

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Arpert, Douglas E.	2. Court or Organization District Court-New Jersey	3. Date of Report 05/04/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 402 E. State Street Trenton, NJ 8608		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

Belmar, NJ Board of Education - compensation for services

2. 2017

Wayne Auto Sales, Inc. - installment sale (closely held business)

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Bank - Accounts	A	Interest	J	T					
2. Kearny Financial Corp	A	Dividend	J	T					
3. Merrill Lynch IRA #1									
4. - General Electric	A	Dividend	J	T					
5. - Blackrock Multi Asset Income	A	Distribution	J	T					
6. -Delaware Diversified Income Fund	B	Distribution	K	T					
7. -Delaware Ltd Term Inc Fund	A	Distribution	K	T					
8. -Goldman Sachs Strategic Inc Fund	B	Distribution	K	T	Sold	11/17/17	K	A	
9. -Health Care Select SPDR	A	Distribution	J	T					
10. Ishares NASDAQ Biotech ETF	A	Distribution	J	T	Buy	06/07/17			
11. -Loomis Sayles Strategic	B	Distribution	K	T					
12. -Loomis Sayles Strategic	B	Distribution	K	T	Buy	11/17/17	J		
13. -MFS Global Total Return	A	Distribution	K	T					
14. -MFS Global Equity Fund	A	Distribution	J	T					
15. -Powershares ETF DIVID	A	Distribution	J	T					
16. -Powershares Dividend Ach (PFM)	A	Distribution	J	T	Buy	11/17/17	J		
17. -Sector SPDR Consumers STPL	A	Distribution	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Vanguard Value ETF	A	Distribution	K	T					
19. - Vanguard Value ETF	A	Distribution	K	T	Sold	06/07/17	J	A	
20. -Vanguard Growth ETF	A	Distribution	J	T					
21. -Virtus Multi Sector ST Bond Fnd	B	Distribution	K	T					
22. Merrill Lynch IRA #2									
23. -Blackrock Global	A	Dividend	K	T					
24. Merrill Lynch CMA									
25. - FIA Card Svcs	A	Interest	K	T					
26. - CD Ally Bank	A	Dividend	J	T	Matured	12/18/17	J	A	
27. - MFS Global Total Return	A	Dividend	K	T					
28. Bessemer Trust									
29. - OW Muni Bond Fund	A	Distribution	K	T	Buy	03/31/17	K		
30. -OW Large Cap Strategies FD	A	Distribution	K	T	Buy	03/31/17	K		
31. -OW All Cap Core FD	A	Distribution	J	T	Buy	03/31/17	J		
32. -OW Strategic Oppty Fund	A	Distribution	J	T	Buy	03/31/17	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

None.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Douglas E. Arpert

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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