

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                     |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Simon, Michael H.                                                                                                                    | <b>2. Court or Organization</b><br><br>U.S. District Court, Oregon                                                                                                                                                                                                                                  | <b>3. Date of Report</b><br><br>05/16/2016                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>U.S. District Judge                                                           | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input checked="" type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2015<br>to<br>12/31/2015 |
| <b>7. Chambers or Office Address</b><br><br>1327 United States Courthouse<br>1000 Southwest Third Avenue<br>Portland, OR 97204                                                                            |                                                                                                                                                                                                                                                                                                     |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                                     |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

|    | <u>POSITION</u>                                                     | <u>NAME OF ORGANIZATION/ENTITY</u>                                                                                                  |
|----|---------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|
| 1. | Director                                                            | Classroom Law Project                                                                                                               |
| 2. | Director                                                            | Oregon Law Institute                                                                                                                |
| 3. | Member (minority interest; no control or managerial responsibility) | Innsmouth Partners, L.L.C. (motion picture production company based in Hillsboro, OR; holds no investments) (See Part VIII, line 1) |
| 4. |                                                                     |                                                                                                                                     |
| 5. |                                                                     |                                                                                                                                     |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

|    | <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|----|-------------|--------------------------|
| 1. |             |                          |
| 2. |             |                          |
| 3. |             |                          |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                                                           | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|----------------------------------------------------------------------------------|----------------------------------------|
| 1. 2015     | Samuel French, Inc. (theatrical royalties for "The Odd Couple")                  | \$17,874.00                            |
| 2. 2015     | Tams-Witmark Music Library, Inc. (theatrical royalties for "Promises, Promises") | \$2,568.00                             |
| 3. 2015     | International Authors Society (theatrical royalties for "The Odd Couple")        | \$11,698.00                            |
| 4.          |                                                                                  |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                         |
|-------------|------------------------------------------------|
| 1. 2015     | U.S. House of Representatives (spouse's wages) |
| 2.          |                                                |
| 3.          |                                                |
| 4.          |                                                |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u>                                     | <u>DATES</u>          | <u>LOCATION</u> | <u>PURPOSE</u>                                                          | <u>ITEMS PAID OR PROVIDED</u>               |
|---------------------------------------------------|-----------------------|-----------------|-------------------------------------------------------------------------|---------------------------------------------|
| 1. Center for Civic Education                     | April 24-28, 2015     | Arlington, VA   | Judge national finals of "We the People" high school civics competition | Transportation only                         |
| 2. George Mason University Law & Economics Center | September 20-25, 2015 | Arlington, VA   | Attend Economics Institute for Judges                                   | Transportation, lodging, food, and textbook |
| 3.                                                |                       |                 |                                                                         |                                             |
| 4.                                                |                       |                 |                                                                         |                                             |
| 5.                                                |                       |                 |                                                                         |                                             |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. U S Bank NA (checking and savings)                                                                                       | A                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. OnPoint Community Credit Union<br>(checking and savings)                                                                 | A                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Northwestern Mutual Life Ins. (whole life<br>insurance cash value)                                                       | C                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. Gold coins                                                                                                               |                                         | None                                          | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. Innsmouth Partners, L.L.C. (See Part VIII,<br>line 1 )                                                                   |                                         | None                                          | J                                               | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. Bonamici for Congress (spouse's loan to her<br>campaign)                                                                 |                                         | None                                          | M                                               | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. Vanguard Brokerage Account (H)                                                                                           |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 8. -- Vanguard 500 Index mut. fund                                                                                          | C                                       | Dividend                                      | N                                               | T                                         | Buy<br>(add'l)                                  | 09/02/15                | M                               |                                |                                                                   |
| 9. -- Vanguard Capital Opportunity mut. fund                                                                                | D                                       | Dividend                                      | M                                               | T                                         | Buy<br>(add'l)                                  | 09/02/15                | K                               |                                |                                                                   |
| 10. -- Vanguard Emerging Markets Stock Index<br>mut. fund                                                                   | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. -- Vanguard Energy mut. fund                                                                                            | B                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. -- Vanguard European Stock Index mut.<br>fund                                                                           | B                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. -- Vanguard Explorer mut. fund                                                                                          | E                                       | Dividend                                      | M                                               |                                           | Buy                                             | 09/02/15                | M                               |                                |                                                                   |
| 14. -- Vanguard Global ex-U.S. Real Estate<br>Index mut. fund                                                               | C                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. -- Vanguard Health Care mut. fund                                                                                       | D                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. -- Vanguard Inflation-Protected Securities<br>mut. fund                                                                 | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 09/02/15                | O                               | A                              |                                                                   |
| 17. -- Vanguard PRIMECAP mut. fund                                                                                          | D                                       | Dividend                                      | M                                               | T                                         | Buy<br>(add'l)                                  | 09/02/15                | K                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                                               |   |          |   |   |                |          |   |   |  |
|-------------------------------------------------------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 18. -- Vanguard REIT Index mut. fund                                          | D | Dividend | M | T |                |          |   |   |  |
| 19. -- Vanguard Small-Cap Index mut. fund                                     | C | Dividend | M |   | Buy            | 09/02/15 | M |   |  |
| 20. Ameriprise Brokerage Account (H)                                          |   |          |   |   |                |          |   |   |  |
| 21. -- Ameriprise cash holding account                                        | A | Interest | M | T |                |          |   |   |  |
| 22. -- Oregon State Dept. of Admin. Services<br>municipal bond                | C | Interest | M | T |                |          |   |   |  |
| 23. -- Blackrock Strategic Inc. Opptys Investor<br>Class A mut. fund          | B | Dividend | K | T | Buy            | 01/16/15 | K |   |  |
| 24.                                                                           |   |          |   |   | Buy<br>(add'l) | 04/24/15 | J |   |  |
| 25.                                                                           |   |          |   |   | Sold<br>(part) | 07/15/15 | J | A |  |
| 26.                                                                           |   |          |   |   | Sold<br>(part) | 10/16/15 | J | A |  |
| 27. -- Active Portfolio Multi Manager Small<br>Cap Equity Class A mut. fund   | B | Dividend | L | T | Buy            | 07/15/15 | L |   |  |
| 28.                                                                           |   |          |   |   | Buy<br>(add'l) | 10/16/15 | J |   |  |
| 29. -- Active Portfolio Multi Manager Value<br>Class A mut. fund              | D | Dividend | M | T | Sold<br>(part) | 01/16/15 | J | A |  |
| 30.                                                                           |   |          |   |   | Buy<br>(add'l) | 04/24/15 | J |   |  |
| 31.                                                                           |   |          |   |   | Buy<br>(add'l) | 07/15/15 | J |   |  |
| 32.                                                                           |   |          |   |   | Buy<br>(add'l) | 10/16/15 | J |   |  |
| 33. -- Columbia Disciplined Growth Cl. A mut.<br>fund (See Part VIII, line 2) | E | Dividend | M | T | Sold<br>(part) | 01/16/15 | J | A |  |
| 34.                                                                           |   |          |   |   | Sold<br>(part) | 04/24/15 | J | A |  |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                              |   |          |   |   |                |          |   |   |  |
|--------------------------------------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 35.                                                          |   |          |   |   | Sold<br>(part) | 07/15/15 | J | A |  |
| 36.                                                          |   |          |   |   | Sold<br>(part) | 10/16/15 | J | A |  |
| 37. -- Columbia Select Large Cap Growth Class<br>A mut. fund | E | Dividend | M | T | Sold<br>(part) | 01/16/15 | J | A |  |
| 38.                                                          |   |          |   |   | Sold<br>(part) | 04/24/15 | J | A |  |
| 39.                                                          |   |          |   |   | Sold<br>(part) | 07/15/15 | J | A |  |
| 40.                                                          |   |          |   |   | Buy<br>(add'l) | 10/16/15 | J |   |  |
| 41. -- Columbia Small Cap Core Class A mut.<br>fund          | C | Dividend |   |   | Buy<br>(add'l) | 01/16/15 | J |   |  |
| 42.                                                          |   |          |   |   | Buy<br>(add'l) | 04/24/15 | J |   |  |
| 43.                                                          |   |          |   |   | Sold           | 07/15/15 | L | C |  |
| 44. -- Deutsche Global Real Estate Sec. Class A<br>mut. fund | A | Dividend |   |   | Sold<br>(part) | 01/16/15 | J | A |  |
| 45.                                                          |   |          |   |   | Buy<br>(add'l) | 04/24/15 | J |   |  |
| 46.                                                          |   |          |   |   | Buy<br>(add'l) | 07/15/15 | J |   |  |
| 47.                                                          |   |          |   |   | Sold           | 10/16/15 | K | D |  |
| 48. -- JPMorgan Strategic Income Opptys Class<br>A mut. fund | A | Dividend |   |   | Sold           | 01/16/15 | K | A |  |
| 49. -- MFS Municipal High Inc. Class A mut.<br>fund          | B | Dividend | K | T | Buy            | 04/24/15 | K |   |  |
| 50.                                                          |   |          |   |   | Buy<br>(add'l) | 07/15/15 | J |   |  |
| 51.                                                          |   |          |   |   | Sold<br>(part) | 10/16/15 | J | A |  |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
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| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
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| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                                        |   |          |   |   |             |          |   |   |  |
|------------------------------------------------------------------------|---|----------|---|---|-------------|----------|---|---|--|
| 52. -- MFS Emerging Markets Debt Class A mut. fund                     | A | Dividend |   |   | Sold        | 04/24/15 | K | A |  |
| 53. -- MFS International Value Class A mut. fund                       | C | Dividend | K | T | Sold (part) | 01/16/15 | J | A |  |
| 54.                                                                    |   |          |   |   | Sold (part) | 04/24/15 | J | A |  |
| 55.                                                                    |   |          |   |   | Buy (add'l) | 10/16/15 | J |   |  |
| 56. -- MFS Value Class A mut. fund                                     | D | Dividend | K | T | Sold (part) | 01/16/15 | J | B |  |
| 57.                                                                    |   |          |   |   | Buy (add'l) | 04/24/15 | J |   |  |
| 58.                                                                    |   |          |   |   | Sold (part) | 07/15/15 | K | D |  |
| 59.                                                                    |   |          |   |   | Buy (add'l) | 10/16/15 | J |   |  |
| 60. -- Oppenheimer International Growth Class A mut. fund              | A | Dividend | L | T | Sold (part) | 04/24/15 | K | A |  |
| 61.                                                                    |   |          |   |   | Buy (add'l) | 07/15/15 | J |   |  |
| 62.                                                                    |   |          |   |   | Buy (add'l) | 10/16/15 | J |   |  |
| 63. -- Prudential Jennison Mid Cap Growth Class A mut. fund            | C | Dividend | K | T | Sold (part) | 01/16/15 | J | A |  |
| 64.                                                                    |   |          |   |   | Buy (add'l) | 04/27/15 | J |   |  |
| 65.                                                                    |   |          |   |   | Buy (add'l) | 07/15/15 | J |   |  |
| 66.                                                                    |   |          |   |   | Buy (add'l) | 10/16/15 | J |   |  |
| 67. -- Wells Fargo Advantage Emerging Markets Equity Class A mut. fund | A | Dividend | K | T | Buy (add'l) | 01/16/15 | J |   |  |
| 68.                                                                    |   |          |   |   | Sold (part) | 04/24/15 | J | A |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 07/15/15                | J                               |                                |                                                                   |
| 70.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/16/15                | J                               | A                              |                                                                   |
| 71. -- Wells Fargo Advantage Municipal Bond<br>Class A mut. fund                                                            | C                                       | Dividend                                      | M                                               | T                                         | Buy<br>(add'l)                                  | 04/24/15                | J                               |                                |                                                                   |
| 72.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/16/15                | J                               | A                              |                                                                   |
| 73. -- Wells Fargo Advantage Short Term<br>Municipal Bond Class A mut. fund                                                 | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 01/16/15                | J                               |                                |                                                                   |
| 74.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 04/24/15                | J                               |                                |                                                                   |
| 75.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/15/15                | J                               | A                              |                                                                   |
| 76.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/16/15                | J                               | A                              |                                                                   |
| 77. -- Wells Fargo Advantage Special Mid Cap<br>Value Class A mut. fund                                                     | C                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 01/16/15                | J                               | A                              |                                                                   |
| 78.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/24/15                | J                               | B                              |                                                                   |
| 79.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/16/15                | J                               |                                |                                                                   |
| 80. -- Western Asset Interm. Term Municipal<br>Class A mut. fund                                                            | C                                       | Dividend                                      | M                                               | T                                         | Buy<br>(add'l)                                  | 01/16/15                | J                               |                                |                                                                   |
| 81.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 04/24/15                | J                               |                                |                                                                   |
| 82.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/15/15                | J                               | A                              |                                                                   |
| 83.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/16/15                | J                               | A                              |                                                                   |
| 84. -- Franklin Templeton Growth Allocation<br>Class A mut. fund                                                            | C                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part I, line 3 and Part VII, Line 5. Innsmouth Partners, L.L.C. is a movie production company based in Hillsboro, OR. It holds no investments. My wife and I own a minority interest and exercise no control or managerial responsibility.
2. Part VII, Line 33. Mutual fund name change. On December 21, 2015, the Columbia Large Growth Quantitative mutual fund (listed on the 2014 Report, Part VII, Line 27) changed its name to the Columbia Disciplined Growth Fund.
3. Part VII, Line 51. Amendment corrects typographical error on date of partial sale. The correct date is 10/16/15, not 01/16/15.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael H. Simon**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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Washington, D.C. 20544