FYI PPTYS Wash. Lease '18 5.0					Buy	02/24/15	L		
178. - Harrison NJ '20 3.0									
179. - Hartford County '18 3.5									
180. - Indiana St Fin '19 5.0									
181. - Morgan Stanley Active AssetsTax Free Trust									
182. - Newtown CT '16 2.25									
183. - New York City Turst for Cultural Reserve '21 5.0									
184. - New York ST Urban Dev '19 5.50									
185. - N.Y. Dorm. Auth. '17 5.0									
186. - Tampa FL '18 4.0									
187. - South Central CT water '15 4.0					Redeemed	8/3/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Southington CT '18 3.5									
189. - Univ. Hawaii '16 4.0									
190. - Washington Health '23 5.25									
191. - brokerage account No. 2 (H):									
192. - Mongan Stanley, New Haven, CT Money Market Acct.									
193. - stocks (H):									
194. - Abbott Labs									
195. - Abbvie					Buy (add'l)	12/31/15	J		
196. - Ace Ltd.					Buy (add'l)	1/12/15	J		
197.					Buy (add'l)	12/15/15	J		
198. - Air Products									
199. - Alexion Pharm					Buy	8/31/15	J		
200. - Alphabet Inc.									
201. - American Express					Buy (add'l)	1/12/15	J		
202.					Buy (add'l)	6/15/15	J		
203.					Buy (add'l)	12/31/15	J		
204. - Anheuser Busch					Buy (add'l)	8/31/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Apple									
206. - ASML Holding					Buy (add'l)	9/1/15	J		
207. - Automatic Data Processing					Sold (part)	8/31/15	J	A	
208. - Blackrock									
209. - Caterpillar					Sold	8/31/15	J		
210. - CDN Pacific RY									
211. - Celgene					Buy (add'l)	6/11/15	J		
212. - Chevron					Sold (part)	6/11/15	J		
213.					Buy (add'l)	9/1/15	J		
214. - Coca-cola					Sold (part)	1/12/15	J	A	
215.					Buy (add'l)	6/15/15	J		
216. - Comcast					Buy (add'l)	6/11/15	J		
217. - Conoco					Sold (part)	6/11/15	J	A	
218.					Buy (add'l)	9/1/15	J		
219.					Sold (part)	12/15/15	J		
220.					Buy (add'l)	12/31/15	J		
221. - Diageo					Buy (add'l)	9/1/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - EOG Resources					Sold	12/15/15	J	A	
223. - Estee Lauder									
224. - Exxon Mobil					Sold (part)	6/11/15	J	A	
225.					Buy (add'l)	9/1/15	J		
226. - Facebook					Buy	2/25/15	J		
227.					Buy (add'l)	12/14/15	J		
228. - Franklin Resources					Buy (add'l)	6/15/15	J		
229.					Buy (add'l)	9/1/15	J		
230.					Buy (add'l)	12/31/15	J		
231. - General Electric					Sold (part)	1/12/15	J	A	
232.					Sold	2/25/15	J	A	
233. - Gilead Science (see note in Part VIII)									
234. - Google (named changed to Alphabet, listed on line 197)					Buy	8/3/15	J		
235. - IBM					Sold (part)	2/25/15	J		
236.					Sold (part)	8/31/15	J		
237. - Intel					Sold	8/31/15	J		
238. - International Exchange Group					Buy	6/11/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. - Johnson & Johnson					Sold (part)	1/12/15	J	A	
240.					Sold (part)	2/25/15	J	A	
241.					Sold	8/31/15	J	A	
242. - JP Morgan Chase									
243. - Kraft Food Group					Sold	1/12/15	J	A	
244. - McDonalds					Sold (part)	1/12/15	J	A	
245. - Merck					Sold (part)	1/12/15	J	A	
246.					Sold	2/25/15	J	A	
247. - Microsoft					Buy	8/31/15	J		
248. - Mondelez					Sold	1/12/15	J	A	
249. - Nestle									
250. - News Corp.					Sold	6/11/15	J	A	
251. - Nike					Buy	8/31/15	J		
252. - Novartis					Buy (add'l)	1/12/15	J		
253.					Buy (add'l)	12/31/15	J		
254. - Novo-Nordisk									
255. - Occidental Petroleum					Sold (part)	6/11/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Sold (part)	12/15/15	J		
257. - Oracle									
258. - Pepsico									
259. - Phillip Morris									
260. - Phillips 66					Sold	8/31/15	J	A	
261. - Praxair					Buy (add'l)	9/1/15	J		
262. - Qualcomm					Buy (add'l)	6/15/15	J		
263.					Buy (add'l)	9/1/15	J		
264.					Buy (add'l)	12/31/15	J		
265. - Procter & Gamble					Sold (part)	6/11/15	J	A	
266.					Sold (part)	8/31/15	J	A	
267. - Roche Holding					Buy (add'l)	1/12/15	J		
268. - Royal Dutch					Sold	12/15/15	J		
269. - Sabmiller					Buy (add'l)	9/1/15	J		
270. - State St. Corp.					Buy (add'l)	1/12/15	J		
271.					Buy (add'l)	12/31/15	J		
272. - Target									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. - Texas Instruments					Buy	9/1/15	J		
274. - Time Warner					Sold	6/11/15	J	A	
275. - Total					Sold (part)	2/25/15	J		
276.					Buy (add'l)	9/1/15	J		
277.					Sold (part)	12/15/15	J		
278. - Twenty-first Century Fox					Buy (add'l)	1/12/15	J		
279.					Buy (add'l)	6/15/15	J		
280.					Buy (add'l)	9/1/15	J		
281. - Union Pacific					Buy (add'l)	2/25/15	J		
282.					Buy (add'l)	6/15/15	J		
283.					Buy (add'l)	9/1/15	J		
284.					Buy (add'l)	12/31/15	J		
285. - United Technologies					Buy (add'l)	9/1/15	J		
286. - Verisign					Buy	7/21/15	J		
287. - Visa					Buy	2/25/15	J		
288.					Buy (add'l)	5/15/15	J		
289. - Walgreen									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. - Wal-Mart					Buy	6/15/15	J		
291.					Sold (part)	7/21/15	J	A	
292.					Sold	8/31/15	J	A	
293. - Walt Disney									
294. - Wells Fargo					Buy (add'l)	8/31/15	J		
295. - Xilinx									
296. - exchange traded and closed end funds (H):									
297. - Alerian MLP Exchange Traded Fund					Buy (add'l)	6/15/15	J		
298.					Buy (add'l)	9/1/15	J		
299.					Buy (add'l)	12/14/15	J		
300. - PowerShares Russell Midcap Pure Growth Fund									
301. - PowerShares Russell Midcap Pure Value Fund									
302. - SPDR Dow Jones Wilshire Int'l Real Est. Fund									
303. - SPDR Nuveen Barclays Fund									
304. -Vanguard TTL World Stk Index Fund									
305. - mutual funds (H):									
306. - Artisan Mid Cap Fund					Sold	7/13/15	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. - Brandes Emergins Markets I Fund					Buy (add'l)	6/15/15	J		
308.					Buy (add'l)	9/1/15	J		
309.					Buy (add'l)	12/31/15	J		
310. - Cohen & Steers Realty Fund									
311. - Davis NY Venture Fund					Buy (add'l)	9/1/15	J		
312.					Buy (add'l)	12/31/15	J		
313. - Franklin Conv. Sec. Adv. Fund					Buy (add'l)	12/31/15	J		
314. - Invesco Premier Tx Exempt Inst. Fund					Buy (add'l)	6/15/15	J		
315. - Invesco Small Cap Value Fund					Buy	07/13/15	J		
316.					Buy (add'l)	12/31/15	J		
317. - JP Morgan Dynamic Sm Cp Gr Sel Fund					Buy (add'l)	12/31/15	J		
318. - Legg Mason WA Emerg. Mkt. Debt I Fund					Buy (add'l)	6/15/15	J		
319.					Buy (add'l)	12/31/15	J		
320. - Mainstay Large Cap Grw I Fund									
321. - Mainstay Marketfield I Fund					Buy (add'l)	6/15/15	J		
322.					Buy (add'l)	12/31/15	J		
323. - Nuveen limited term muni bond I Fund					Buy (add'l)	6/15/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. - Oppenheimer Int'l Bond Y Fund					Buy (add'l)	6/15/15	J		
325. - Prudential Jennison Mid Cap GW Z Fund					Buy (add'l)	12/31/15	J		
326. - Royce Premier Inv Fund					Sold	7/13/15	K		
327. - Trust No. 3:	F	Int./Div.	P1	T					
328. - brokerage account No. 1 (H):									
329. - Morgan Stanley Active Assets Tax Free Trust									
330. - stocks (H):									
331. - 3M Co.									
332. - Air Products									
333. - Apache									
334. - Apple									
335. - Danaher									
336. - Johnson & Johnson									
337. - bonds (H):									
338. - Bay County FL School '18 3.5									
339. - CT Higher Ed. '17 3.85									
340. - Greece NY '15 5.0					Redeemed	6/15/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. - Griswold '18 3.0									
342. - Henderson Nev. '15 5.0					Matured	9/1/15	K		
343. - Janesville Wis. Sch. '19 4.125					Buy	2/24/15	L		
344. - Marlborough CT '16 4.0									
345. - N.J. Transp. '17 5.0									
346. - Okla. Water Resources '19 5.0					Buy	1/17/15	L		
347. - San Buenaventura CA water '17 4.0									
348. - Southington CT '18 3.5									
349. - Tampa FL Ubiv, '16 5.0					Buy	3/13/15	K		
350. - brokerage account No. 2 (H):									
351. - Morgan Stanley Active Assets Tax Free Trust									
352. - stocks (H):									
353. - AIA Group									
354. - Air Liquide									
355. - Allianz					Buy (add'l)	1/7/15	J		
356. - American Express					Buy (add'l)	2/12/15	J		
357.					Buy (add'l)	7/23/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. - Anheuser Busch					Sold (part)	2/18/15	J	A	
359. - Annaly Capital Mnmgt					Buy	1/2/15	J		
360. - Arm Holdings					Buy (add'l)	10/28/15	J		
361. - Atlas Copco									
362. - Baidu					Buy (add'l)	2/18/15	J		
363.					Buy (add'l)	5/26/15	J		
364. - Banco Bilbao									
365. - Barrick Gold					Sold	2/4/15	J		
366. - Baxalta					Spinoff (from line 367)	6/30/15	J		
367. - Baxter Int'l					Buy (add'l)	4/8/15	J		
368. - Bayerische Motore Werke					Buy (add'l)	1/7/15	J		
369. - Bed, Bath & Beyond					Buy (add'l)	8/27/15	J		
370.					Buy (add'l)	10/13/15	J		
371.					Sold (part)	12/22/15	J		
372. - BG Group					Buy (add'l)	1/7/15	J		
373. - Bunge					Buy (add'l)	1/7/15	J		
374.					Buy (add'l)	11/25/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 26 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. - Canadian National Ry.									
376. - Chevron					Buy (add'l)	1/7/15	J		
377.					Sold (part)	4/15/15	J	A	
378.					Buy (add'l)	8/28/15	J		
379. - Chubb					Sold	7/9/15	J	D	
380. - Cisco									
381. - Coloplast					Buy	9/29/15	J		
382. - Conoco					Buy (add'l)	1/7/15	J		
383.					Sold (part)	4/15/15	J	A	
384.					Sold	8/28/15	J		
385. - CSL Ltd.									
386. - CVS					Sold (part)	1/7/15	J	B	
387.					Sold	6/16/15	J	C	
388. - Dassault Systems									
389. - DBS Group					Buy (add'l)	1/7/15	J		
390. - Diamond Offshore Drilling					Buy (add'l)	12/2/15	J		
391. - Dr. Pepper					Sold (part)	2/13/15	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Sold	4/15/15	J	C	
393. - Ebay					Buy (add'l)	7/22/15	J		
394. - Exelon					Buy (add'l)	4/17/15	J		
395. - Express Scripts					Sold (part)	11/9/15	J	A	
396. - Exxon Mobil					Buy (add'l)	1/7/15	J		
397.					Buy (add'l)	5/22/15	J		
398.					Buy (add'l)	8/28/15	J		
399. - Fanuc Ltd.					Buy (add'l)	1/7/15	J		
400. - Franklin Resources					Buy	6/26/15	J		
401.					Buy (add'l)	8/28/15	J		
402.					Sold (part)	12/22/15	J	A	
403. - Fresenius Medical Care									
404. - Fuchs Petrolub					Buy (add'l)	1/7/15	J		
405. - Glaxo Smith Kline					Buy (add'l)	1/7/15	J		
406.					Buy (add'l)	12/22/15	J		
407. - Grifols S.A.					Buy	11/13/15	J		
408. - Honda					Buy (add'l)	1/7/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. - Hong Kong Exchanges & Clearing					Sold (part)	4/21/15	J	A	
410.					Sold	10/2/15	J	A	
411. - Icici Bank					Buy (add'l)	1/7/15	J		
412. - Imperial Oil					Buy (add'l)	1/7/15	J		
413.					Sold (part)	11/9/15	J		
414. - Itau Unibanco					Buy (add'l)	11/11/15	J		
415. - JGC					Buy (add'l)	1/7/15	J		
416. - Johnson & Johnson					Buy (add'l)	2/12/15	J		
417. - Kone Oyj					Buy	2/5/15	J		
418. - Linde AG					Buy (add'l)	1/7/15	J		
419. - L'Oreal									
420. - LVMH Moet									
421. - Mack Cali Realty					Buy (add'l)	1/7/15	J		
422.					Buy (add'l)	5/22/15	J		
423. - Medtronic					Buy	1/27/15	J		
424.					Sold (part)	2/12/15	J		
425. - Microsoft					Sold (part)	10/26/15	J	B	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 29 of 56

Name of Person Reporting

Newman, Jon O.

Date of Report

07/07/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. - Mitsubishi									
427. - Molson					Sold (part)	5/29/15	J	B	
428.					Sold	9/18/15	J	C	
429. - Monotaro									
430. - MTN Group					Buy (add'l)	1/7/15	J		
431. - Naspers Ltd.					Buy	7/8/15	J		
432. - Nestle									
433. - Newmont					Sold	1/27/15	J		
434. - Novo Nordisk					Buy (add'l)	1/7/15	J		
435.					Sold (part)	11/23/15	J	A	
436. - Paypal					Spinoff (from line 393)	5/14/15	J		
437.					Sold	7/22/15	J	B	
438. - Pepsico									
439. - PNC Financial									
440. - Procter & Gamble									
441. - Qualcomm					Buy	1/30/15	J		
442. - Roche					Buy (add'l)	10/2/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. - Sands China Ltd.					Buy (add'l)	5/26/15	J		
444. - SAP Ag					Buy	1/7/15	J		
445. - Sasol					Buy (add'l)	1/7/15	J		
446.					Buy (add'l)	7/6/15	J		
447. - Schlumberger					Buy (add'l)	11/3/15	J		
448. - Schneider					Buy (add'l)	1/7/15	J		
449.					Sold	10/2/15	J		
450. - Shire PLC					Sold	10/20/15	J	A	
451. - Sonova									
452. - Southwestern Energy					Buy (add'l)	1/6/15	J		
453.					Buy (add'l)	6/26/15	J		
454. - Suntrust									
455. - Svenska									
456. - Swatch					Buy (add'l)	1/7/15	J		
457.					Sold	2/3/15	J		
458. - Symrise									
459. - Sysmex					Buy (add'l)	1/7/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460.					Sold (part)	7/8/15	J	B	
461. - Taiwan Semiconductor					Sold (part)	1/27/15	J	B	
462.					Buy (add'l)	1/30/15	J		
463.					Buy (add'l)	7/23/15	J		
464. - Target									
465. - Torchmark									
466. - T Rowe Price					Sold	6/26/15	K		
467. - Travelers									
468. - Türkiye Garanti Bankası									
469. - US Bancorp									
470. - Unicharm									
471. - Unilever NV NY					Buy (add'l)	6/24/15	J		
472. - Wal-Mart					Buy (add'l)	8/19/15	J		
473. - Wells Fargo									
474. - Whole Foods Markets					Buy	8/20/15	J		
475.					Buy (add'l)	11/11/15	J		
476. - WPP PLC									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. - Xinyi					Buy (add'l)	1/7/15	J		
478.					Sold	3/11/15	J	A	
479. - Exchange Traded & Closed End Funds:									
480. - iShares MSCI Small Cap Fund									
481. - Mutual Funds (H):									
482. - Brandes Int'l small cap equity\ I Fund					Buy	5/22/15	K		
483. - CRM Small Cap Value Inst. Fund					Buy (add'l)	1/7/15	K		
484. - Franklin Fed. Intrn. Trm. Tx. Fr. Adv. Fund									
485. - Gabelli Equity Fund									
486. - Invesco Premier Fund					Buy (add'l)	1/7/15	J		
487. - Lord Abbett Conv. Fund					Buy (add'l)	1/7/15	K		
488. - Lord Abbett Emerg. Mkts. Curr.Fund					Buy (add'l)	1/7/15	J		
489. - Nuveen Ltd. Term Mun. Bond Fund					Buy (add'l)	1/7/15	J		
490. - Oakmark Int'l Small Cap I Fund					Buy (add'l)	1/7/15	J		
491.					Sold	5/22/15	K	D	
492. - Oppenheimer Int'l Bond Fund					Buy (add'l)	1/7/15	J		
493. - Pimco Real Return Fnd					Buy (add'l)	1/7/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494. - Thornburg Int'l Fund					Buy (add'l)	1/7/15	J		
495. - Tweedy Browne Fund					Buy (add'l)	1/7/15	J		
496. - Trust No. 4:	E	Int./Div.	P1	T					
497. - brokerage account No. 1 (H):									
498. - Morgan Stanley Active Assets Tax Free Trust									
499. - stocks (H):									
500. - Apple									
501. - Automatic Data Processing									
502. - DanaHER									
503. - Ecolab									
504. - Exxon Mobil									
505. - Nike Class B									
506. - United Technologies									
507. - bonds (H):									
508. - Kentucky St Prop '19 4.0									
509. - N.J. St Transp. '17 5.75									
510. - NYC Transition Fin. '16 5.0									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. - Phoenix AZ Civic Impt. '15 4.0					Matured	7/1/15	K		
512. - Southington CT '18 35									
513. - U.S. Treasury '18 9.0									
514. - brokerage account No. 2 (H):									
515. - Morgan Stanley Active Assets Tax Free Trust									
516. - stocks (H):									
517. - AIA Group									
518. - Air Liquide					Buy (add'l)	1/6/15	J		
519. - Allergan					Buy	5/10/15	J		
520. - Allianz					Buy (add'l)	1/6/15	J		
521. - AMC					Buy (add'l)	1/6/15	J		
522. - Anadarko Petroleum					Buy (add'l)	8/24/15	J		
523. - Anheuser-Busch									
524. - Anasys					Sold (part)	8/26/15	J	A	
525. - Arm Holdings					Buy (add'l)	10/28/15	J		
526. - AspenTechnology\					Buy	4/10/15	J		
527. - Atlas Copco									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. - Autodesk									
529. - Baidu					Buy (add'l)	5/26/15	J		
530. - Banco Bilbao					Buy (add'l)	1/6/15	J		
531. - Bank of Hawaii					Buy (add'l)	3/25/15	J		
532. - Bayerische Motoren Werke					Buy (add'l)	1/6/15	J		
533. - Berkley W.R.					Buy	6/18/15	J		
534. - BG Group					Buy (add'l)	1/6/15	J		
535. - Biogen					Buy (add'l)	9/22/15	J		
536. - Broadcom									
537. - Bunge Ltd.					Buy (add'l)	11/25/15	J		
538. - Cablevision					Sold	9/17/15	J	B	
539. - Canadian Nat. Ry.					Buy (add'l)	1/6/15	J		
540. -CDW									
541. - Church & Dwight					Sold	9/15/15	J	B	
542. - Citrix									
543. - Clarcor					Sold (part)	1/14/15	J	A	
544.					Sold	6/3/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. - Cohen & Steers					Sold	8/18/15	J	A	
546. - Coloplast					Buy	09/29/15	J		
547. - Comcast									
548. - Cooper Co.					Buy	1/9/15	J		
549.					Buy (add'l)	2/18/15	J		
550. - Copart					Sold	1/24/15	J	A	
551. - Core Labs					Buy (add'l)	9/30/15	J		
552. - Covidien					Sold	1/27/15	J	B	
553. - Cree					Buy (add'l)	1/6/15	J		
554. - CSL									
555. - Cyberonics					Buy	1/7/15	J		
556.					Sold	10/19/15	J	A	
557. - Dassault									
558. - DBS					Buy (add'l)	1/6/15	J		
559. - Directv					Sold	1/30/15	J	B	
560. - Discovery Communications					Buy	5/12/15	J		
561.					Buy (add'l)	9/29/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

562. - Dolby									
563. - Donaldson					Sold	9/2/15	J		
564. - Dresser-Rand					Sold	1/6/15	J	B	
565. - Equifax									
566. - Expeditors Int'l									
567. - Factset Research					Sold (part)	6/9/15	J	A	
568.					Sold (part)	8/24/15	J	A	
569. - Fanuc					Buy (add'l)	1/6/15	J		
570. - Fastenal					Buy	7/24/15	J		
571. - First Cash Fin. Sves.					Buy	5/1/15	J		
572. - Fluor					Buy (add'l)	1/6/15	J		
573. - Freeport McMoran					Buy (add'l)	1/6/15	J		
574.					Buy (add'l)	9/22/15	J		
575. - Fresenius									
576. - Fuchs Petrolub					Buy (add'l)	1/6/15	J		
577. - Grifols S.A.					Buy	11/13/15	J		
578. - Hong Kong Exchanges & Clearing					Sold	4/21/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

579. - Icici					Buy (add'l)	1/6/15	J		
580. - Immunogen					Buy (add'l)	1/6/15	J		
581. - Imperial Oil					Buy (add'l)	1/6/15	J		
582.					Sold (part)	11/9/15	J		
583. - Isis Pharmaceuticals									
584. - Itau Unibanco					Sold (part)	7/21/15	J		
585.					Buy (add'l)	11/11/15	J		
586. - Jack Henry									
587. - JGC					Buy (add'l)	1/6/15	J		
588. - Kone Oyj					Buy	2/5/15	J		
589. - L'Oreal									
590. - L3 Comm.									
591. - Landstar									
592. - Liberty Broadband					Buy	1/6/15	J		
593. - Liberty Interactive Co. Inter A									
594. - Liberty Media					Buy (add'l)	1/6/15	J		
595. - Linde AG									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. - Livanova					Buy	10/19/15	J		
597. - LVMH Moet									
598. - Manhattan Associates					Buy	2/3/15	J		
599.					Sold	6/8/15	J	A	
600. - Medtronic					Buy	1/27/15	J		
601. - Mitsubishi									
602. - Monotaro					Buy (add'l)	1/6/15	J		
603. - Monotype					Buy (add'l)	9/2/15	J		
604. - MSC Industries					Sold	7/24/15	J	A	
605. - MSCI Inc Com					Sold (part)	4/9/15	J	A	
606. - MTN Group					Buy (add'l)	1/6/15	J		
607. - Naspers Ltd.					Buy	7/8/15	J		
608. - National Oilwell					Buy (add'l)	1/6/15	J		
609.					Buy (add'l)	12/16/15	J		
610. - Nestle									
611. - Nordson					Buy (add'l)	1/9/15	J		
612. - Novo Nordisk									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. - NOW Inc.					Buy (add'l)	1/6/15	J		
614. - Nuance Comm.					Buy (add'l)	1/6/15	J		
615. - Nucor					Buy (add'l)	1/6/15	J		
616. - Pall					Sold	5/18/15	J	C	
617. - Pentair					Buy (add'l)	1/6/15	J		
618. - Primerica					Buy	4/21/15	J		
619.					Buy (add'l)	6/22/15	J		
620. - RBC Bearings									
621. - Rentrack					Buy	4/9/15	J		
622. - Roche					Buy (add'l)	10/2/15	J		
623. - Ross Stores									
624. - Sandisk					Buy (add'l)	8/18/15	J		
625. - Sands China					Buy (add'l)	1/6/15	J		
626.					Buy (add'l)	5/26/15	J		
627. - SAP AG					Buy (add'l)	1/6/15	J		
628. - Sasol					Buy (add'l)	1/6/15	J		
629.					Buy (add'l)	7/6/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630. - Schlumberger					Buy (add'l)	11/3/15	J		
631. - Schneider					Buy (add'l)	1/6/15	J		
632.					Sold	10/2/15	J		
633. - Seagate Tech.					Buy (add'l)	7/22/15	J		
634.					Buy (add'l)	10/20/15	J		
635. - Shire PLC					Sold	10/20/15	J	A	
636. - Signature Bank									
637. - Sirona					Sold (part)	8/27/15	J	A	
638. - Snap-on									
639. - Sonova									
640. - Starz Liberty Cap Ser. A									
641. - Svenska									
642. - Symrise					Buy (add'l)	1/6/15	J		
643. - Sysmex					Sold (part)	7/8/15	J	A	
644. - Taiwan Semiconductor					Buy (add'l)	1/6/15	J		
645. - TE Connectivity									
646. - The ADT Corp.					Buy (add'l)	1/6/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647. - Towers Watson					Sold (part)	8/26/15	J	A	
648. - Tripadvisor					Buy	8/27/15	J		
649. - Türkiye Garanti									
650. - Twitter					Buy	9/29/15	J		
651.					Buy (add'l)	12/11/15	J		
652. - Tyco Int'l									
653. - Unicharm									
654. - Unilever					Buy (add'l)	6/24/15	J		
655. - United Health									
656. - Vertex									
657. - Wabco Holdings									
658. - Weatherford Int'l					Buy (add'l)	1/6/15	J		
659. - Western Digital Corp.					Buy	11/3/15	J		
660.					Buy (add'l)	12/11/15	J		
661. - Winmark									
662. - Wolverine					Sold (part)	8/27/15	J	A	
663.					Sold	10/28/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

664. - WPP									
665. - Wynn Resorts					Buy	9/2/15	J		
666. - Xinyi Glass					Buy (add'l)	1/6/15	J		
667.					Sold	3/11/15	J	A	
668. - Exchange Traded Closed End Funds (H):									
669. - Ishares MSCI EAFE Value Fund					Buy (add'l)	1/6/15	J		
670. - Ishares Russell 3000 Fund									
671. - Mutual funds (H):									
672. - Alliance Bernstein Global Bond Advisor Fund					Buy (add'l)	1/6/15	J		
673. - Brandes Emerging Markets I Fund					Buy (add'l)	1/6/15	J		
674. - Columbia Acorn Fund					Buy (add'l)	1/6/15	J		
675.					Buy (add'l)	12/16/15	J		
676. - Franklin Federated Intermediate-Term Tax Free Fund					Buy (add'l)	1/6/15	J		
677. - Invesco Premier Tax Exempt					Buy (add'l)	1/6/15	J		
678. - Lateef Fund									
679. - Lord Abbett Conv. Fund					Buy (add'l)	1/6/15	J		
680. - Lord Abbett Emer. Mkts. Fund					Buy (add'l)	1/6/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
681. - Pimco Real Return Fund					Buy (add'l)	1/6/15	J		
682. - TCW Total Return Bond Fund					Buy (add'l)	1/6/15	J		
683. - IRA consisting of Stocks and Corporate (H): Bonds:	E	Int./Div.	P1	T					
684. - brokerage account No. 1 (H):									
685. - Citibank Bank Deposit									
686. - corporate bonds (H):									
687. - Bank of America '16 5.750									
688. - Caterpillar '15 4.750					Matured	2/17/15	K	A	
689. - Lowes '15 5.0					Matured	10/15/15	K	B	
690. - municipal bonds (H):									
691. - Ill G.O. '15 4.421					Matured	1/2/15	K		
692. - brokerage account No. 2 (H):									
693. - Morgan Stanley, New Haven, CT liquid asset fund									
694. - stocks (H):									
695. - Abbvie					Buy	4/30/15	J		
696. - AIA Group									
697. - Air Liquide									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698. - Allianz									
699. - Allstate					Sold	7/29/15	J	A	
700. - American Electric Power									
701. - Ameriprise Financial									
702. - Anheuser Busch					Sold (part)	2/18/15	J	A	
703. - Anthem					Sold (part)	7/29/15	J	A	
704.					Sold	8/19/15	J	A	
705. - Apple					Buy	9/1/15	J		
706.					Buy (add'l)	10/12/15	J		
707. - Arm Holding					Buy (add'l)	10/28/15	J		
708. - Atlas Copco									
709. - AT&T									
710. - Baidu					Buy (add'l)	2/18/15	J		
711.					Buy (add'l)	5/26/15	J		
712. - Banco Bilbao									
713. - Barrick Gold					Sold	1/13/15	J		
714. - Bayerische Motoren Werke									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
715. - Baxalta					Buy	8/7/15	J		
716.					Sold	10/23/15	J		
717. - Baxter Int'l					Sold (part)	5/14/15	J		
718.					Sold (part)	6/12/15	J	A	
719.					Sold	8/3/15	J		
720. - BG Group									
721. - BHP Billiton					Sold	6/12/15	J	A	
722. - Bunge					Buy (add'l)	11/25/15	J		
723. - CA Inc.									
724. - Canadian National Ry.									
725. - Capitol One					Buy	6/22/15	J		
726. - Celanese					Buy	6/22/15	J		
727. - Chevron					Buy (add'l)	8/10/15	J		
728. - Cisco									
729. - Coloplast					Buy	9/29/15	J		
730. - Conoco Phillips					Sold (part)	2/4/15	J	A	
731.					Sold (part)	3/23/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
732.					Sold	4/2/15	J		
733. - CSL									
734. - Cummins Inc.					Buy	5/15/15	J		
735.					Buy (add'l)	6/9/15	J		
736.					Sold	12/16/15	J		
737. - Dassault Systems									
738. - DBS Group									
739. - Dow Chemical					Buy	1/2/15	J		
740.					Buy (add'l)	2/9/15	J		
741.					Sold	6/22/15	J	A	
742. - Dupont					Sold (part)	1/2/15	J	A	
743.					Sold	2/4/15	J	A	
744. - Eaton					Buy	4/27/15	J		
745.					Buy (add'l)	8/10/15	J		
746. - Exxon Mobil					Buy	9/28/15	J		
747. - Fanuc									
748. - Fifth Third Bank									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749. - Ford Motor					Sold (part)	11/10/15	J	A	
750. - Freeport-McMoran					Buy (add'l)	1/13/15	J		
751.					Sold	4/14/15	J		
752. - Fresenius									
753. - Fuchs Petrolub									
754. - General Electric					Sold	6/9/15	J	A	
755. - General Motors					Buy	11/10/15	J		
756. - Gilead Science					Buy	5/15/15	J		
757.					Buy (add'l)	6/3/15	J		
758. - GlaxoSmithKline					Sold	4/30/15	J		
759. - Grifols S.A.					Buy	11/12/15	J		
760. - Harris Corp. Delaware					Sold	3/23/15	J	A	
761. - Hartford Fin. Serv. (see note, Part VIII)									
762. - Hong Kong Exchanges & Clearing					Sold (part)	4/21/15	J	A	
763.					Sold	10/02/15	J	A	
764. - IBM					Buy	7/21/15	J		
765. - Icici Bank									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
766. - Imperial Oil					Sold (part)	11/9/15	J		
767. - Intel					Buy (add'l)	8/10/15	J		
768. - International Paper									
769. - Itau					Buy (add'l)	11/11/15	J		
770. - JGC									
771. - Johnson & Johnson									
772. - JP Morgan Chase									
773. - Kone Oyj					Buy	2/5/15	J		
774. - L'Oreal									
775. - Linde AG									
776. - LVMH Moet									
777. - Macy's									
778. - Mattel					Buy (add'l)	8/10/15	J		
779. - Merck					Sold	3/18/15	J		
780. - Metlife									
781. - Mitsubishi									
782. - Monotaro									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
783. - MTN Group					Buy (add'l)	8/10/15	J		
784. - Naspers					Buy	6/10/15	J		
785. - Navient					Buy (add'l)	8/10/15	J		
786.					Sold (part)	9/11/15	J		
787.					Sold	10/5/15	J		
788. - Nestle									
789. - Norfolk Southern					Sold (part)	5/15/15	J		
790.					Sold	6/12/15	J		
791. - Northrop Grumman					Sold	8/7/15	J	A	
792. - Novo Nordisk					Sold (part)	11/23/15	J	A	
793. - Occidental Petroleum					Sold	2/4/15	J		
794. - Pfizer									
795. - PNC Financial									
796. - Procter & Gamble					Buy	10/21/15	J		
797.					Buy (add'l)	11/10/15	J		
798. - Public Service Enterprise									
799. - Quest Diagnostics					Buy	12/21/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
800. - Roche					Buy (add'l)	10/2/15	J		
801. - Royal Dutch Shell					Buy (add'l)	2/4/15	J		
802.					Buy (add'l)	8/10/15	J		
803. - Ryder Systems					Buy	7/21/15	J		
804.					Buy (add'l)	8/7/15	J		
805. - Sands China Ltd					Buy (add'l)	5/26/15	J		
806.					Buy (add'l)	8/10/15	J		
807. - SAP									
808. - Sasol					Buy (add'l)	2/20/15	J		
809. - Schlumberger									
810. - Schneider Elec.					Sold	10/2/15	J		
811. - Shire PLC					Sold	10/20/15	J	A	
812. - Sonova									
813. - Svenska									
814. - Swatch					Sold	2/3/15	J		
815. - Symantec					Sold (part)	9/1/15	J		
816.					Sold	11/3/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
817. - Symrise									
818. - Sysmex					Sold (part)	7/8/15	J	A	
819. - Taiwan Semiconductors					Sold (part)	1/27/15	J	A	
820. - Teva Pharmaceuticals (see note Part VIII)									
821. - Total					Buy (add'l)	8/10/15	J		
822.					Sold (part)	9/28/15	J		
823. - Travelers					Buy (add'l)	8/5/15	J		
824. - Turkiye									
825. - Unicharm									
826. - Unilever PLC					Buy (add'l)	6/24/15	J		
827. - United Technologies					Buy	8/3/15	J		
828. - Valero					Buy	4/2/15	J		
829. - Verizon									
830. - Walmart					Buy (add'l)	9/28/15	J		
831. - Wellpoint (changed name to "Anthem" also reported on line 704)					Sold	8/19/15	J	A	
832. - Wells Fargo									
833. - WPP									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
834. - Xerox					Sold	7/17/15	J		
835. - Xinyi Glass					Sold	3/11/15	J	A	
836. - Exchange Traded and Closed End Funds (H):									
837. - Ishares Barclays 7-10 Year Treasury Fund									
838. - Ishares MSCI EAFE Index Fund									
839. - Ishares Russell 1000 Index Fund									
840. - Ishares Russell 1000 Value Fund									
841. - Ishares Russell 3000 Fund									
842. - Ishares Russell mid-cap Fund					Buy	9/4/15	K		
843. - Ishares TIPS Bond Fund									
844. - SPDR DJ Wilshire Int'l Real Estate									
845. - Vanguard Utilities Fund									
846. - Mutual Funds (H):									
847. - Cohen & Steers Pref. Sec. & Inc. I									
848. - Invesco AIM ATST Premier Fund					Buy (add'l)	8/10/15	J		
849. - Invesco Mid Cap Core Equity Fund					Sold	9/4/15	K	A	
850. - Legg Mason Western Asset Emerging Markets Fund					Buy (add'l)	8/10/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
851. - Mainstay Marketfield I Fund					Buy (add'l)	8/10/15	J		
852. - Oppenheimer Int'l Fund					Buy (add'l)	8/10/15	J		
853. - Pimco Real Return Fund					Sold	8/10/15	L		
854. - Pimco Total Return Fund					Buy (add'l)	8/10/15	J		
855. - Oppenheimer SR Floating Rate Fund (see note, Part VIII)									
856. Swatch (see note, Part VIII)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII:

global: all entries have been listed alphabetically within separately numbered brokerage accounts and, within each account, grouped within categories labeled "stocks," "exchange traded and closed end funds," "mutual funds," and "bonds" as permitted according to advice received from committee staff.

Line 27: Name change Revenueshares Mid Cap ETF to Oppenheimer Mid Cap Revenue

Line 28: Name change from Revenueshares Small Cap ETF to Oppenheimer Small Cap Revenue

Line 134: The maturity date of this bond was inadvertently listed as '17. The maturity date was in fact '15.

Line 197: On Oct. 5, 2015, Google changed its name to Alphabet Inc. The Google purchase is shown on line 231.

Line 230 This stock issue was inadvertently omitted from the 2014 form. 12 shares were purchased on 2/14/2014 for \$975.14.

Line 297: This fund has changed its name.

Line 298: This fund has changed its name.

line 329: 3m stock was inadvertently omitted from the 2014 form. It had been purchased in an earlier year.

Line 374: This spinoff should have been reported on the 2014 report. This report includes the sale of the shares that were spun-off.

Line 757: The entry for Hartford Fin. Serv. on the 2014 report should have indicated "sold in part" instead of "sold."

Line 816: The entry for Teva Pharmaceuticals on the 2014 report should have indicated "sold in part" instead of "sold."

Line 855: Oppenheimer SR Floating Rate Fund, which was listed on the 2014 report, was inadvertently marked as partly sold when in fact it was entirely sold on the date indicated with the proceeds and gain as reported.

Line 856: Swatch, which was listed on the 2014 report as a holding within Trust No. 4, brokerage account No. 2, was sold on February 3, 2015; the proceeds were in Category J, and there was no gain.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jon O. Newman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544