

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Goodstein, Aaron E.	2. Court or Organization District Court -E.D. Wisconsin	3. Date of Report 05/08/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full-Time (Recall as of 7/1)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 258 United States Courthouse 517 E. Wisconsin Avenue Milwaukee, WI 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 1. U.S. Bank Savings Account	B	Interest	K	T					
2. 2. IRA #1:									
3. a) TCW Galileo FDS Inc.	A	Dividend	J	T					
4. b) Vanguard/Windsor Fund	A	Dividend	K	T					
5. c) ING Funds f/k/a Pilgrim Mayflower Tr.	A	Dividend	J	T					
6. d) JP Morgan TR 1	A	Interest	K	T					
7. e) T. Rowe Price Mid-Cap Growth Fund	A	Dividend	K	T					
8. f) FMI Mutual Funds, Inc.	A	Dividend	J	T					
9. g) Western Asset Core Plus Portfolio	A	Dividend	J	T					
10. h) General Mills	A	Dividend	J	T					
11. i) The Royce Funds Premier Series	A	Dividend	K	T					
12. j) Enzon Pharmaceuticals	A	Dividend			Sold	04/08/13	J	A	
13. k) Goldman Sachs Group	A	Dividend	J	T					
14. l) Artio Global Investment Funds	A	Dividend	J	T					
15. m) Perkins Mid Cap Value	A	Dividend	J	T					
16. n)General Electric Capital Corp.	A	Dividend	K	T					
17. o)Caterpillar Fin'l Group	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. 3. Brokerage Account #1:									
19. a) Fidelity Fund	A	Dividend	K	T					
20. b) Mass. Investors Growth	A	Dividend	L	T					
21. c) AT&T	A	Dividend	J	T					
22. h) Davis New York Venture Fund	A	Dividend	K	T					
23. i) Olstein Financial Alert Fund	B	Dividend	J	T					
24. j) Berkshire Hathaway		None	K	T					
25. k)Ares Capital Corp	B	Dividend	J	T					
26. l) JP Morgan TR 1	B	Interest	J	T					
27. m) Chevron Corp.	A	Dividend	J	T					
28. p) Enzon Pharmaceuticals, Inc.	A	Dividend			Sold	04/08/13	J	A	
29. r)Vodophone	A	Dividend	J	T					
30. s)Teva Pharmaceutical	A	Dividend	J	T					
31. t) Valley National Bancorp	A	Dividend	J	T					
32. 4. Brokerage Account #2									
33. a) Bank of America Corp	A	Dividend	J	T					
34. b) Exxon Mobile Corp	A	Dividend	K	T					

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35. c) IDEARC Inc.	A	Dividend	J	T					
36. d) Johnson & Johnson	A	Dividend	J	T					
37. e) Simon Property Group Inc, New	A	Dividend	K	T					
38. f) U.S. Bancorp DE New	A	Dividend	J	T					
39. g) Universal Health Realty Income Trust Shares Beneficial In	A	Dividend	J	T					
40. h) Verizon Communications, Inc	A	Dividend	J	T					
41. i) Washington Mutual Company	A	Dividend	J	T					
42. j) Capital Income Bldr Fund Class A	A	Dividend	K	T					
43. k) American Income Fd of Amer Inc. CL A	A	Dividend	K	T					
44. l) Kinder Morgan Energy Partners LP Unit LP Int	B	Dividend	K	T					
45. m) Plains All Amer Pipeline LC	A	Dividend	K	T					
46. n) Allstate Corp	A	Dividend	J	T					
47. o) Fidelity National Information Services	A	Dividend	J	T					
48. p) M&I Corp.	A	Dividend	J	T					
49. q) Fairpoint Communications, Inc.	A	Dividend	J	T					
50. r) Weingarten Realty Investors	A	Dividend	J	T					
51. s) Walgreen Co.	A	Dividend	J	T					

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52. t) Frontier Communication Corp.	A	Dividend	J	T					
53. u) Cisco Systems, Inc.	A	Interest	J	T					
54. IRA #2									
55. a) JP Morgan CC	A	Interest	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Aaron E. Goodstein**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544