

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Griffith, Thomas B.	2. Court or Organization US Court of Appeals for the D.C. Circuit	3. Date of Report 12/13/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 333 Constitution Avenue, NW Suite 3917 Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member, International Advisory Board	CEELI Institute
2.	Director, President	The Temple and Observatory Group
3.	Member, Advisory Board	Neal A. Maxwell Institute
4.	Member, Board of Directors	Historical Society of the District of Columbia Circuit
5.	Member, Advisory Board	BYU Law School

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2000	Deseret Mutual Benefit Association (DMBA) Investment Fund (retirement plan)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Stanford Law School - Adjunct Professor salary	\$27,225.00
2. 2017	Deseret Mutual Benefit Association - Retirement Account	\$18,867.60
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Administrative Office of the U.S. Courts	1/4/2017 - 1/26/2017	Atlanta, GA	Attend the meeting of the Committee on Codes of Conduct	Airfare, baggage fee, taxi, accommodations, parking
2. Stanford Law School	1/8/2017 - 1/25/2017	Stanford, CA	Teach class -- "The Article III Judge"	Airfare, baggage fee, taxi, accommodations
3. Federalist Society	1/9/2017	Berkeley, CA	Speak to FedSoc Chapter at UC Berkeley Boalt Hall School of Law	Taxi
4. Yale Law School	4/5/2017	New Haven, CT	Participate in YLS Debating Law & Religion panel	Airfare, car service, hotel, mileage, parking, meals

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5.	Brigham Young University	4/21/2017 - 4/23/2017	Provo, UT	Attend Advisory Meeting of Maxwell Institute for Religious Scholarship	Airfare, car rental, accommodations, meals, mileage, parking
6.	Administrative Office of the U.S. Courts	6/7/2017	Washington, DC	Attend the meeting of the Committee on Codes of Conduct	Meal
7.	Faith Matters Foundation	6/19/2017 - 6/24/2017	Midway, UT	Attend Faith Matters Foundation retreat	Airfare, car transportation, accommodations, meals
8.	Circuit Judicial Conference	6/27/2017 - 6/30/2017	Lancaster, PA	Attend the 2017 D.C. Circuit Judicial Conference	Hotel, meals, mileage, parking
9.	J. Reuben Clark Law Society	8/9/2017 - 8/13/2017	Cambridge, England	Attend UK and Ireland Conference of the J. Reuben Clark Law Society	Airfare, hotels, train transportation, meals, mileage, parking
10.	Brigham Young University	10/19/2017 - 10/22/2017	Provo, UT	Attend Advisory Meeting of Maxwell Institute for Religious Scholarship	Airfare, car rental, accommodations, meals, mileage, parking
11.	Administrative Office of the U.S. Courts	11/8/2017	Washington, DC	Attend National Symposium for U.S. Court of Appeals Judges	Reception at Supreme Court
12.					
13.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	C & D Construction	Unsecured Construction Loan	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Wells Fargo Bank Acct	A	Interest	J	T					
2. Nuveen Multi-Manager Large-Cap Value A	A	Dividend	J	T					
3. DMBA Investment Fund	A	Dividend	K	T					
4. Edward Jones Money Market	A	Interest	J	T					
5. IRA (H)									
6. --Lord Abbett Fund CI A	A	Dividend	J	T					
7. --Washington Mutual Invs FD CI A	A	Dividend	K	T					
8. MUTUAL FUNDS (H)									
9. --Allianzgi NFJ Dividend	E	Dividend			Sold (part)	01/20/17	J		
10.					Sold	05/23/17	J	A	
11. --American Century Div Bond	A	Dividend	J	T	Sold (part)	01/20/17	J		
12. --Blackrock Equity Dividend	A	Dividend	J	T	Sold (part)	01/20/17	J		
13. --Bridge Builder Core Bond	A	Dividend	K	T	Sold (part)	01/20/17	K		
14. --Bridge Builder Core Plus Bond	A	Dividend	J	T	Sold (part)	01/20/17	J		
15. --Bridge Builder INTL Equity	A	Dividend	J	T					
16. --Bridge Builder Large Growth	B	Dividend	J	T	Sold (part)	01/20/17	J		
17. --Bridge Builder Large Value	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --Bridge Builder Smallmid Growth	B	Dividend	J	T	Sold (part)	01/20/17	J		
19. --Bridge Builder Smallmid Value	B	Dividend	J	T	Sold (part)	01/20/17	J		
20. --Capital World Bond	A	Dividend	J	T					
21. --Dodge & Cox INTL Stock	B	Dividend	J	T	Sold (part)	01/20/17	J		
22. --Dreyfus International Stk Cl Y	B	Dividend	J	T	Sold (part)	01/20/17	J		
23. --Janus Flexible Bond	A	Dividend	J	T	Sold (part)	01/20/17	J		
24. --JPMorgan Federal Money Market Fund Institutional Cl	A	Dividend	J	T					
25. --JPMorgan Mid Cap Value Instl	D	Dividend			Sold	03/16/17	J	A	
26. --JPMorgan Short Duration Bond	E	Dividend			Sold	03/16/17	J	A	
27. --Neuberger Berman Genesis	A	Dividend	J	T	Sold (part)	01/20/17	J		
28. --Neuberger Berman High Income	A	Dividend	K	T					
29. --Prudential Total Return Bond	A	Dividend	J	T	Sold (part)	01/20/17	J		
30. --T Rowe Price Equity Income	A	Dividend	J	T					
31. --T Rowe Price Instl Lrge Cp Gr	B	Dividend	J	T	Sold (part)	01/20/17	J		
32. --Dimensional International Small Company Fund	A	Dividend	J	T					
33. --Harbor International	A	Dividend	J	T	Sold (part)	01/20/17	J		
34. MUNICIPAL BONDS (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. --Loudoun Cnty Virginia Public Improvement Gen Oblg Ser A	A	Dividend	J	T					
36. --Norfolk Virginia General Obligation Cap Impt & Rfdg A	A	Dividend	J	T					
37. --Suffolk Virginia Refunding General Obligation	A	Dividend	J	T					
38. --Isle Wight County Virginia Refunding Series A Gen Oblg	A	Dividend	J	T					
39. --Suffolk Virginia General Obligation & Refunding	A	Dividend	J	T					
40. --Hampton Virginia Public Improvement General Obligation	A	Dividend	J	T					
41. --Virginia State Series A-2 General Obligation	A	Dividend	K	T					
42. --Fairfax Cnty Virginia Econ Dev Auth Fac Rev Cmnty Svcs Facs A	A	Dividend	J	T					
43. --Virginia State Housing Dev Authority Rental Hsg Ser B	A	Dividend	J	T					
44. --Harrisonburg Virginia GO Pub Impt	A	Dividend	J	T					
45. STOCKS (H)									
46. --Realty Income Corp	C	Dividend	K	T					
47. EXCHANGE TRADED AND CLOSED END FUNDS (H)									
48. --Sector Spdr Trust Utilities	A	Dividend	K	T					
49. LINCOLN FINANCIAL LIFE INSURANCE AMERICAN LEGACY II VARIABLE (H)	D	Dividend							
50. -Indiv. Fixed			L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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51. -AMR Grth/Inc.			K	T					
52. -AMR Growth			L	T					
53. -AMR INTL			K	T					
54. -AMR Govt Aaa			L	T					
55. DFA International	A	Dividend	J	T	Sold (part)	01/20/17	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII -- Investments and Trusts

The filer cannot control selection of the assets for the LINCOLN FINANCIAL LIFE INSURANCE AMERICAN LEGACY VARIABLE. The financial statement does not indicate income during the reporting period for each asset. The financial statement indicates the filer's income from the Lincoln Financial Life Insurance American Legacy Variable as a whole. It also gives the gross value of each asset during 2017, and we have indicated both of these.

During 2017, Dimentional International Small Company Fund (#58 on the 2016 Financial Disclosure) merged with DFA International (#88 on the 2016 Financial Disclosure). It is a managed account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas B. Griffith**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544