

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Cassidy, William E.	2. Court or Organization U.S.D.C. S.D. Alabama	3. Date of Report 08/09/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge - Full	5a. Report Type (check appropriate type) ☐ Nomination ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2017 to 12/31/2017
5b. ☐ Amended Report		
7. Chambers or Office Address United States Courthouse 155 Saint Joseph Street Rm. 350 Mobile, Alabama 36602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Legislative Committee	Federal Magistrate Judges Association
2. Manager	Cassady Farms, LLC
3. Manager	Southbury Condo, LLC
4. Director At-Large	Federal Magistrate Judges Association
5. Retired/Recalled Magistrate Judges Committee	Federal Magistrate Judges Association

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Magistrate Judges Association	09/13/2017 - 09/15/2017	Washington DC	FMJA Committee Meeting	Lodging, travel and meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Franklin/Templeton World Fund CL A (TEMWX) IRA	D	Dividend	M	T					
2. Franklin Income Fund CL A (FKINX)	D	Dividend	M	T					
3. The Southern Company - DSPP-COMMON STOCK	B	Dividend	K	T					
4. Vanguard Mutual Funds IRA									
5. - Balanced Index Fund Admiral Shares (VBINX)	C	Dividend	L	T					
6. - STAR Fund (VGSTX)	B	Dividend	M	T					
7. Vanguard Mutual Funds (non-IRA)									
8. -Balanced Index Fund (VBIAX)	C	Dividend	M	T					
9. -Short Term Tax-Exempt Inv 0041 (VWSTX)	A	Dividend	K	T	Buy	11/06/17	K		
10. -Wellesley Income Fund Adm (VWIAx)	B	Dividend	L	T	Buy	04/21/17	K		See Note 3, Part VIII
11. Rental Property - Jefferson Co., B'ham, AL (Southbury, LLC)	D	Rent	L	W					See Note 4, Part VIII
12. Butler Co, AL land (Apr. 4/14/10) (Cassady Farms, LLC)	A	Rent	N	Q					
13. House & lot, Greenville, Butler Co.,AL (Apr. 4/4/10)(Cassady Farms)	D	Rent	K	Q					
14. Common Stock, Town & Country National Bank	E	Dividend	M	U					
15. Participating Units - Cassady Farms, LLC		None	N	T					
16. Participating Units - Southbury Condo, LLC	D	Rent	L	V					
17. Brokerage Account #1									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Bank Deposit Sweep Account-Wells Fargo Advisors, LLC		None	J	T					
19. --OPEN END MUTUAL FUNDS									
20. --American Centy Mun TR Intermediate Term Tax Free BD FD CL 1 (AXBIX)	A	Dividend	K	T	Buy	10/19/17	K		
21. --Baird Funds Inc Inter Mun Bond Fund Instl CL 1 (BMBIX)	A	Dividend	J	T	Buy	10/19/17	J		
22. --Franklin Tax Free TR Fed Intern Term Advisor CL (FITZX)	A	Dividend			Sold (part)	01/04/17	J	A	
23.					Sold	10/19/17	K	A	
24. --Nuveen Mun Trust Inter Duration Mun Bd Fd CL 1 (NUVBX)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
25.					Sold (part)	10/19/17	J	A	
26. --T Rowe Price Summit Mun Fds Inc - Mun Inter Fd (PRSMX)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
27. --T Rowe Price Tax Free Shrt Intermediate Fund (PRFSX)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
28. --T Rowe Price Summit Mun FDS INC Mun INC FD (PRINX)	A	Dividend	J	T					
29. --T Rowe Price real est Fund Inc (TRREX)	A	Dividend	J	T	Buy	08/29/17	J		
30. --Thornburg Invt Tr Ltd Ter Mun Fd Cl 1 (LTMIX)	A	Dividend	K	T	Sold (part)	01/04/17	J	B	
31. --American Europacific Growth FU CL F2 (AEPFX)	A	Dividend	J	T					
32. --American Cap World Bd Class F2 (BFWFX)	A	Dividend	J	T					
33. --GOLDMAN SACHS TR FINL Square Treas Instrs FD Inst CL (FTLXX)	A	Distribution	J	T	Sold (part)	04/13/17	J	A	
34.					Sold (part)	07/14/17	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Buy (add'l)	08/29/17	J		
36.					Sold (part)	10/13/17	J	A	
37.					Buy (add'l)	10/20/17	J		
38. --MFS SER TR III MUNICIPAL HIGH INCOME FD CL I (MMIIX)	A	Dividend	J	T					
39. --MFS Ser Tr I Value Fd Cl I (MEIIX)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
40. --MFS Ser Tr X Emerging Mkts Debt Fd Class I (MEDIX)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
41. --Brookfield Invt Funds Global Listed Real Estate Fd Cl Y (BLRYX)	A	Dividend	J	T	Sold (part)	08/29/17	J	A	
42. --Principal FDS Inc Midcap Fund Instl Class (PCBIX)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
43. --Oppenheimer Intl Bond Fund Cl A (OIBAX)	A	Dividend	J	T					
44. --Templeton Income TR GLB BD Advisor Class (TGBAX)									See Note 5, Part VIII
45. -EQUITY TRUSTS									
46. --First Trust Tax Advantaged Municipal C/E Port Ser 50 Rein	A	Dividend	K	T	Buy	04/19/17	J		
47.					Buy (add'l)	04/27/17	K		
48. --First Trust Tax Advantaged Municipal C-E Portfolio Ser 52 R	A	Distribution	J	T	Buy	10/25/17	J		
49. --Guggenheim Zacks Income Advantage Strategy PRT (2 yr) 14 R	A	Dividend			Redeemed	11/17/17	K	A	
50. --FIRST TRUST TARGET GLBAL DVD LEADERS 2Q' 16 TERM 7 REINVEST	A	Dividend			Redeemed	01/04/17	J	A	

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51. --FIRST TRUST MUNICIPAL INCOME SELECT CLOSED-END PRT SER 75 REIN	B	Interest	K	T					
52. --FIRST TRUST 60/40 STRATEGIC ALLCTN PORT 3Q TERM 10/17/17 R	A	Dividend			Redeemed	10/19/17	J	A	
53. --FIRST TRUST PREFERRED INCOME PORTFOLIO SERIES 85 R	B	Distribution	K	T					
54. --First Trust Municipal Income Select Closed End Port Ser 86 R	A	Dividend	J	T	Buy	06/22/17	J		
55. --FIRST TRUST MUNICIPAL INC. SELECT C/E PORT SER 80 REIN	A	Dividend	J	T					
56. --FIRST TRUST ENERGY SELECT PORTFOLIO SER 61 REINVEST	A	Dividend	J	T					
57. --Guggenheim Balanced Income Builder Portfolio Ser 21 Rein	A	Dividend	K	T	Buy	11/21/17	K		
58. --CLOSED END MUTUAL FUNDS									
59. --Liberty All-Star Equity Fund (USA)	A	Dividend	J	T					
60. --First Trust Dynamic Europe Equity Income Fd (FDEU)	A	Dividend			Sold (part)	01/04/17	J	A	
61.					Sold (part)	04/19/17	J	A	
62.					Sold	06/22/17	J	A	
63. --VARIABLE ANNUITIES									
64. --Jackson Natl Life Ins Co Perspective II 04/14 Variable Annuity	B	Dividend	M	T					
65. The Ohio National Life Ins. Co. Annuity - Fixed Rate	C	Distribution	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1 - Personal Information.

Since my last report my address has changed due to the building of a new courthouse in my District. My new address, including new room number, is reflected in this Report. In addition, I retired on March 11, 2017. I now serve as a recalled Magistrate Judge.

Note 2 - Part I, Lines 4 & 5.

My term as a member of the Litigation Committee of the Federal Magistrate Judges Association has expired. I was elected as a Director At-Large of the Federal Magistrate Judges Association during its annual meeting in July 2017. I also serve on the Retired/Recalled Magistrate Judges Committee of the FMJA since my appointment in 2017.

Note 3 - Part VII, Investments, Lines 9 & 10.

Two Vanguard Funds were purchased in 2017, the Short-Term Tax Free Investment Fund reported on Line 9 and the Wellesley Income Fund Adm reported on line 10.

Note 4 - Part VII, Investments, Line 11.

The rental income from this property is reported on Line 16.

Note 5 - Part VII Investments, Line 44.

The 2016 Report was inaccurate when it reflected only a partial sell of this fund. All shares in this fund were sold on 08/23/16.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William E. Cassady

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544