

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Springmann, Theresa L.	2. Court or Organization Northern District of Indiana	3. Date of Report 05/10/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U. S. Courthouse 1300 South Harrison Street Fort Wayne, IN 46802		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Personal Representative	Estate 1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Master Card	Credit Card	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1									
2. - Riversource Life Ins. Fixed account	A	Dividend	K	T	Redeemed (part)	4/1/2011	J		
3.					Redeemed (part)	4/29/11	J		
4.					Redeemed (part)	8/15/11	J		
5.					Redeemed (part)	9/30/11	J		
6.					Redeemed (part)	11/1/11	J		
7.					Redeemed (part)	12/1/11	J		
8.					Redeemed (part)	12/6/11	J		
9. - Riversource VP Div Bond C13	A	Interest	K	T	Redeemed (part)	4/1/11	J		
10.					Redeemed (part)	4/29/11	J		
11.					Redeemed (part)	8/15/11	J		
12.					Redeemed (part)	9/30/11	J		
13.					Redeemed (part)	11/1/11	J		
14.					Redeemed (part)	12/1/11	J		
15.					Redeemed (part)	12/6/11	J		
16. - Riversource VP Cash Mgmt C13	A	Interest	K	T	Redeemed (part)	4/1/11	J		
17.					Redeemed (part)	4/29/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Redeemed (part)	8/15/11	J		
19.					Redeemed (part)	9/30/11	J		
20.					Redeemed (part)	11/1/11	J		
21.					Redeemed (part)	12/1/11	J		
22.					Redeemed (part)	12/6/11	J		
23.									
24. IRA # 2									
25. - Riversource Life Ins. Fixed Account	A	Dividend	J	T	Redeemed (part)	1/3/11	J		
26.					Redeemed (part)	2/1/11	J		
27.					Redeemed (part)	3/1/11	J		
28.					Redeemed (part)	12/6/11	J		
29. - Riversource COL VP Div Bond C13	A	Interest	J	T	Redeemed (part)	1/3/11	J		
30.					Redeemed (part)	2/1/11	J		
31.					Redeemed (part)	3/1/11	J		
32.					Redeemed (part)	12/6/11	J		
33. - Riversource COL VP Cash Mgmt C13	A	Interest	J	T	Redeemed (part)	1/3/11	J		
34.					Redeemed (part)	2/1/11	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Redeemed (part)	3/1/11	J		
36.					Redeemed (part)	12/6/11	J		
37.									
38. Annuity - Riversource Fixed Annuity	A	Dividend	K	T	Redeemed (part)	5/19/11	J		
39.									
40. IRA #3									
41. -Riversource Life Ins. Fixed Account	B	Int./Div.	K	T					
42. -Riversource COL VP Div Bond C13	C	Dividend	L	T					
43.									
44. IRA #4									
45. - Riversource Life Ins. Fixed Account	A	Dividend	J	T					
46. - Riversource COL VP Div Bond C13	B	Interest	K	T					
47. - Riversource COL VP Cash Mgmt C13	A	Interest	J	T					
48.									
49. Chase Bank Account	A	Interest	K	T					
50.									
51. Estate 1	A	Interest	J	T					

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52. - Chase Bank Account									
53. - Centier Bank Accounts					Redeemed	1/4/11	K		
54. - Citizens Financial accounts					Redeemed	2/12/11	J		
55. - First Financial accounts					Redeemed	1/20/11	J		
56. - Disney common shares									
57.									
58. T. Rowe Price Summit Cash Reserve	A	Interest	K	T	Sold (part)	1/10/11	J		
59.					Sold (part)	2/16/11	J		
60.					Sold (part)	3/16/11	J		
61.					Sold (part)	3/17/11	J		
62.					Sold (part)	5/3/11	J		
63.					Sold (part)	7/11/11	J		
64.					Sold (part)	5/31/11	J		
65.					Sold (part)	1/13/11	J		
66.					Sold (part)	5/31/11	J		
67.					Buy (add'l)	1/6/11	J		
68.					Buy (add'l)	1/18/11	J		

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69.					Buy (add'l)	2/15/11	J		
70.					Buy (add'l)	3/15/11	J		
71.					Buy (add'l)	5/16/11	K		
72.									
73. Compagine Financiere Richemont AG Switz common shares	A	Dividend	J	T	Buy	1/5/11	J		
74. Exelon Corp. common shares	A	Dividend	J	T	Buy	2/11/11	J		
75. Exelon Corp. common shares	A	Dividend	J	T	Buy (add'l)	3/11/11	J		
76. Apple common shares		None	J	T	Buy	3/14/11	J		
77. Applied Materials common shares	A	Dividend	J	T	Buy	4/28/11	J		
78. Abbott Labs common shares	A	Dividend	J	T	Buy	4/28/11	J		
79. Coinstar Inc Common shares		None	J	T	Buy	7/6/11	J		
80. Ford common shares		None			Sold	1/3/11	J		
81. Broadcomm common shares	A	Dividend			Sold	1/12/11	J		
82. Apple common shares		None			Sold	2/10/11	J		
83. Starbucks common shares	A	Dividend			Sold	3/10/11	J		
84. T. Rowe Price retirement Income Fund	A	Dividend	K	T	Buy (add'l)	5/31/11	J		
85. T. Rowe Price New Asia	A	Dividend	J	T	Buy	1/13/11	J		

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86.	T.Rowe Price US Treasury Intermediate Fund	A	Dividend			Sold	5/16/11	K	
87.	T. Rowe Price Emerging Markets Stock Mutual	A	Int./Div.	J	T	Buy (add'l)	12/16/11	J	
88.	T. Rowe Price New Income Fund	A	Dividend	J	T	Buy (add'l)	1/31/11	J	
89.						Buy (add'l)	2/28/11	J	
90.						Buy (add'l)	3/31/11	J	
91.						Buy (add'l)	4/29/11	J	
92.						Buy (add'l)	5/31/11	J	
93.						Buy (add'l)	6/30/11	J	
94.						Buy (add'l)	7/29/11	J	
95.						Buy (add'l)	8/31/11	J	
96.						Buy (add'l)	9/30/11	J	
97.						Buy (add'l)	10/31/11	J	
98.						Buy (add'l)	11/30/11	J	
99.						Buy (add'l)	12/7/11	J	
100.						Buy (add'l)	12/30/11	J	
101.	T. Rowe Price Retirement Fund	A	Dividend	K	T	Buy (add'l)	1/31/11	J	
102.						Buy (add'l)	2/28/11	J	

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103.					Buy (add'l)	3/31/11	J		
104.					Buy (add'l)	4/29/11	J		
105.					Buy (add'l)	5/31/11	J		
106.					Buy (add'l)	5/31/11	J		
107.					Buy (add'l)	6/30/11	J		
108.					Buy (add'l)	7/29/11	J		
109.					Buy (add'l)	8/31/11	J		
110.					Buy (add'l)	9/30/11	J		
111.					Buy (add'l)	10/31/11	J		
112.					Buy (add'l)	11/30/11	J		
113.					Buy (add'l)	12/21/11	J		
114.					Buy (add'l)	12/30/11	J		
115.									
116. T. Rowe Price US Treasury Intermediate Fund	A	Dividend			Buy (add'l)	1/31/11	J		
117.					Buy (add'l)	2/28/11	J		
118.					Buy (add'l)	3/31/11	J		
119.					Buy (add'l)	4/29/11	J		

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120.					Buy (add'l)	5/16/11	J		
121.					Sold	5/16/11	K		
122. A T & T common shares	A	Dividend	J	T					
123. American Electric Power common shares	A	Dividend	J	T					
124. Avalon Bay common shares	A	Dividend	J	T					
125. Bank of America common shares	A	Dividend	J	T					
126. Coming common shares	A	Dividend	J	T					
127. Cree Inc common shares		None	J	T					
128. Cummins Engine common shares	A	Dividend	J	T					
129. Google inc common shares		None	J	T					
130. Home Depot common shares	A	Dividend	J	T					
131. McDonalds common	A	Dividend	J	T					
132. Mid America Apartments common	A	Dividend	J	T					
133. Qualcomm common	A	Dividend	J	T					
134. Texas Instrument common	A	Dividend	J	T					
135. Verizon common	A	Dividend	J	T					
136. USAA Precious Metals & Mineral Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Wisdom Tree Large Cap Fund	A	Dividend	J	T					
138.									
139.									
140.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII:

Line 9 - Name change / was Riversource VP Div Bond C3

Line 16 - Name change / was Riversource VP Cash Mgmt C3

Line 29 - Name change / was Riversource VP Div Bond C3

Line 33 - Name change / was Riversource VP Cash Mgmt C3

Line 42 - Name change / was Riversource VP Div Bond C3

Line 46 - Name change / was Riversource VP Div Bond C3

Line 47 - Name change / was Riversource VP Cash Mgmt C3

Line 62 - Disney common shares were inadvertently omitted from prior reports

Line 84 - Name change / was T. Rowe Price Retirement Fund

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Theresa L. Springmann**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544