

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Finnegan, Sheila M.	2. Court or Organization District Court - Northern District of Illinois	3. Date of Report 08/10/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)_	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 219 S. Dearborn Street Room 2206 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Adjunct Professor	Northwestern University Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Northwestern University Law School	\$2,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Securitas (salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Templeton Growth Fund-A (TEPLX)	A	Dividend	K	T					
2. New England Life Ins. Variable Life - SF (MetLife Stk Index)	B	Int./Div.	K	T					
3. Everbank Checking	A	Interest	J	T					
4. U.S. Employee Credit Union Checking and Saving	A	Interest	J	T					
5. Schwab Bank (credit card reward program)	A	Int./Div.	J	T					
6. Iconix Brand Group, Inc. (ICON)	A	Dividend	J	T					
7. Brokerage Account 1 (Fidelity)									
8. -Fidelity Municipal Money Market	C	Interest	N	T					
9. -Chicago IL Govt Bond 1/1/2016	B	Interest	K	T					
10. -Collier Cnty FLA Bond 10/1/2013	A	Interest	K	T					
11. -FL State Dept Gen Svcs Bond 9/1/2014	A	Interest	K	T					
12. -Midfirst Bank Okla City CD 9/23/2011	A	Interest			Redeemed	09/23/11	K	A	
13. -Midfirst Bank Okla City CD 9/24/2012	A	Interest	K	T					
14. -U.S. Treas Nts TIPS 4/15/2014	A	Interest	K	T					
15. -BWX ETF (Spdr Ser Tr Lehman Intl Treas Bd)	A	Interest	J	T					
16. -EFA (Ishares Tr MSCI EAFE Index Fd)	B	Dividend	K	T	Sold (part)	10/27/11	K	D	
17. -HYG (Ishares Tr IBovx & high yield corp Bd Fd)	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -IJH (Ishares Tr S&P Midcap 400 Index Fd)	A	Int./Div.	K	T					
19. -IVV (Ishares Tr S&P 500 Index Fd)	B	Int./Div.	L	T	Sold (part)	10/11/11	K	D	
20.					Sold (part)	10/27/11	K	D	
21. -IWD (Ishares Tr Russell 1000 Value Index Fd)	A	Int./Div.	K	T	Sold (part)	10/11/11	K	D	
22.					Sold (part)	10/27/11	K	D	
23. -IWF (Ishares Tr Russell 1000 Growth Index Fd)	B	Int./Div.			Sold (part)	10/12/11	K	D	
24. -IWO (Ishares Tr Russell 2000 Growth Index Fd)	A	Int./Div.	K	T					
25. -IWP (Ishares Tr Russell Midcap Growth Index Fd)	A	Int./Div.	K	T	Sold (part)	10/11/11	K	D	
26.					Sold (part)	10/27/11	K	D	
27. -Merck & Co.(formerly Schering Plough)	A	Dividend	J	T					
28. -VEU (Vanguard Intl Equity Index Fd FTSE All World Ex US)	A	Int./Div.	J	T					
29. -MOS (Mosaic)		None	K	T	Buy	08/04/11	K		
30.					Buy (add'l)	08/08/11	K		
31.					Sold	08/15/11	L	C	
32.					Buy	09/22/11	J		
33.					Buy (add'l)	09/28/11	J		
34.					Sold (part)	10/11/11	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Buy (add'l)	11/01/11	J		
36.					Buy (add'l)	11/17/11	J		
37.					Buy (add'l)	11/23/11	J		
38. -CAT (Caterpillar)		None			Buy	11/21/11	J		
39.					Sold	11/30/11	J	A	
40. -POT (Potash)		None	J	T	Buy	08/11/11	J		
41.					Sold	08/15/11	J	B	
42.					Buy	09/23/11	J		
43.					Buy (add'l)	09/30/11	J		
44.					Buy (add'l)	11/25/11	J		
45. -AAPL (Apple)		None			Buy	08/08/11	K		
46.					Sold	08/23/11	K	B	
47. -SPY (SPDR S&P500 ETF)					Buy	08/08/11	K		
48. Brokerage Acct 2 (UBS- 08)									
49. -RMA Money Mkt Portfolio	A	Dividend	K	T					
50. -Charlottesville Va Bond 7/15/15	A	Interest	K	T					
51. -Charleston Cnty SC BE/R 4.250 110115 dtd 050206	B	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -New York NY Fiscal Ser Bond Mand Sink Fnd 10/15/16	B	Interest	K	T					
53. -Chicago IL Wstwr Transm Rev Ser B FGIC 010117 Dtd 112106	B	Interest	K	T					
54. -Dallas Fort Worth Tx In Sr A Rv BE/R 5.000 110115 DTD 09010	B	Interest	K	T					
55. -Denton Texas Util Sys Rev FSA B/E /R 5.000 120117 DTD 05150	B	Interest	K	T					
56. -Georgia State Ser V /R/5.000 070116 Dtd 070198	B	Interest	K	T					
57. -Illinois St NPFG Bd/R/5.000 090114 DTD 092804	A	Interest	K	T					
58. -Illinois St Sync Cr/R/5.500 080115 DTD 080102	B	Interest	K	T					
59. -Western Minn Mun Pwr Agy Ser B Rev NPFG B/E /R/5.000 010115	B	Interest	K	T					
60. -Humble Tx Indpt Sch Bond 2/15/19	B	Interest	K	T					
61. -Port St. Lucie Fl U/Rev Rev NPFG B/E /R/	B	Interest	K	T					
62. -Monroe Cnty NY AGC BE/R/	A	Interest	K	T					
63. -Hamilton Cnty Oh SWR Sys Imp Rev 06A NPFG B/E /R/	A	Interest	K	T					
64. -Maryland St. Sr A BE/R/	B	Interest	K	T					
65. -TGINX (TCW Emerging Mkts Inc Fund Class N)	A	Dividend			Buy	04/11/11	J		
66.					Sold (part)	06/22/11	J	A	
67.					Sold	09/22/11	J	A	
68. -ELD (Wisdomtree Tr Em LCL Debt Fd ETF)	A	Dividend			Buy	04/11/11	J		

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69.					Sold	06/22/11	J	A	
70. -NARAX (Virtus Multi-sector Short term bond)	A	Dividend	K	T	Buy	04/11/11	J		
71.					Sold (part)	06/22/11	J	A	
72.					Buy (add'l)	09/22/11	J		
73.					Buy (add'l)	10/05/11	J		
74.					Sold (part)	11/09/11	J	A	
75. -JSOAX (JP Morg Strategic Inc Opportunities)	A	Dividend			Buy	04/11/11	J		
76.					Sold (part)	06/22/11	J	A	
77.					Sold	10/04/11	J	A	
78. -BJBHX (Artio Global High income fund Class A)	A	Dividend			Buy	04/11/11	J		
79.					Sold (part)	06/22/11	J	A	
80.					Sold	09/22/11	J	A	
81. -RSFLX (RS Floating Rate Fund Class A)	A	Dividend			Buy	04/11/11	K		
82.					Sold	06/22/11	K	A	
83. -STXAX (Legg Mason Western Asset Municipal High Income Fund)	A	Dividend			Buy	04/11/11	J		
84.					Sold	04/26/11	J	A	
85. -PDINX (Putnam Diversified Income Trust Class A)	A	Dividend	K	T	Buy	04/11/11	J		

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86.					Sold (part)	06/22/11	J	A	
87.					Buy (add'l)	11/09/11	J		
88. -EVBLX (Eaton Vance Floating Rate Fund Class A)	A	Dividend	K	T	Buy	06/22/11	K		
89.					Sold (part)	09/22/11	J	A	
90.					Buy (add'l)	11/09/11	J		
91. -PUBAX (Pimco Unconstrained Bond Fund Class A)	A	Dividend	K	T	Buy	06/22/11	J		
92.					Buy (add'l)	10/05/11	J		
93.					Sold (part)	11/09/11	J	A	
94. -PTTAX (Pimco Total Return Fund Class A)	A	Dividend	J	T	Buy	10/05/11	K		
95.					Sold (part)	11/09/11	J	A	
96. -HFLAX (Hartford Floating Rate Fund Class A)	A	Dividend	J	T	Buy	06/22/11	J		
97.					Buy (add'l)	11/09/11	J		
98. Rollover IRA SF (UBS-83)	E	Int./Div.	N	T					
99. -UBS Liquid Assets Fund									
100. -GE Bond 2/1/13									
101. -CVS Corp Bond 9/15/14									
102. -Goldman Sachs Group Inc Bond 1/15/15									

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103. -Anheuser Busch Co. Bond 2/1/15									
104. -Cisco Systems Inc Bond 2/22/16									
105. -Marathon Oil Corp Bond 10/1/17					Sold	04/26/11	K	B	
106. -Metlife Inc NTS 05.000% 061515 Drd062305 FC 121505 call@M/W									
107. -TimeWarner Cable Inc 05.850% 050117 Dtd040907 FC110107 call									
108. -EMB (Ishares JP Morgan USD Emerging Mkts Bond Fund)					Sold	10/19/11	L	B	
109. -BJBHX (Artio Global High Inc Fund)					Sold (part)	06/22/11	J	A	
110.					Sold	09/22/11	K	A	
111. -EVBLX (Eaton Vance Floating Rate Fund)					Sold (part)	01/25/11	K	A	
112.					Buy (add'l)	06/22/11	K		
113.					Buy (add'l)	09/22/11	K		
114.					Buy (add'l)	11/09/11	J		
115. -JSOAX (JP Morgan Income Opportunities Fund)					Sold (part)	06/22/11	J	A	
116.					Sold	10/04/11	K		
117. -PDINX (Putnam Diversified Income Trust)					Buy (add'l)	01/28/11	J		
118.					Buy (add'l)	04/11/11	J		
119.					Sold (part)	06/22/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -TGINX (TCW Emerging Mkts Income Fund)					Sold (part)	01/25/11	J	A	
121.					Sold (part)	06/22/11	J	A	
122.					Sold	09/22/11	J		
123.					Buy	11/09/11	J		
124. -NARAX (Virtus Multi-Sector Short Term Bond Fund)					Buy (add'l)	01/28/11	J		
125.					Buy (add'l)	04/11/11	J		
126.					Sold (part)	06/22/11	J	A	
127.					Buy (add'l)	09/22/11	J		
128.					Buy (add'l)	10/05/11	J		
129.					Sold (part)	11/09/11	J	A	
130. -ELD (Wisdomtree TR EM LCL)					Buy (add'l)	01/28/11	J		
131.					Sold (part)	06/22/11	K	A	
132. -PUBAX (Pimco Unconstrained Bond Fund Class A)					Buy	06/22/11	K		
133.					Buy (add'l)	10/05/11	J		
134.					Sold (part)	11/09/11	J	A	
135. -HFLAX (Hartford Floating Rate Fund Class A)					Buy	06/22/11	K		
136.					Buy (add'l)	11/09/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -RSFLX (RS Floating Rate Fund Class A)					Buy	01/28/11	K		
138.					Sold	06/22/11	K	A	
139. -PTTAX (Pimco Total Return Fund Class A)					Buy	10/05/11	K		
140.					Sold (part)	11/09/11	J	A	
141. Rollover IRA SF 2 (UBS-84)	E	Int./Div.	O	T					
142. -UBS Liquid Assets Fund									
143. -XLP (Consumers Staples Sector SPDR Trust SHS)					Buy (add'l)	04/11/11	J		
144.					Sold	09/23/11	K	C	
145. -XLE (Energy Sector SPDR Trust)					Sold	04/07/11	J	C	
146. -XLF (Financial Sector SPDR Trust ETF)					Buy (add'l)	04/11/11	J		
147.					Sold	09/23/11	K	A	
148. -EWA (Ishares Inc MSCI Australia Index Fd)					Buy (add'l)	01/18/11	J		
149.					Sold (part)	09/12/11	J	A	
150. -EWC (Ishares Inc MSCI Canada Index Fd)					Buy (add'l)	01/18/11	J		
151.					Sold (part)	09/23/11	J	A	
152. --EWU (Ishares Inc MSCI Untd Kingdom Index Fd)					Buy (add'l)	01/18/11	J		
153.					Sold	09/23/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -IWM (Ishares Trust Russell 2000 Index Fd)					Buy (add'l)	04/11/11	J		
155.					Buy (add'l)	09/23/11	K		
156. -IWD (Ishares Trust Russell 1000 Value Index Fd)					Sold	04/11/11	K	C	
157.					Buy	09/23/11	L		
158. -IWF (Ishares Trust Russell 1000 Growth Index Fd)					Sold	04/11/11	J	A	
159.					Buy	09/23/11	J		
160. -IWR (Ishares Trust Russell Midcap Index Fd)					Sold	04/11/11	J	B	
161.					Buy	09/23/11	K		
162. -BKF (Ishares MSCI BRIC)					Buy (add'l)	01/18/11	J		
163.					Sold (part)	09/23/11	J	A	
164. -XLB (Sector SPDR Trust SHS Ben Int- Materials)					Buy (add'l)	04/11/11	J		
165.					Sold (part)	09/23/11	J	A	
166. -XLU (Utilities Sector SPDR Trust)					Sold	04/11/11	J	A	
167. -VGT (Vanguard Sector Index Fd Information Tech ETF)					Buy (add'l)	04/11/11	J		
168.					Sold	09/23/11	K	A	
169. -VGK (Vanguard Intl Equity Index Vanguard Euro ETF)					Buy (add'l)	01/18/11	J		
170.					Sold	04/11/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -VWO (Vanguard Intl Equity Index Fd Emerging Markets ETF)					Buy (add'l)	01/18/11	J		
172.					Sold	04/11/11	K	C	
173.					Buy	09/23/11	K		
174. -VEU (Vanguard Intl Equity Index Fd Inc FSTE All-World EX-US					Buy (add'l)	01/18/11	J		
175.					Buy (add'l)	04/11/11	J		
176.					Buy (add'l)	09/23/11	J		
177. -MDLOX (Blackrock Global Allocation Fund Inc A)					Sold	11/09/11	K	A	
178. -WASAX (Ivy Asset Strategy Fund Class A)					Sold	11/09/11	J	A	
179. -PGMAX (Pimco All Assets All Auth-A)					Buy (add'l)	11/09/11	L		
180. -PAUAX (Pimco Global Multi-Asset Fd Class A)									
181.					Sold	11/09/11	J	A	
182. -XLY (Consumer Sector SPDR)									
183. -XLI (Industrial Sector SPDR)					Buy (add'l)	04/11/11	J		
184.					Sold	09/23/11	K	A	
185. -EWG (Ishares Inc MISC1 Germany Index Fund)					Buy (add'l)	01/18/11	J		
186.					Sold (part)	09/23/11	J	A	
187. -XLV (Health Care Select Sector SPDR Fund)					Buy (add'l)	04/11/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold	09/23/11	J	A	
189. -DEM (Wisdomtree Emerging Mkts Equity Income)					Sold	09/23/11	J	A	
190. -EWJ (Ishares Inc MSCI Japan Index Fd)					Buy	04/11/11	J		
191.					Buy (add'l)	09/23/11	J		
192. -HDSAX (JPM Highbridge Dynamic Commod Strategy FBOID)					Buy	04/26/11	J		
193.					Buy (add'l)	11/09/11	K		
194. -VSS (Vanguard FTSE All WO X-US SC)					Buy	04/11/11	J		
195.					Sold	09/28/11	J	A	
196. -EAGMX (Eaton Vance Global Macro Absolute Return FBOID)					Sold	11/09/11	K	A	
197. IRA Rollover Acct KS (Fidelity)	D	Int./Div.	M	T					
198. -U.S. Treas Nts TIPS 4/15/2014									
199. -BEARX (Fed. Prudent Bear Fund Class A)									
200. -CUT (Claymore Exch Traded Fd Tr Global Bimber)									
201. -DBC (Powershares DB Commod. Index Tracking FD Unit Ben Int)									
202. -DBV (Powershares DB G10 Currency Harvest Com Ut Ben Int)			J	T	Sold (part)	06/21/11	J	A	
203. -MEDAX (MFS Emerging Mkts Debt C1 A)									
204. -OPGSX (Oppenheimer Gold & Spec Minerals C1 A)									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -PRPFX (Permanent Portfolio)									
206. -TIP (Ishares Barclays TIP Secs Fd)									
207. -TPINX (Templeton Global Bond Class A)									
208. -WASAX (Ivy Asset Strategy C1 A)									
209. Roth IRA KS (Fidelity)	A	Int./Div.	J	T					
210. -FDRXX (Fidelity Cash Reserves)									
211. -SPHIX (Fidelity High Income)									
212. -POT					Buy	08/05/11	J		
213. Roth IRA SF 1 (Fidelity)	A	Int./Div.	K	T					
214. -FDRXX (Fidelity Cash Reserves)									
215. -SPHIX (Fidelity High Income)									
216. -POT (Potash Corp)					Buy	05/20/11	J		
217.					Buy	06/16/11	J		
218. Roth IRA SF 2 (UBS - 10)	A	Int./Div.	K	T					
219. -UBS Bank USA Deposit Acct									
220. -PCEXX (UBS Pace Money Mkt Investment Fd)					Sold (part)	07/22/11	J	A	
221. -EVBLX (Eaton Vance Floating Rate Fund Class A)									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -EAGMX (Eaton Vance Global Macro Absolute Return Fund A)									
223. -PTTAX (Pimco Total Return Fund Class A)									
224. -PUBAX (Pimco Unconstrained Bond Fund Class A)									
225. -PAUAX (PIMCO All Assets)									
226. -MDLOX (Blackrock Global Allocation Fund)									
227. -WASAX (IVY Asset Strategy Fund)									
228. -PGMAX (PIMCO Global Multi-Asset Fund)									
229. Roth Conv KS (Fid - 50)									
230. -POT (Potash Corp)					Buy	08/05/11	J		
231. Health Savings Account IRA	A	Int./Div.	K	T					
232. -VEU (Vanguard FTSE AllWorld Ex US ETF)									
233. -VFINX (Vanguard 500 Index Fund Investor Shares)									
234. -Federated Prime Cash Trust									
235. Illinois Bright Start 529 for JS (Index Age Based 18 yrs)	A	Int./Div.	L	T					
236. Utah 529 Plan for JS (Option 2)	A	Int./Div.	K	T					
237. Illinois Bright Start 529 for DS (Index Age Based 15-17 yrs)	C	Int./Div.	L	T					
238. Utah 529 Plan for DS (Option 9)	B	Int./Div.	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Illinois Bright Start 529 for MS (Index Age Based 12-14 Yrs)	C	Int./Div.	L	T					
240. Illinois Bright Start 529 for MS (Index Equity)	C	Int./Div.	J	T					
241. Utah 529 Plan MS (Option 9)	B	Int./Div.	L	T					
242. Fidelity 529 Plan MS (MA Portfolio 2015)	A	Int./Div.	J	T					
243. Illinois College bonds	A	Interest	K	T					
244. Brokerage Acct JS									
245. -FTEXX (Fidelity Municipal Money Market)	A	Interest	J	T					
246. -VTI (Vanguard Index Fds Vanguard Total Stk Mkt ETF)	A	Dividend	J	T					
247. -SPY (SPDR S&P500 ETF)					Buy	08/08/11	J		
248. Brokerage Acct DS									
249. -FTEXX (Fidelity Municipal Money Market)	A	Interest	J	T					
250. -VTI (Vanguard Index Fds Vanguard Total Stk Mkt ETF)	A	Dividend	J	T					
251. Brokerage Acct MS									
252. -FTEXX (Fidelity Municipal Money Market)	A	Interest	J	T					
253. -VTI (Vanguard Index Fds Vanguard Total Stk Mkt ETF)	A	Dividend	J	T					
254. Roth IRA JS (Custodian Acct)	A	Int./Div.	K	T					
255. -VEU (Vanguard Intl Eqty Index FTSE All World Ex US ETF)					Buy	12/29/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. -VTI (Vanguard Total Stock Mkt)					Buy	12/29/11	J		
257. -Charles Schwab Bank									
258. Roth IRA DS (Custodian Acct)	A	Int./Div.	J	T					
259. -Charles Schwab Bank									
260. Everbank checking - JS	A	Interest	J	T					
261. Chase Bank checking - DS	A	Interest	J	T					
262. Chase Bank saving - MS	A	Interest	J	T					
263.									
264.									
265.									
266.									
267.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Sheila M. Finnegan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544