

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SQUATRITO, DOMINIC J.	2. Court or Organization US DISTRICT COURT HARTFORD, CT	3. Date of Report 08/16/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTR. JUDGE-SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address US DISTRICT COURT 450 MAIN STREET HARTFORD, CT 06103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2011	- wages and pass through.
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	People's United Bank & Connecticut Development Authority	Construction mortgage, equipment and revolving credit [REDACTED]	P1
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Contra Fund - mutual fund	A	Dividend	J	T					
2. Bank of America - checking account	A	Interest	M	T					
3. First Bank Niagara - checking account	B	Interest	M	T	Buy	1/1/2011	M		
4. ██████████ - common stock	G	Int./Div.	P1	U					
5. Land, Windham, CT		None	K	W					
6. Cossombrato, Italy - Real Estate		None	M	W					
7. Rental Property, South Kingston, RI	A	Rent	O	W					
8. ██████████ South Windsor, CT	G	Rent	P2						
9. Pfizer, Inc. - common stock	A	Dividend	J	T					
10. Sysco Corp - common stock	A	Dividend	J	T					
11. Oppenheimer Senior Floating Rate Fund - mutual fund	A	Dividend			Sold	2/11/11	J	B	
12. Manulife (John Hancock) Lifestyle Conserve Fund (401K)		None	M	T					
13. Dodge & Cox Intl Stock Fund - common stock	A	Dividend	K	T	Sold (part)	08/11/11	J	B	
14. Praxair, Inc. - common stock	A	Dividend	J	T	Buy (add'l)	2/11/11	J		
15.					Sold (part)	9/30/11	J	A	
16. Vanguard High Yield Corporate Fund	B	Dividend	K	T					
17. New Alliance Bank Taxable Deposit	A	Int./Div.			Buy	1/31/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Buy (add'l)	2/17/11	J		
19.					Buy (add'l)	02/28/11	L		
20.					Buy (add'l)	3/31/11	J		
21.					Buy (add'l)	4/30/11	J		
22.					Sold	8/11/11	J	A	
23.	Property, South Kingston, RI	None	O	W					
24.	Coventry, CT Real Estate	None	J	W					
25.	Apache Corp Com - common stock	A	Dividend	J	T	Buy (add'l)	3/11/11	J	
26.	Noble Corp - common stock		None		Sold	04/12/11	J	B	
27.	Weatherford - common stock		None	J	T	Buy (add'l)	3/1/11	J	
28.	JP Morgan Chase & Co. - common stock	A	Dividend	J	T				
29.	Autodesk - common stock		None		Sold	09/30/11	J	A	
30.	Int'l Business Machines Corp - common stock	A	Dividend	K	T				
31.	Ecolab Inc. - common stock	A	Dividend	K	T	Buy (add'l)	3/1/11	J	
32.	Fidelity CT Municipal Income Fund	D	Dividend	M	T				
33.	Staples - common stock	A	Dividend		Sold	02/17/11	J	A	
34.	Abbott Labs Inc. - common stock	A	Dividend	K	T	Buy (add'l)	2/11/11	J	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35.					Buy (add'l)	4/12/11	J		
36. Teva Pharmaceutical Inds Ltd ADR	A	Dividend	K	T	Buy	4/12/11	J		
37. Danaher Corp - common stock	A	Dividend	K	T	Buy (add'l)	8/31/11	J		
38. United Technologies Corp - common stock	A	Dividend	J	T					
39. Cisco Systems Inc. - common stock	A	Dividend	J	T					
40. Research In Motion - common stock		None			Sold	02/16/11	J	A	
41. Freeport McMoran Copper and Gold - common stock		None			Sold	01/07/11	J	C	
42. Telefonica De Espana A Sponsored ADR	B	Dividend	J	T	Buy (add'l)	2/11/11	J		
43. Plymouth CT 2.000%	A	Int./Div.	K	T					
44. Griswold CT 3.125%	A	Int./Div.	K	T					
45. Connecticut ST 2.750%	A	Int./Div.	K	T					
46. Portland CT 3.500%	A	Int./Div.	K	T					
47. Derby CT 4.250%	B	Int./Div.	K	T					
48. Deutsche CMO - bond	A	Int./Div.	J	T	Redeemed (part)	01/25/11	J	A	
49.					Redeemed (part)	02/25/11	J	A	
50.					Redeemed (part)	03/25/11	J	A	
51.					Redeemed (part)	04/25/11	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52.					Redeemed (part)	05/25/11	J	A	
53.					Redeemed (part)	06/25/11	J	A	
54.					Redeemed (part)	07/25/11	J	A	
55.					Redeemed (part)	08/25/11	J	A	
56.					Redeemed (part)	09/25/11	J	A	
57.					Redeemed (part)	10/25/11	J	A	
58.					Redeemed (part)	11/25/11	J	A	
59.					Redeemed (part)	12/25/11	J	A	
60. Bank of America - common stock	A	Dividend			Sold	08/8/11	J	A	
61. ChevronTexaco Corp - common stock	A	Dividend	K	T					
62. Corning - common stock	A	Dividend	J	T	Buy (add'l)	2/11/11	J		
63.					Sold (part)	10/31/11	J	A	
64. Cummins Inc. - common stock	A	Dividend	J	T					
65. Dentsply International - common stock	A	Dividend			Sold	04/12/11	J	A	
66. DPL Inc - common stock	B	Dividend			Sold	11/28/11	K	C	
67. Fluor Corp - common stock	A	Dividend			Sold	09/30/11	J	A	
68. Illinois Tool Works - common stock	A	Dividend	J	T	Buy (add'l)	2/11/11	J		

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69. ITC Holdings Corp - common stock	A	Dividend	K	T	Buy (add'l)	4/12/11	J		
70. MDU Res Group- common stock	A	Dividend			Sold	01/07/11	K	A	
71. Metlife Inc. - common stock	A	Dividend	J	T	Buy (add'l)	4/12/11	J		
72. Morgan Stanley Dean Witter - common stock	A	Dividend			Sold	4/12/11	J	A	
73. Nordstrom - common stock	A	Dividend			Sold	10/03/11	J	B	
74. Occidental Pete Corp - common stock	A	Dividend			Sold	03/01/11	J	B	
75. Pepsico Inc. - common stock	A	Dividend	K	T	Buy (add'l)	12/28/11	J		
76. Proctor & Gamble Company - common stock	A	Dividend	K	T	Buy (add'l)	3/1/11	J		
77. Southern Co - common stock	B	Dividend	K	T					
78. Target Corp - common stock	A	Dividend	K	T	Buy (add'l)	3/1/11	J		
79.					Buy (add'l)	4/12/11	J		
80.					Sold (part)	12/22/11	J	A	
81. Wells Fargo & Co New - common stock	A	Dividend	J	T	Buy (add'l)	2/17/11	J		
82. Fidelity Adv Emerg Market-1	A	Dividend	K	T					
83. Seadrill Limited	C	Dividend	K	T					
84. Federated Govt Ultra DUR IS - mutual fund	A	Dividend	K	T	Sold (part)	02/17/11	K	A	
85.					Sold (part)	4/12/11	L	A	

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86.					Sold (part)	4/26/11	K	A	
87. Federated Ultra Short FD	C	Dividend	M	T					
88. Vanguard S/T Investment Grade FD-ADM - mutual fund	D	Dividend	M	T	Sold (part)	02/16/11	K	A	
89. Hartford CT 4.250 %	B	Int./Div.	L	T					
90. Madison CT 3.0%	A	Int./Div.	K	T					
91. Newington CT 4.3%	B	Int./Div.	K	T					
92. Newtown CT 2.5%	A	Int./Div.	K	T					
93. Norwalk CT 4.000%	B	Int./Div.	L	T					
94. Gulf Breeze FL 4.500%	B	Int./Div.	K	T					
95. EMC Corp - common stock		None	J	T	Buy (add'l)	2/11/11	J		
96. South Cent CT WTR 3.5%	B	Int./Div.	L	T					
97. Royal Caribbean Cruises LTD	A	Dividend	J	T	Buy (add'l)	4/12/11	J		
98. Apple - common stock		None	K	T	Buy (add'l)	4/12/11	J		
99. Nextera Energy Inc. - common stock	A	Dividend	K	T	Buy (add'l)	2/11/11	J		
100. T Rowe Price Intl Discovery	A	Dividend	K	T					
101. Johnson Controls Inc. - common stock	A	Dividend	K	T	Buy (add'l)	2/11/11	J		
102.					Buy (add'l)	8/11/11	J		

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103. Coach - common stock	A	Dividend			Sold	09/30/11	K	A	
104.					Buy (add'l)	2/11/11	K		
105. Williams Cos Inc. - comon stock	A	Dividend	K	T					
106. Thermo Fisher Scientific Inc. - common stock		None	J	T					
107. Honeywell International - common stock	A	Dividend	K	T	Buy (add'l)	2/11/11	J		
108.					Buy (add'l)	4/12/11	J		
109. Hewlett Packard - common stock	A	Dividend			Buy (add'l)	2/11/11	J		
110.					Buy (add'l)	2/17/11	J		
111.					Sold	8/22/11	J	A	
112. Jacobs Engineering Group, Inc. -		None	J	T					
113. Adobe Systems - common stock		None	J	T	Sold (part)	09/30/11	J	A	
114. Air Products - common stock	A	Dividend			Sold	01/06/11	J	A	
115. Goldman Sachs - common stock	A	Dividend	K	T					
116. T R Price Mid Cap Growth Fund - mutual fund	C	Dividend	K	T					
117. Omnicom Group Com - common stock	A	Dividend	J	T					
118. Cognizant Technology Solutions - common stock		None			Buy	8/29/11	J		
119.					Sold	9/30/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Kimberly Clark - common stock	A	Dividend	J	T	Buy	2/17/11	J		
121.					Sold (part)	10/25/11	J	A	
122. Middlefield CT, 1.950%	A	Int./Div.			Buy	4/26/11	L		
123.					Sold	12/15/11	K	A	
124. Middlefield CT, 1.750%		None	L	T	Buy	12/15/11	L		
125. Ares Capital Corp - common stock	A	Dividend	J	T	Buy	4/12/11	J		
126.					Buy (add'l)	8/11/11	J		
127. Baxter International Inc. - common stock	A	Dividend	J	T	Buy	8/24/11	J		
128.					Buy (add'l)	8/31/11	J		
129.					Buy (add'l)	9/20/11	J		
130. Coca Cola Co Com - common stock	A	Dividend	J	T	Buy	2/11/11	J		
131. Exxon Mobil Corp - common stock	A	Dividend	J	T	Buy	8/15/11	J		
132. Scout International Fund - common stock	A	Dividend	K	T	Buy	11/4/11	K		
133. T Rowe Price High Yield Fund - mutual fund	C	Dividend	K	T	Buy	2/16/11	K		
134. Abb LTD Spons ADR - common stock	A	Dividend	J	T	Buy (add'l)	2/11/11	J		
135.					Buy (add'l)	10/28/11	J		
136. Federated Gov Obl Tx - X	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Litchfield CT 2.5%	A	Int./Div.	L	T	Buy	2/17/11	L		
138. Ford Motor - common stock		None	K	T	Buy	2/11/11	J		
139.					Buy (add'l)	2/24/11	K		
140. Direct CL A - common stock		None	K	T	Buy	4/12/11	J		
141.					Buy (add'l)	10/28/11	J		
142. Google - common stock		None	J	T	Buy	7/22/11	J		
143. Union Pac Corp - common stock		None	J	T	Buy	10/27/11	J		
144. Disney Walt Co. - common stock		None	K	T	Buy	11/3/11	J		
145.					Buy (add'l)	12/7/11	J		
146.					Buy (add'l)	12/29/11	J		
147. Connecticut ST HSG .300% 5/15/12		None	K	T	Buy	12/23/11	K		
148. Oppenheimer Rochester Muni		None			Redeemed	1/1/2011	L	A	
149. Pimco All Asset Fund - Y									
150. Pioneer Global High Yield Fund - Y									
151. Thornburg Investment Income Builder Fund - Y									
152. Principal Sam Balanced Portfolio Fund Class C - Y									
153. Pimco Unconstrained Bond A - Y									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Oppenheimer LTD TRM Muni A - Y									
155. Federated Capital Reserves - Y									
156.									
157.									
158.									
159.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Pimco All Asset Fund - Y - Disposed In full in 2010 and reported on 2010 report

Pioneer Global High Yield Fund - Y - Disposed In full in 2010 and reported on 2010 report.

Thornburg Investment Income Builder Fund - Y - Disposed In full in 2010 and reported on 2010 report.

Principal Sam Balanced Portfolio Fund Class C - Y - Disposed In full in 2010 and reported on 2010 report.

FPL Group, Inc. - Y - Disposed In full in 2010 and reported on 2010 report.

Pimco Unconstrained Bond A - Y - Disposed In full in 2010 and reported on 2010 report.

Oppenheimer LTD TRM Muni A - Y - Disposed In full in 2010 and reported on 2010 report.

Federated Capital Reserves - Y - Was combined with Federated Government Obl Tax in 2010.

Federated Gov Obl Tx - X - Was combined with Fedreated Capital Reserves in 2010.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **DOMINIC J. SQUATRITO**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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