

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McKinley, Joseph H.	2. Court or Organization Western District of Kentucky	3. Date of Report 04/30/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 206 U.S. Courthouse 423 Frederica St. Owensboro, KY 42301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

Kentucky Teachers Retirement

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1 (H)									
2. -Invesco Energy Fund Class C	A	Dividend	J	T					
3. -Invesco Global Core Equity Fund Class C	A	Dividend	K	T					
4. -Alger Spectra Fund Class C (see Item VIII)	A	Dividend	L	T					
5. -Blackrock U.S. Opportunities Fund Class C	A	Dividend	K	T					
6. -First Eagle Gold Fund Class C	A	Dividend	J	T					
7. -First Eagle Overseas Class C	A	Dividend	J	T					
8. -MFS New Discovery Fund Class C	A	Dividend	J	T					
9. -Oppenheimer Equity Income Fund, Inc. Class C	A	Dividend	K	T					
10. -Oppenheimer Developing Markets Class C	A	Dividend	J	T					
11. -Transamerica Small/Mid Cap Value Fund Class C (see Item VIII)	A	Dividend	K	T					
12. -SunAmerica Focused Dividend Strategy Fund Class C	A	Dividend	K	T					
13. -Columbia Acorn Fund Class C	A	Dividend	K	T					
14. -MFS Internation New Discovery Fund Class C	A	Dividend	K	T					
15. Brokerage Account # 1 (H)									
16. -Ishares TR 3-7 YR Treas BD ETF	A	Dividend	J	T	Buy	09/01/15	J		
17.					Sold (part)	11/03/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Ishares TR Short Treas BD ETF	A	Dividend			Buy	09/01/15	K		
19.					Sold	11/03/15	K	A	
20. -Claymore Exchange Traded FD	A	Dividend			Sold	05/22/15	J	A	
21. -Indexiq ETF TR IQ Hedge Multi-Strategy	A	Dividend			Buy (add'l)	05/22/15	J		
22.					Sold	09/01/15	J	A	
23. -Pimco ETF TR 0-5 YR High Yield Corp BD	A	Dividend			Sold	05/22/15	J	A	
24. -Powershares QQQ TR Unit Ser 1	A	Dividend	J	T	Buy (add'l)	05/22/15	J		
25.					Sold (part)	09/01/15	J	A	
26.					Buy (add'l)	11/03/15	J		
27. -Rydex ETF TR Guggenheim S&P 500 Equal Weight ETF	A	Dividend			Sold (part)	05/22/15	J	A	
28.					Sold	09/01/15	K	A	
29. -Rydex ETF TR Guffenheim S&P 500 Pure Value ETF	A	Dividend			Sold	01/15/15	J	A	
30. -Vanguard BD Index FD INC Total BD Market	A	Dividend	J	T	Buy (add'l)	05/22/15	J		
31.					Sold (part)	09/01/15	J	A	
32.					Buy (add'l)	11/03/15	J		
33. -Ishares Tr Core S&P 500 ETF	A	Dividend	K	T	Buy	01/15/15	J		
34.					Buy (add'l)	05/22/15	J		

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35.					Sold (part)	09/01/15	J	A	
36.					Buy (add'l)	11/03/15	K		
37. -Powershars Global Exchange Traded FD TR PFD	A	Dividend			Buy	05/22/15	J		
38.					Sold	09/01/15	J	A	
39. -Wisdomtree TR Managed Futures Strategy FD	A	Dividend			Buy	05/22/15	J		
40.					Sold	09/01/15	J	A	
41. -Ishares TR Barclays 1-3 Yr Treas BD ETF	A	Int./Div.	J	T	Buy	09/01/15	K		
42.					Sold (part)	11/03/15	J	A	
43. -Proshares TR Short S&P500	A	Dividend			Buy	09/01/15	J		
44.					Sold	11/03/15	J	A	
45. -Select Sector SPDR TR Consumer Staples	A	Dividend			Buy	09/01/15	J		
46.					Sold	11/03/15	J	A	
47. -Vanguard Index FDS S&P 500 ETF SHS New	A	Dividend	K	T	Buy	11/03/15	J		
48. -Dreyfus Ins Deposit Program (X) See Part VIII	A	Int./Div.	J	T					
49. Owensboro Country Club Stock		None	J	T					
50. Money Market Account-Money Concepts (H)	A	Int./Div.	J	T					
51. --Dreyfus Insured Deposits									

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52. --Federated Reserves									
53. Evansville Teachers Federal Credit Union Account	A	Interest	K	T					
54. John Hancock Freedom 529(A)	A	Int./Div.	J	T					
55. ---Lifestyle Growth 529 Portfolio A									
56. John Hancock Freedom 529(B)	A	Int./Div.	J	T					
57. ---Lifestyle Growth 529 Portfolio A									
58. John Hancock Freedom 529(C)	A	Int./Div.	J	T					
59. ---Lifestyle Growth 529 Portfolio A									
60. John Hancock Freedom 529(D)	A	Int./Div.	J	T					
61. ---Lifestyle Growth 529 Portfolio A									
62. Rental Property #1 Owensboro, Daviess Co., KY	D	Rent			Sold	12/17/15	L	C	Chuck and Becky Conkright
63.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 46 not reported previously. It represents the cash held in the brokerage account at various times in various amounts.

Lines 4 and 11 inadvertently omitted from originally filed 2015 report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joseph H. McKinley**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544